

**DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT****[Docket No. FR-5500-N-34]****Notice of Funding Availability (NOFA) for the  
Continuum of Care Homeless Assistance Competition**

**AGENCY:** Office of the Assistant Secretary for Community Planning and Development, HUD.

**ACTION:** Notice of Funding Availability (NOFA).

**SUMMARY:** The purpose of this Notice of Funding Availability (NOFA) is to: (1) announce the availability of approximately \$1.63 billion appropriated in the Fiscal Year (FY) 2011 Continuing Appropriations Act for the Supportive Housing Program, the Shelter Plus Care Program, and the Section 8 Moderate Rehabilitation for Single Room Occupancy Program (collectively referred to as Continuum of Care (CoC) Homeless Assistance Programs); (2) establish the FY2011 criteria for competitively distributing available funds through the CoC Homeless Assistance Competition (referred to as “CoC Competition”); (3) highlight changes adopted for FY2011 competitive funding criteria; and (4) familiarize CoC applicants and project applicants with HUD’s plan to implement the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amendments to the McKinney-Vento Homeless Assistance Act. The CoC Homeless Assistance Programs are intended to reduce the incidence of homelessness in CoC communities, by assisting homeless individuals and families in quickly transitioning to self-sufficiency and permanent housing, as authorized under Title IV of the McKinney-Vento Homeless Assistance Act (Public Law 100-77).

This announcement is governed by the information and instructions found in this NOFA, as well as the Notice of HUD’s FY2011 NOFA Policy Requirements and General Section of HUD’s FY2011 NOFAs for Discretionary Programs (referred to as the General Section). The General Section is available on HUD’s website at <http://www.hud.gov/offices/adm/grants/fundsavail.cfm>. To ensure that applications are considered for funding, all sections of this announcement and the FY2011 General Section should be read carefully, and all requirements and criteria met.

**DATES:** The application deadline date for submitting applications to HUD is 7:59:59 p.m. eastern time, **October 28, 2011**. Applications must be received no later than 7:59:59 p.m. eastern time on the deadline date. Applications are required to be completed and submitted in *e-snaps*, accessible online at <http://www.hud.gov/esnaps>. See Section IV of this NOFA for application submission and timely receipt requirements.

**FOR FURTHER INFORMATION CONTACT:** Questions regarding specific program requirements should be directed to either the local HUD CPD Field Office at [www.hud.gov/offices/cpd/about/staff/fodirectors/index.cfm](http://www.hud.gov/offices/cpd/about/staff/fodirectors/index.cfm), or the HUD Homelessness Resource Exchange (HRE) Virtual Help Desk (VHD) at <http://www.hudhre.info/helpdesk>. The VHD is open 24 hours each day of the week; however, responses to questions are typically issued between 8:00 a.m. and 5:30 p.m. eastern time, Monday through Friday, except federal holidays. Additional resources related to completing the application in *e-snaps* are also available online at <http://www.hudhre.info/esnaps>.

Questions regarding the General Section should be directed to the Office of Departmental Grants Management and Oversight at (202) 708-0667 (this is not a toll-free number) or the NOFA Information Center at (800) HUD-8929 (toll-free). Persons with hearing or speech impairments may access these numbers via TTY by calling the Federal Information Relay Service at (800) 877-8339. The NOFA Information Center is open between the hours of 10:00 a.m. and 6:30 p.m. eastern time, Monday through Friday, except federal holidays.

## OVERVIEW INFORMATION

- A. Federal Agency Name:** Department of Housing and Urban Development, Office of Community Planning and Development.
- B. Funding Opportunity Title:** Notice of Funding Availability for Continuum of Care (CoC) Homeless Assistance Programs.
- C. Announcement Type:** Initial Announcement.
- D. Funding Opportunity Number:** The funding opportunity number is **FR-5500-N-34**. The OMB Approval number is 2506-0112.
- E. Catalog of Federal Domestic Assistance (CFDA) Numbers:**
1. 14.235, Supportive Housing Program (SHP)
  2. 14.238, Shelter Plus Care (S+C) and
  3. 14.249, Section 8 Moderate Rehabilitation for Single Room Occupancy (SRO).
- F. Dates:** The application deadline date for submitting applications to HUD is 7:59:59 p.m. eastern time, **October 28, 2011**. Applications must be received no later than 7:59:59 p.m. eastern time on the deadline date. Applications are required to be completed and submitted using *e-snaps*, an electronic application system outside of Grants.gov. *e-snaps* is accessible online at <http://www.hud.gov/esnaps>. See Section IV of this NOFA for application submission and timely receipt requirements.
- G. Additional Overview Content Information**

1. **Purpose of Programs.** The purpose of the CoC Homeless Assistance Programs is to reduce the incidence of homelessness in CoC communities, by assisting homeless individuals and families in quickly transitioning to self-sufficiency and permanent housing, as authorized under Title IV of the McKinney-Vento Homeless Assistance Act. The CoC Homeless Assistance Programs include the Supportive Housing Program (SHP), the Shelter Plus Care (S+C) Program, and the Section 8 Moderate Rehabilitation for Single Room Occupancy (SRO) Program.

2. **Available Funds.** Approximately \$1.63 billion is available for CoC Homeless Assistance Programs. HUD may add to this amount any available funds that have been carried over or recaptured from previous fiscal years.

3. **Application Submission.** Each CoC seeking funding for its new and renewal projects must submit a consolidated application by the application deadline. The consolidated application must consist of two parts: (1) a description of the CoC's structure, planning process, and past and expected performance concerning ending homelessness (called Exhibit 1); and (2) individual project applications (called Exhibit 2) submitted by project applicants seeking either new or renewal funding for each project.

All applications (Exhibits 1 and 2) must be completed and submitted through *e-snaps*. Notification of the availability of *e-snaps* will be released via HUD's Homeless Assistance listserv. To join HUD's listserv, go to <http://www.hud.gov/subscribe/maillinglist.cfm>, and click on "Homeless Assistance Program." HUD strongly encourages CoC applicants to submit their consolidated application in *e-snaps*, as early as practicable to allow for sufficient time to resolve unforeseen technical issues that may delay the submission of their applications. HUD recommends CoC applicants to submit their applications at least 24-48 hours before the deadline.

4. **Type of Assistance.** Funds awarded under this NOFA for SHP and S+C projects will be obligated through grant agreements with HUD. HUD will execute an Annual Contributions Contract (ACC) with the project applicant awarded funding for a SRO project or an S+C SRO component project. Grant terms are identified in Section II.C. under the full text of this announcement.

5. **Eligible Applicants.** A CoC is considered eligible to submit a consolidated application if its Registration was approved by HUD during the FY2011 CoC Registration process. CoCs without an approved Registration will not be permitted to submit an application.

Eligible project applicants for the CoC Homeless Assistance Programs are identified in Section III.A.1 under the full text of this announcement. HUD will reject all project applications submitted by ineligible applicants.

HUD does not directly fund individuals through this competitive NOFA process.

6. **Eligible Activities.** The program summary chart in Section III.B.1 under the full text of

this NOFA identifies the eligible activities for which funding can be requested for the CoC Homeless Assistance Programs. HUD will reject all requests for funds for ineligible activities.

7. **Match.** Matching funds are required from local, state, federal (as eligible), and private sources. Eligible sources are specifically referenced in the General Section of HUD's FY2011 NOFAs, available on HUD's website at <http://www.hud.gov/offices/adm/grants/fundsavail.cfm> For more information on the match requirements, see Section I.D.23 under the full text of this NOFA.
8. **Additional Notices.** The following additional notices apply to funding available under this NOFA:
  - a. *DUNS number and CCR.* Project applicants are required to register with Dun and Bradstreet to obtain a DATA Universal Numbering System (DUNS) number, if they have not already done so, and complete or renew their registration in the Central Contractor Registration (CCR). HUD will not enter into a grant agreement or ACC with an entity that does not have a DUNS Number or an active CCR. For more information see Section III.C.2.b. of the FY2011 General Section.
  - b. *Major natural disaster areas.* A CoC with one or more projects directly impacted by a major disaster, as declared by President Obama under Title IV of the Robert T. Stafford Act, shall receive at least the minimum score for the performance section of its application (Exhibit 1). See Section V.A.4. under the full text of this NOFA.
  - c. *CoC applications available for inspection.* To encourage transparency at all levels of the CoC planning process, once a CoC applicant has submitted the CoC application (Exhibit 1) to HUD, the CoC is required to make the application available to its community for inspection (e.g., by request or by posting on the local CoC website) and notify community members and key stakeholders that the application is available.
  - d. *Leveraging and coordination among other HUD programs.* Each CoC application (Exhibit 1) will be evaluated, in part, based on the funds requested for housing activities compared to funds requested for supportive services (housing emphasis), in addition to the funds available as leveraging dollars. Housing emphasis and leveraging dollars will only be calculated based on the information provided in each eligible, new project application (Exhibit 2).

HUD encourages all applicants to utilize the Neighborhood Stabilization Program (NSP), HUD-Veterans Affairs Supportive Housing (HUD-VASH) and any HUD-managed American Recovery and Reinvestment Act (ARRA) programs, i.e., Community Development Block Grant-Recovery (CBDG-R), Tax Credit Assistance Program (TCAP), Homelessness Prevention and Rapid Re-Housing Program (HPRP), NSP2, etc., as a source of leveraging and match (as eligible). Additionally, CoCs that identify coordination with NSP and any HUD-managed ARRA programs may receive extra points during the CoC application review process. In addition, CoCs will be

required to address how they are participating in or coordinating with the HPRP, as indicated in the Substantial Amendment to the Consolidated Plan 2008 Action Plan.

- e. *Chronically homeless.* In FY2010, the definition of a chronically homeless was expanded to include homeless families with at least one adult member who has a disabling condition who has met all the other standards in Section I.D.3. under the full text of this NOFA. This does not mean that all projects must serve both chronically homeless families and individuals. Recipients may exclusively serve chronically homeless families with children in a single project. Projects with shared bathing facilities may exclusively serve chronically homeless persons of a single sex.

Additionally, recipients may advertise and offer a specialized service package to assist persons with particular conditions or needs that result from or lead to chronic homelessness, such as mental health services, services to address inappropriate sexual conduct, substance abuse addiction treatment, anger management or a high intensity service package designed to meet the needs of service-resistant persons. Recipients may notify other Continuum agencies of the services offered or otherwise indicate the intent to provide special services targeted to a particular need. Recipients may limit admission to or provide a preference for persons who need the particular supportive services offered. Nevertheless, the project shall be open to all otherwise eligible chronically homeless persons or families who may benefit from services provided in the project.

An existing project need not retrofit the building to change the size of the units to accommodate larger families and all projects need not necessarily contain a range of unit sizes. In any building, recipients must provide adequate security for the safety of all residents.

- f. *Implementation of the HEARTH Act.* The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act (Public Law 111-22), substantially amended and reauthorized the McKinney-Vento Act. HUD is in the process of issuing regulations and developing requirements for the implementation and administration of the CoC program and all other programs amended or authorized by the HEARTH Act. The regulations are being issued and implemented in several phases. On April 20, 2010, HUD released the first phase, which focused on proposed changes to HUD's definition of "homeless," "homeless individual," "homeless person," and "homeless individual with a disability" and corresponding record-keeping requirements. The proposed rule and the HEARTH Act are available online at <http://www.hudhre.info/hearth>. The comment period on the proposed rule ended and HUD anticipates a final rule shortly. If the final rule is in effect before announcement of awards under this competition, the new definition and record-keeping requirements will apply to these awards. Only those amendments to the McKinney-Vento Act that are contained in the final rule on the homeless definition will apply to awards under this competition. All other requirements remain unchanged. HUD anticipates publishing proposed regulations implementing other HEARTH amendments in Calendar Year 2011.

- g. *Preparation for the HEARTH Act requirements.* HUD recommends that CoCs continue to prepare their communities for full implementation of the amended McKinney-Vento Act, taking into consideration the following:
- (1) The HEARTH Act places an increased emphasis on Homeless Management Information System (HMIS). The HEARTH Act requires that all communities have a HMIS that has the capacity to collect unduplicated counts of individuals and families experiencing homelessness and that provides information to project sponsors and applicants for needs analyses and funding priorities. The HMIS lead agency should also begin to consider any needs that the HMIS may need to develop to accommodate emergency shelter, street outreach, and homeless prevention programs.
  - (2) The HEARTH Act amendments have a focus on performance and outcomes, and include measures not previously imposed by HUD under the current McKinney-Vento programs. HUD strongly encourages communities to consider their HMIS capacity in collecting the information necessary to report on the selection criteria established in the HEARTH Act that will be applied in the FY2011 CoC application.
  - (3) HUD strongly encourages CoCs that have applied but not been awarded grants in the past to consider merging. HUD has determined that merging can result in improved coordination of services, effective HMIS implementation, more efficient resource allocation and planning, and improved effectiveness for new resources. CoCs should review the Hold Harmless Merger process (Section I.D.8.) and determine if the benefits would apply in any merger being considered.
  - (4) The HEARTH Act amendments authorize and encourage the consolidation of existing grants into a single grant agreement with a single recipient, to be known as the Unified Funding Agency (UFA). A UFA must be selected by the Continuum of Care to apply to be a UFA, must apply to HUD to become a UFA, and must be found by HUD to have the capacity to perform the responsibilities of a UFA and to serve the program purpose. To make it easier to consolidate grants when HUD approves a UFA, all grants agreements for awards made under the FY2011 NOFA will contain a provision allowing HUD to unilaterally amend the grant term and program year, and to terminate the grant and de-obligate unspent funds at the end of the grant term, if the project is located within a CoC in which HUD has approved a UFA. As part of the process of transitioning from multiple grant agreements for a CoC to one grant with a UFA, all grants will be amended to have the same grant term and program year.
  - (5) HUD strongly encourages all recipients and project sponsors receiving funding through the current McKinney-Vento programs to carefully read the HEARTH Act, as well as any publications by HUD pertaining to the HEARTH Act, including the publication of proposed rules, to begin to prepare for the system-

wide changes that will be required as part of the implementation process. Up to date information regarding the HEARTH Act, including a copy of the McKinney-Act amended by the HEARTH Act, can be found on the HUD Homelessness Resource Exchange (HRE) at <http://www.hudhre.info/hearth>. Notification of the availability of information regarding the McKinney-Vento Act amended by the HEARTH Act will be released via HUD's Homeless Assistance listserv. To join HUD's listserv, go to <http://www.hud.gov/subscribe/maillinglist.cfm> and select Homeless Assistance Program.

## FULL TEXT ANNOUNCEMENT

### I. Funding Opportunity Description

- A. Program Description:** The purpose of the CoC Homeless Assistance Programs is to reduce the incidence of homelessness in CoC communities by assisting homeless individuals and families in quickly transitioning to permanent housing and self-sufficiency. The CoC Competition's scoring schema rewards CoCs that sustain current successful interventions and advance HUD's national goals. Information about CoC Homeless Assistance Programs is available at <http://www.hud.gov> and <http://www.hudhre.info>.
- B. Authority:** The FY2011 funds for the CoC Homeless Assistance Programs are authorized by the FY2011 Continuing Appropriations Act (Public Law 112-10). The following table lists the authorizing legislation and implementing regulations for the CoC Homeless Assistance Programs covered by this NOFA:

Program	Authorizing Legislation	Implementing Regulation
Supportive Housing	Subtitle C of Title IV of the McKinney-Vento Homeless Assistance Act, 42 U.S.C. 11381	24 CFR Part 583
Shelter Plus Care	Subtitle F of Title IV of the McKinney-Vento Homeless Assistance Act, 42 U.S.C. 11403	24 CFR Part 582
Section 8 SRO	Section 441 of the McKinney-Vento Homeless Assistance Act, 42 U.S.C. 11401	24 CFR Part 882, Subpart H, except that all persons receiving rental assistance must meet the current McKinney-Vento Act definition of homelessness

The authorizing legislation, Title IV of the McKinney-Vento Act, has been amended by the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act (Public Law 111-22). However, the amendment is not effective with this NOFA—except as otherwise indicated in this NOFA. The amended and reauthorized McKinney-Vento Act is available online for review at <http://www.hudhre.info/hearth>.

- C. Changes and Highlights for FY2011:** This list includes all major changes to the CoC

NOFA since the publication of FY2010 NOFA.

1. **Homeless Definition.** On April 20, 2010, HUD published a proposed rule to implement changes to the definition of “homeless,” and corresponding record-keeping requirements. The proposed rule and the HEARTH Act are available online at <http://www.hudhre.info/hearth>. The proposed rule only addresses the definition of the following terms: “homeless,” “homeless individual,” “homeless person,” and “homeless individual with a disability.” HUD anticipates that the final rule will be published and the changes to the homeless definition will be in effect for all SHP and S+C projects awarded funding under this announcement. This definition will not be effective for existing projects that do not receive renewal funding in this NOFA. Additional instructions will be available to CoCs and project applicants before the final rule becomes effective.
2. **Extremely High Need Communities.** To ensure that HUD is targeting its resources, the Department will award up to 2 points to CoCs that are the 10 highest in the following criteria among all CoC’s in the competition: (1) foreclosure rate; (2) the per capita rate of homelessness; (3) unemployment rate; (4) the ratio of unsheltered to sheltered homeless persons; and (5) Preliminary Pro Rata Need (PPRN).
3. **Rural Selection Priority.** To continue to achieve greater geographic diversity, HUD will give selection priority to new projects located in 100 percent rural areas. HUD is using the definition of rural found in Section 491(k)(A) & (B) of the McKinney-Vento Act as amended by HEARTH. HUD may award up to \$10 million to projects in 100 percent rural areas. HUD strongly encourages CoCs with counties and equivalents listed on Appendix A of this NOFA to consider using a portion of available funds to create a housing project that will exclusively serve these areas. Included in Appendix A of this NOFA is a list of all areas that qualify as rural using this definition. For the specific selection information see Section V.B.2.b(3) of this NOFA.
4. **Logic Model.** The form HUD-96010 (Program Outcome Logic Model) is no longer required as a part of the project application (Exhibit 2) submission in *e-snaps*.
5. **Amount of Assistance Available for New SHP Projects.** HUD will allow applicants for all new SHP projects to request either one year of funding for operating costs, supportive services and leasing, or up to \$400,000 in acquisition, construction and rehabilitation funding plus one year of funding for operating costs, supportive services and leasing in order to facilitate implementation of CoC strategies to reduce gaps in permanent housing availability, to add to the total number of available permanent housing beds, and to help increase the number of persons moving into permanent housing. In previous years, applicants for new SHP projects were required to request at least 2 years of funding plus acquisition, construction and rehabilitation funds and renewal projects were limited to 1 year renewal requests. New SHP-HMIS projects and new projects submitted under the HHN Reallocation process were permitted to request only 1 year of funding.

SHP project applicants seeking funds for acquisition, new construction, or rehabilitation should see Section III.E.4. of this NOFA for specific requirements for new and renewal projects.



6. **Education Assurances.** HUD will require the following education assurances as part of the FY2011 CoC NOFA:
- (1) A CoC applicant must demonstrate in the CoC application (Exhibit 1) that the CoC is collaborating with local education agencies to assist in the identification of homeless families as well as informing these homeless families and youth of their eligibility for McKinney-Vento education services;
  - (2) A CoC applicant must demonstrate in the CoC application (Exhibit 1) that the CoC is considering the educational needs of children when families are placed in emergency or transitional shelter and is, to the maximum extent practicable, placing families with children as close as possible to their school of origin so as not to disrupt the children's education;
  - (3) A project applicant must demonstrate in its project application (Exhibit 2) that the project is establishing policies and practices that are consistent with, and do not restrict the exercise of rights provided by the education subtitle of the McKinney-Vento Act, and other laws relating to the provision of educational and related services to individuals and families experiencing homelessness; and,
  - (4) A project applicant that provides housing or services to families must demonstrate in its project application (Exhibit 2) that a staff person is designated to ensure that children are enrolled in school and connected to the appropriate services within the community, including early childhood programs such as Head Start, Part C of the Individuals with Disabilities Education Act, and McKinney-Vento education services.
7. **Federal Funding Accountability and Transparency Act of 2006 (FFATA).** Each project awarded funding under this NOFA will be subject to the reporting requirements of the FFATA (Public Law 109-282). The reporting requirements are contained in Section III.C.5.t. of the General Section. Additional information related to FFATA can also be found online at <https://www.frs.gov>.

**D. Definitions:** The definitions contained in this NOFA are important and relevant terms for CoC applicants and project applicants to understand and complete the FY2011 application process.

1. **Annual Renewal Amount (ARA).** Used to calculate a CoC's annual Hold Harmless Need (HHN) amount, ARA is the maximum annual amount allowable for an SHP renewal grant. The ARA is the sum of the renewable amount awarded for eligible activities, divided by the number of years in the original grant term. Only operating, supportive services, leasing, HMIS, and administration costs that were funded in the original grant (or the original grant as amended), less the non-renewable activities (acquisition, new construction, rehabilitation, and any administrative costs related to these activities), can be included in the sum that is divided by the original grant term. HUD has no legal authority to renew acquisition, rehabilitation, and new construction. See Section I.D.12.(2) of this NOFA for additional information about HHN.  
  
Any SHP funding for acquisition, rehabilitation, new construction and any administration

costs related to those activities is not renewable and, therefore, should not be calculated in ARA. If the grant being renewed includes these non-renewable activities, administrative costs must be recalculated. The administrative costs must not exceed 5 percent of the total dollar amount of eligible activities (leasing, operating, HMIS, and supportive services costs), as contained in the grant being renewed. The following two tables provide an example of the ARA calculation, for SHP grants being renewed that include and exclude non-renewable activities.

Example 1 (SHP grants that include non-renewable activities)		
SHP Budget Activities	Amount Previously Awarded (3-year grant term)	FY2011 Annual Renewal Amount (1-year grant term)
New Construction	\$150,000	\$0
Operating	\$150,000	\$50,000
Supportive Services	\$150,000	\$50,000
Subtotal	\$450,000	\$100,000
Admin. (max 5%)	\$22,500	\$5,000
Total Award	\$472,500	\$105,000

If, as in the above table, the grant being renewed includes a 3-year grant for \$472,500 (\$150,000 for new construction, \$150,000 for operating costs, \$150,000 for supportive services, and \$22,500 for administration), the new construction costs, and any administration costs associated with it, are not eligible for renewal. The original award for operating and supportive services costs are each divided by the three (e.g., the 3-year grant term). Accordingly, the 1-year funding amount for operating costs is \$50,000 and for supportive services is \$50,000. This is equal to a subtotal of \$100,000. The 1-year funding for administrative costs is, \$5,000, which is 5 percent of the subtotal. Thus, the total renewable amount for 1 year is \$105,000.

The next example applies to SHP projects that do not include acquisition, rehabilitation, or new construction costs in the current grant. In this example, the eligible renewable activities are operating and supportive services. Therefore, the ARA would be calculated at \$105,000, as illustrated below.

Example 2 (SHP grants that do not include non-renewable activities)		
SHP Eligible Activities	Amount Previously Awarded (3-year grant term)	FY2011 Annual Renewal Amount (1-year grant term)
Operating	\$150,000	\$50,000
Supportive Services	\$150,000	\$50,000
Subtotal	\$300,000	\$100,000
Admin. (max. 5%)	\$15,000	\$5,000
Total Award	\$315,000	\$105,000

2. **Applicant Certification.** Required by law, in which a project applicant certifies that it will adhere to certain statutory requirements, such as the Civil Rights Act of 1964.

3. **Chronically Homeless Person.** An unaccompanied homeless individual with a disabling condition or an adult member of a homeless family who has a disabling condition who has either been continuously homeless for 1 year or more, OR has had at least four episodes of homelessness in the past 3 years. A disabling condition is defined as: (1) a disability as defined in Section 223 of the Social Security Act; (2) a physical, mental, or emotional impairment which is expected to be of long-continued and indefinite duration, substantially impedes an individual's ability to live independently, and of such a nature that the disability could be improved by more suitable conditions; (3) a developmental disability as defined in Section 102 of the Developmental Disabilities Assistance and Bill of Rights Act; (4) the disease of acquired immunodeficiency syndrome or any conditions arising from the etiological agent for acquired immune deficiency syndrome; or (5) a diagnosable substance abuse disorder. The term homeless in this case means a person sleeping in a place not meant for human habitation (e.g., living on the streets), in an emergency homeless shelter, or in a Safe Haven as defined by HUD.
4. **Consolidated Plan.** A long-term housing and community development plan developed by state and local governments and approved by HUD (24 CFR Part 91). The Consolidated Plan contains information on homeless populations and should be coordinated with the CoC plan.
5. **Consolidated Plan Certification.** The Certification of Consistency with the Consolidated Plan (or form HUD-2991) is statutorily required for each project requesting funding in this year's Competition. The state or local government official must complete the form to certify that the proposed project activities are consistent with the jurisdiction's Consolidated Plan. Each CoC applicant must submit the completed form in *e-snaps* for each project included with the consolidated application. For additional information, see Section III.E.2.h. of this NOFA and Section III.C.2.f. of the FY2011 General Section.
6. **Continuum of Care.** A collaborative funding and planning approach that helps communities plan for and provide, as necessary, a full range of emergency, transitional, and permanent housing and other service resources to address the various needs of homeless persons. HUD also refers to the group of community stakeholders involved in the decision making processes as the Continuum of Care.
7. **Continuum of Care Applicant.** See definition of **Continuum of Care Lead Agency**.
8. **Continuum of Care Hold Harmless Merger.** A process whereby two or more CoCs that registered separately in the FY2010 Competition merged in the CoC Registration process for the FY2011 Competition. At least one CoC must have a FY2011 Final Pro Rata Need (FPRN) (see Section I.D.12.(3) of this NOFA) based on its HHN amount (see Section I.D.12.(2) of this NOFA), and at least one other CoC must have a FY2011 FPRN based on its Preliminary Pro Rata Need (PPRN) amount (see Section I.D.12.(1) of this NOFA). Under this process, HUD calculates the newly merged CoC's FPRN based on the higher FPRN for each CoC. This calculation is completed during the CoC Registration process. The newly merged CoC may use this process for calculating FPRN for the FY2011 and FY2012 Competitions only. CoCs approved to merge under the Hold Harmless Merger process for the FY2010 Competition are also permitted to continue using this process for the FY2011 Competition.

9. **Continuum of Care Hold Harmless Need Reallocation.** A CoC with a FPRN (see Section I.D.12.(3). of this NOFA) based on its Hold Harmless Need amount (see Section I.D.12.(2). of this NOFA), or which used the Hold Harmless Merger process, may reallocate funds in whole or part from existing SHP renewal projects to create one or more new permanent housing projects and/or a new dedicated HMIS project. The HHN Reallocation process allows eligible CoCs to fund new permanent housing or dedicated HMIS projects by transferring all or part of funds from existing SHP projects eligible for renewal in this year's Competition. These new permanent housing projects may be for SHP, S+C, and Section 8 Moderate Rehabilitation. New SHP projects may have a grant term of 1, 2, or 3 years. New permanent housing projects and dedicated HMIS projects being created through this process will be funded under the selection criteria for renewals described in Section V.B.1. of this NOFA. Like all projects submitted under the FY2011 CoC NOFA, these projects must meet eligibility and quality standards established by HUD in order to be conditionally selected for funding. These reallocated funds may not be used to supplement a new Permanent Housing Bonus project. This HHN Reallocation Process is not available to a CoC in Preliminary Pro Rata Need Status.
10. **Continuum of Care Lead Agency.** An agency or organization designated by the CoC primary decision making body to be the entity that submits the CoC application. The CoC Lead Agency is responsible for the coordination and oversight of the CoC planning efforts, and has the authority to certify and submit the CoC homeless assistance funding application. A state governmental entity is the only acceptable organization that may serve as the Lead Agency for multiple CoCs, due to the level of involvement and possible conflict of interest that comes with serving multiple CoCs. No other type of organization is permitted to be the Lead Agency for multiple CoCs.
11. **Continuum of Care Lead Agency Contact.** Person(s) with the authority to submit the Continuum of Care Homeless Assistance funding application on behalf of the CoC, usually the Executive Director or CEO of the CoC Lead Agency.
12. **Continuum of Care Need Amounts.** The amount that CoCs could receive for each geographic area. It is the "Pro Rata Need" dollar amount relative to its homeless assistance need. The Pro Rata Need is adjusted to help communities with the responsibility of continuing existing SHP grants.
  - (1) *Continuum of Care Preliminary Pro Rata Need (PPRN).* The amount of funds a CoC could receive based upon the geographic areas HUD approves as belonging to that CoC. To determine the homeless assistance need of a particular jurisdiction, HUD will use nationally available data, including the following factors used for the FY2011 Emergency Shelter Grants (ESG) program: data on poverty, housing overcrowding, population, age of housing, and growth lag. Applying those factors to a particular jurisdiction provides an estimate of the relative need index for that jurisdiction compared to other jurisdictions applying for assistance under this announcement. Each year, HUD publishes the PPRN for each jurisdiction. A CoC's PPRN is determined by adding the published PPRN of each jurisdiction within the HUD-approved CoC.
  - (2) *Continuum of Care Hold Harmless Need (HHN).* The HHN is the dollar amount needed to fund the expiring SHP renewal grants for 1 year. A CoC's HHN amount

is calculated as the total ARA of all SHP grants eligible for renewal funding in FY2011. See Section III.C. of this NOFA for renewal eligibility criteria.

To provide communities with maximum flexibility in addressing current needs, CoCs have the discretion to not fund or to reduce one or more SHP renewal project applications through the HHN Reallocation Process and still receive the benefit of the HHN amount, if the CoC proposes to use that amount of reduced and eliminated renewal funds for new projects as described in Section I.D.9. of this NOFA.

- (3) *Continuum of Care Final Pro Rata Need (FPRN)*. The higher amount of: (a) PPRN amount or (b) HHN amount. In the case of CoCs which are eligible and approved by HUD for CoC Hold Harmless Merger, the FPRN will be based on the summation of the FPRN of each merging CoC. For more information, see Section II.E.(4) of this NOFA.
13. **Continuum of Care Primary Decision Making Group**. This is the group that manages the overall planning effort for the CoC, including, but not limited to, the following types of activities: setting agendas for full Continuum of Care meetings, project monitoring, determining project priorities, and providing final approval for the CoC application submission. This body is also responsible for the implementation of the CoC's HMIS, either through direct oversight or through the designation of an HMIS implementing agency. This group may be the CoC Lead Agency or may authorize another entity to be the CoC Lead Agency under its direction.
14. **Continuum of Care Registration**. The initial step in the electronic application process that requires each CoC applicant to: (1) identify the geographic area(s) covered by the CoC; (2) appoint a CoC Lead Agency that will be responsible for the submission of the electronic application to HUD; and (3) complete and submit the Grant Inventory Worksheet(s) that identifies the universe of SHP and S+C projects that are eligible for renewal in FY2011. It is during the registration process that a CoC's FPRN amount is established as well as the Permanent Housing bonus amount the CoC is eligible to request in this year's Competition. The entire process is completed in *e-snaps*. For a detailed explanation see the Notice of FY2011 Opportunity to Register and Other Information for Electronic Application Submission for the Continuum of Care Homeless Assistance Program (FR-5500-N-32). The Notice is accessible online at <http://www.hudhre.gov/esnaps>.
15. **Funding Category**. A funding category is a project submission category subject to NOFA selection priorities. There are four funding categories under this NOFA: Shelter Plus Care Renewals, Supportive Housing Program Renewals, Permanent Housing Bonus, and Final Pro Rata Need. See the Award Information section of this announcement (Section II.E. of this NOFA).
16. **Grant Inventory Worksheet**. An inventory of SHP and S+C projects eligible for renewal funding in a particular year. The inventory is reflected on HUD-issued Excel spreadsheets. HUD uses the grant inventory to determine which SHP and S+C projects are eligible to receive renewal funding in this year's competition, as well as the maximum level of funding for each SHP and S+C renewal project.
17. **Grantee**. An applicant that is selected to receive an award and enters into a grant agreement or Annual Contribution Contract (ACC) with HUD.

Grantees are responsible for the overall management of HUD grants, including drawing grant funds and distributing funds to the project sponsor(s), if applicable. In cases where the grantee is a separate entity than the project sponsor, the grantee is responsible for administering and managing the grant (as the grantee), and ensuring that the project sponsor is carrying out the project activities.

18. **HEARTH Act.** The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act, Public Law 111-22 substantially amends and reauthorizes the McKinney-Vento Act. The substantial changes include: (1) a consolidation of HUD's SHP, S+C and SRO programs; (2) the creation of a Rural Housing Stability Program; (3) a change in HUD's definition of homelessness and chronic homelessness; (4) a simplified match requirement; (5) an increase in prevention resources; and (6) an increase in the emphasis on performance.

To read the amended and reauthorized McKinney-Vento Act, visit the following website: <http://www.hudhre.info/hearth>.

19. **Homeless Management Information Systems (HMIS).** An HMIS is a computerized data collection application designed to capture client-level information over time on the characteristics and service needs of men, women, and children experiencing homelessness, while also protecting client confidentiality. A HMIS aggregates client-level data to generate an unduplicated count of clients served within a community's system of homeless services, and can provide data on client characteristics and service utilization. HUD will allow only one applicant for HMIS dedicated grants within a CoC.
20. **Housing Emphasis.** The relationship between funds requested for housing activities and funds requested for supportive service activities. Housing emphasis will be calculated on the information provided in the project application (Exhibit 2) for eligible new projects, including those requested as part of HHN Reallocation. HUD will count as housing activity all approvable new project requests for funds for rental assistance and approvable requests for acquisition, rehabilitation, construction, leasing and operations when used in connection with housing. HMIS costs and administrative costs will be excluded from this calculation.
21. **Housing Inventory.** A complete listing of all beds, both HUD-funded and non-HUD-funded, within a CoC's community. CoCs were required to submit their housing inventory data directly into the online HUD Homelessness Data Exchange (HDX). HUD scores CoCs on this information as part of the CoC application (Exhibit 1) evaluation process. CoCs will also be scored on the completeness and timeliness of the data submitted.
22. **Match.** The Supportive Housing program and the Shelter Plus Care program each impose matching requirements for awarded projects. The SHP and S+C program regulations address the scope of the match requirement and the responsibility of the recipient of the grant to ensure that the funds used to meet their match requirement is legally permissible for use as a match (24 CFR Part 582 and 24 CFR Part 583). For additional information regarding match requirements for SHP, S+C, and SRO under this NOFA, see Section III.D.

23. **Permanent Housing Bonus.** The Permanent Housing Bonus is equal to an amount up to 15 percent of the CoC's PPRN amount or \$6 million, whichever is less. The funds must be used for one or more new permanent housing projects, SHP-PH, S+C, and SRO. The Bonus project may serve homeless disabled families, or chronically homeless individuals and chronically homeless families. Safe Havens do not qualify for the Permanent Housing Bonus. PPRN funds cannot be added to new projects created using Bonus funds. For additional information, see Section II.D. of this NOFA.
24. **Private Nonprofit Status.** Private nonprofit status is documented by submitting either: (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; (2) documentation showing that the applicant is a certified United Way agency; or (3) a certification from a licensed CPA that no part of the net earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance; and that the organization has a functioning accounting system that provides for each of the following (mention each in the certification):
- (a) Accurate, current, and complete disclosure of the financial results of each federally sponsored project.
  - (b) Records that identify adequately the source and application of funds for federally sponsored activities.
  - (c) Effective control over and accountability for all funds, property, and other assets.
  - (d) Comparison of outlays with budget amounts.
  - (e) Written procedures to minimize the time elapsing between the transfer of funds to the recipient from the U.S. Treasury and the use of the funds for program purposes.
  - (f) Written procedures for determining the reasonableness, allocability, and allowability of costs.
  - (g) Accounting records, including cost accounting records, which are supported by source documentation.
25. **Project Applicant.** An entity that completes a project application (Exhibit 2) for funding to operate a housing or service project for homeless individuals and families. A project applicant must also submit Standard Form (SF) 424 (Application for Federal Assistance Form), along with the project application. Both the SF-424 and project application are available in *e-snaps* while the competition is open.
- Each project applicant must have a DUNS number and an active CCR registration. HUD will not award funding to a project applicant who does not have a DUNS number included in the project application. In the absence of an active CCR, HUD will not execute a grant agreement with a project applicant that has received a conditional award.
- Project applicants must be an eligible entity to apply for funding under this announcement. See Section III.A.1. of this NOFA for a list of eligible entities.

Project applicants selected for SHP and S+C funding must execute a grant agreement with HUD. SRO project applicant selected for funding must executed an Annual Contribution Contracts (ACC) to obligate the funds. A project applicant becomes a grantee once the grant agreement or ACC is executed. See definition of a **Grantee**.

26. **Project Sponsor.** An entity that is responsible for carrying out the proposed project activities. A project sponsor does not submit an SF-424 (Application for Federal Assistance) or a project application (Exhibit 2), unless it is also the project applicant. To be eligible to be a project sponsor, the organization must meet the same program eligibility standards as project applicants, as outlined in Section V.B.1.b. of this NOFA. The only exception to this standard is under the Sponsor-based rental assistance (SRA) component of the S+C Program, where a sponsor must be a private, nonprofit organization or a community mental health agency established as a public nonprofit organization; therefore, eligible project sponsors for this component are statutorily precluded from applying for S+C funding.
27. **Public Nonprofit Status.** A letter or other documentation from the authorized state official stating that a community mental health center applicant is in good standing and is organized as a public nonprofit organization in accordance with state law. The documentation must be submitted with the project application (Exhibit 2).
28. **Rapid Rehousing for Families Demonstration Program.** This is a program that was implemented for households with dependent children residing on the street or in emergency shelters as directed by Congress in the Consolidated Appropriations Act for 2008 [H.R. 2764]. These projects were originally funded under the FY2008 competition and were required to be administered in accordance with the requirements of the SHP Transitional Housing component, with the exception that the eligible activities are limited to administration, leasing (up to 18 months), and supportive services. All selected projects were required to have a grant term of 3 years. Projects with grant agreements that are expiring in calendar year 2012 are eligible to receive renewal funding in this year's competition (see Renewal Eligibility in Section III.C. of this NOFA).
29. **Safe Haven.** A Safe Haven is a form of supportive housing funded and administered under the Supportive Housing Program serving hard-to-reach homeless persons with severe mental illness who are on the streets and have been unwilling or unable to participate in supportive services. Any chronically homeless persons entering a Safe Haven will maintain their chronically homeless status, and will therefore be eligible for entrance into permanent housing bonus projects that serve the chronically homeless, including renewal Samaritan Housing Initiative projects. All projects classified as Safe Havens (SH) must have the following characteristics:
  - (1) Located in a facility, meaning a structure, or structures, or clearly identifiable portion of a structure or structures;
  - (2) Provide 24-hour residence for eligible persons who may reside for an unspecified duration;
  - (3) Provide private or semiprivate accommodations;
  - (4) Overnight capacity is limited to no more than 25 persons;
  - (5) Provide low-demand services and referrals for the residents of the Safe Haven;



- (6) Prohibit the use of illegal drugs in the facility; and,
- (7) Must target homeless individuals with serious and persistent mental illness, primarily from the streets.

Safe Havens may also provide for the common use of kitchen facilities, dining rooms, and bathrooms.

Safe Havens are no longer given Transitional Housing (TH) or Permanent Housing (PH) classifications. Therefore, grantees with projects renewing in the FY2011 competition that were originally awarded prior to the FY2008 competition that are designated as Safe Haven-Transitional Housing (SH-TH) or Safe-Haven Permanent Housing (SH-PH) will be able to change the classification of their project without a grant amendment through the FY2011 NOFA. Only projects in which program participants are tenants under a lease recognized as such under local law, qualify as SHP-PH. Any project funded under this announcement that is classified as SH-TH or SH-PH must designate itself as either Transitional Housing, Permanent Housing, or as a Safe Haven depending on its program design. This option is only available to those SH-TH or SH-PH projects that did not come in for renewal in the FY2008, 2009, or 2010 competitions, as those projects were required to reclassify through that NOFA and cannot be reclassified again.

- 30. **Samaritan Housing Initiative Renewals.** These renewal projects were originally funded in the FY2005, FY2006, FY2007, and FY2008 competitions under the Samaritan Housing Initiative. These projects are required to continue to serve 100 percent chronically homeless persons in permanent housing projects.
- 31. **SF-424, Application for Federal Assistance.** The standard application form required to be submitted by project applicants requesting HUD Federal Assistance. The SF-424 is part of the project application (Exhibit 2) in *e-snaps*, and must be completed before the project application (Exhibit 2) can be completed.

## **E. Continuum of Care Planning and Funding Processes**

- 1. **CoC Structure and Planning Process.** A Continuum is a regional (one county or city, multiple counties or cities, or “the balance of the state”), year-round planning body of representative stakeholders, which coordinates the community’s policies, strategies and activities toward ending homelessness. Stakeholders involved in a CoC-wide system include nonprofit organizations, state and local government agencies, public housing agencies, unaccompanied youth providers, community and faith-based organizations, other homeless providers, service providers, housing developers, private health care associations, law enforcement and corrections agencies, school systems, private funding providers, victim service providers, and homeless or formerly homeless persons to successfully address the complex and interrelated problems related to homelessness. HUD continues to encourage CoCs and stakeholders to integrate and align plans, including jurisdictional, state, and city 10-year plans (jurisdictional 10-year plans). These plans serve as a vehicle for a community to comprehensively identify each of its needs and to coordinate a plan for addressing them. A CoC should address the specific needs of each homeless subpopulation: those experiencing chronic homelessness, veterans,

persons with serious mental illnesses, persons with substance abuse issues, persons with HIV/AIDS, persons with co-occurring diagnoses (these may include diagnoses of multiple physical disabilities or multiple mental disabilities or a combination of these two types), victims of domestic violence, youth, and any others. To ensure that the CoC system addresses the needs of homeless veterans, it is particularly important that CoCs involve veteran service organizations with specific experience in serving homeless veterans.

Through the American Recovery and Reinvestment Act of 2009, Congress designated \$1.5 billion for the Homelessness Prevention and Rapid Re-Housing Program (HPRP). Through this program, funds for prevention activities and rapid re-housing of individuals and families have been made available to eligible grantees including states, territories, metropolitan cities, and urban counties. These entities awarded funds to local organizations as subgrantees through a local selection process. Grantees are required to coordinate planning activities with CoCs, which should be assisting in the coordination of homeless assistance efforts between the HPRP grantees and homeless assistance service providers, as well as the development and maintenance of HMIS participant data submissions.

2. **CoC Geographic Area.** In deciding which geographic areas a CoC will cover as part of its CoC strategy, CoCs should be aware that a key factor in being awarded funding under the FY2011 CoC NOFA will be the strength of a CoC process when measured against the CoC rating factors described in this NOFA. When a CoC determines which areas to include in its CoC strategy area, include only those geographic areas that are fully involved in the development and implementation of the CoC strategy.

The more geographic areas within a CoC's jurisdiction, the larger the Pro Rata Need share that will be allocated to the strategy area. If a CoC is located in a rural county or equivalent, it may wish to consider working with larger groups of contiguous counties to develop a region-wide or multi-county CoC strategy covering the combined service areas of these counties. The boundaries of identified CoC areas may not overlap.

HUD has determined that the merger of one or more existing CoCs into a new larger CoC can result in improved planning and coordination of services, effective HMIS implementation, and more efficient allocation of resources. Merging smaller CoCs into larger CoCs also reduces the administrative burden of applying for funding at the local level and reviewing funding applications at the national level. HUD strongly encourages CoCs to establish practical criteria for merging and to merge where appropriate.

In recognition of these advantages, HUD has a CoC Hold Harmless Merger policy for calculating Final Pro Rata Need (FPRN) that ensures CoCs will not lose FPRN by merging. For more information on how existing CoCs can merge, see Section I.D.8. of this NOFA, as well as the FY2011 Registration Notice, accessible at: <http://www.hudhre.info/esnaps>.

3. **CoC Components.** A CoC system typically consists of six basic elements, as follows:
  - (a) A system of outreach, engagement, and assessment for determining the needs and

conditions of individuals or families who are homeless, and necessary support to identify, prioritize, and respond to persons who are chronically homeless; and,

- (b) Emergency shelters with appropriate supportive services to help ensure that homeless individuals and families receive adequate emergency shelter and referral to necessary service providers or housing search counselors; and,
  - (c) Rapid re-housing to help persons with lower barriers who are homeless successfully move to, and remain in, conventional housing units; and,
  - (d) Transitional housing with appropriate supportive services to help homeless individuals and families who need more assistance prepare to make the transition to permanent housing and independent living; and,
  - (e) Permanent housing, or permanent supportive housing, to help meet the long-term needs of homeless individuals and families; and,
  - (f) Prevention strategies, which play an integral role in a community's plan to eliminate homelessness by effectively intervening for persons at risk of homelessness or those being discharged from public systems, e.g., corrections, foster care, mental health, and other institutions so that they do not enter the homeless system. By law, prevention activities are ineligible activities in the three programs included in this announcement but are eligible for funding under the Emergency Shelter/Solutions Grants (ESG) program, the HPRP, and many other programs. In 2011 CoCs will be required to address how they are participating in the HPRP, as indicated in the Substantial Amendment to the Consolidated Plan 2008 Action Plan.
4. **CoC Lead Person(s).** The designated person(s) from the CoC Lead Agency who is authorized to submit the CoC application, and act on behalf of the CoC. Each CoC must identify the name and contact information for the primary lead person and an alternate lead person in the CoC's profile in *e-snaps*. In addition, as HUD must be aware of the lead person for the CoC's HMIS, the CoC must enter the HMIS lead person's information in CoC's profile in *e-snaps*. This information must be stored in the profile and may be updated at any time during the calendar year.
  5. **CoC Registration Process.** Each year, HUD requires each CoC to register in *e-snaps*, prior to the beginning of the CoC competition. For more information on the CoC Registration Process see the Notice of Fiscal Year (FY) 2011 Opportunity to Register and Other Important Information for Electronic Application Submission for Continuum of Care Homeless Assistance Program (FR-5500-N-32).
  6. **CoC Award.** CoC application (Exhibit 1) scores will determine the new project applications (Exhibits 2) to be selected for award. The sum of funds awarded to a CoC's renewal and new projects is the total amount of a CoC's award.

## II. Award Information

- A. **Amount Allocated:** Approximately \$1.63 billion is available for funding. Carried over or recaptured funds from previous fiscal years, if available, may be added to this amount.
- B. **Distribution of Funds:** HUD will not specify amounts for each of the three programs: SHP,

S+C, and SRO. Instead, the distribution of funds among the SHP, S+C, and SRO programs will depend largely on locally determined priorities, overall demand, and renewal eligibility.

- C. **Grant Terms:** The grant term of a project awarded funding under this NOFA will be equal to the years awarded. However, for new SHP projects that include funding for acquisition, construction, or rehabilitation, the grant term of the project will be equal to the years awarded, plus the time to acquire the property, complete construction and begin operating the project, which may be no greater than 39 months.

Program	Initial Term (New Projects)	Renewal Term (Renewal Projects)
Supportive Housing <sup>1</sup>	1 year, 2 years, or 3 years plus time for acquisition/construction/rehab, if awarded	1 year
Shelter Plus Care	5 years: TRA, SRA, and PRA without rehab 10 years: SRO, and PRA with rehab	1 year
Section 8 SRO	10 years	Not applicable (under this NOFA)

Footnote 1: The term of a new grant with funds for acquisition, construction or rehabilitation also includes the time to acquire the property, complete construction and begin operating the project, which may be no greater than 39 months.

- D. **Permanent Housing Bonus:** For FY2011, CoCs may apply for the Permanent Housing Bonus to create one or more new permanent housing projects. CoCs may use the bonus to serve homeless disabled families, or chronically homeless individuals and chronically homeless families. While CoCs are no longer required to use the bonus for projects that will exclusively serve 100 percent chronically homeless individuals, CoCs are encouraged to use bonus funds for this population whenever possible, as creating new beds for the chronically homeless remains a HUD priority. Demonstrating progress in reducing chronic homelessness will continue to be a scored item in the CoC application.

To qualify for the Permanent Housing Bonus, each CoC must request funding for one or more new permanent housing projects. The Permanent Housing Bonus is equal to an amount up to 15 percent of the CoC's PPRN amount or \$6 million, whichever is less. The funds must be used for one or more new permanent housing projects, SHP-PH, S+C, and SRO. Safe Havens do not qualify for the Permanent Housing Bonus. PPRN funds cannot be added to new projects created using Bonus funds. Where permanent housing Bonus funds are being requested under the SHP no more than 20 percent of the total of its eligible program activities for each grant (grant total minus administration costs up to 5 percent) may be used for case management. Supportive services other than case management cannot be paid with HUD funds received under this announcement. The remaining 80 percent must be used for eligible housing activities (i.e., acquisition, rehabilitation, new construction, leasing, or operations).

- E. **Funding categories:** There are four funding categories for this year's Competition:

1. **The Shelter Plus Care Renewal Funding Category.** This category includes eligible

S+C renewal projects. Shelter Plus Care renewals will continue to be funded outside of the competitive ranking process, as required in the FY2011 Continuing Appropriations Act (Public Law 112-10). Shelter Plus Care project applicants requesting renewal funding will only be permitted to apply for 100 percent of the local Fair Market Rent (FMR) amount, for each applicable bedroom size.

2. **The Supportive Housing Program Renewal Funding Category.** This category includes eligible SHP renewal projects. HMIS projects that were funded for 1-year in the FY2010 Competition that have not yet signed a grant agreement with HUD will also be considered eligible for renewal if they are approved by the CoC.

HUD reviews all SHP renewal projects to determine if they meet the eligibility threshold requirements. For more information on renewal eligibility and the threshold criteria used to determine eligibility for renewal projects see Section II.C. and Section III E.2.d. of this NOFA.

The funding of SHP and S+C renewal projects allows homeless persons to continue to be served and move towards self-sufficiency. Not renewing these projects would likely result in the closure of these projects and displacement of the homeless people being served.

3. **The Permanent Housing Bonus Funding Category.** This category covers one or more new SHP, S+C and Section 8 SRO projects applying for up to 15 percent of a CoC's Preliminary Pro Rata Need or \$6 million, whichever is less. PPRN funds cannot be added to projects created under this funding category.
4. **The Final Pro Rata Need (FPRN) Funding Category.** This category covers new SHP, S+C, and Section 8 SRO, projects submitted by CoCs that have a FPRN based upon their Preliminary Pro Rata Need (PPRN) being greater than the Hold Harmless Need amount, or CoCs approved to use the CoC Hold Harmless Merger process. CoCs in PPRN status are permitted to submit new projects equal to the difference between the renewal amounts claimed in the SHP Renewal Funding Category, and the CoC's FPRN.

Projects submitted for funding under the Permanent Housing Bonus and FPRN funding categories must not exceed the maximum funding limits of that funding category. Funding requests that are in part above the funding limits will be reduced. Funding requests that are totally outside the funding category limit will be automatically rejected.

### **III. Eligibility Information**

#### **A. Eligible Project Applicants.**

1. Eligible project applicants for the CoC Homeless Assistance Programs are those identified in the following chart:

Program	Eligible Project Applicants
Supportive Housing	States Units of general local government Special purpose units of government, e.g., PHAs Private nonprofit organizations Community Mental Health Agency Health Centers that are public nonprofit organizations
Shelter Plus Care	States Units of general local government PHAs
Section 8 SRO	PHAs Private nonprofit organizations

All project applicants must meet applicant eligibility standards as described within this NOFA. As in past years, HUD will also review project sponsor eligibility as part of the threshold review process. Project sponsors for new projects are required to submit evidence of their eligibility with the application.

#### B. Other Eligibility Information.

Program	Eligible Components	Eligible Activities	Eligible Population
Supportive Housing <sup>1,2</sup>	Transitional housing Permanent housing for disabled persons only Supportive services not in conjunction with supportive housing Safe Havens Innovative supportive housing	Acquisition Rehabilitation New construction Leasing Operating costs Supportive services HMIS	Homeless individuals and families For PH -- homeless disabled individuals & homeless disabled families only
Shelter Plus Care <sup>2,3</sup>	Tenant-based Sponsor-based Project-based SRO-based	Rental Assistance	Homeless disabled individuals Homeless disabled individuals & their families
Section 8 SRO <sup>1,2</sup>	SRO	Rental Assistance	Homeless individuals

Footnote 1: Homelessness prevention activities are statutorily ineligible under these programs.

Footnote 2: Persons at risk of homelessness are statutorily ineligible for assistance under these programs.

Footnote 3: Acquisition, construction, rehabilitation, leasing, and operating costs are not eligible activities under Shelter Plus Care and Section 8 SRO.

- C. **Renewal eligibility:** To be eligible to submit an application (Exhibit 2) for renewal funding for SHP and S+C projects, the project applicant must have an existing grant agreement with HUD and must be approved by the CoC to be included with the consolidated application. The only exception to the existing grant agreement requirement is for the 1-year new projects awarded under the FY2010 Homeless Assistance Programs competition. Although FY2010

applicants may apply for renewal in the FY2011 competition, HUD their project for an award unless their grant agreement has been signed by both HUD and will not select the recipient; the project is operating; and the project meets one of the following additional eligibility requirements:

- (1) Any SHP or S+C grant awarded in a preceding competition which expires in Calendar Year (CY) 2012 (the period beginning on January 1, 2012, and ending on December 31, 2012). This includes projects funded under the Rapid Re-housing Demonstration Program and expiring in CY2012. These projects must be reflected on the CoC's SHP grant inventory worksheet and included with the CoC's application submission. Also, a project application (Exhibit 2) must be submitted in this year's Competition. Projects with grant agreements that are expiring in CY2013 should be submitted for renewal funding in the FY2012 Competition. This also applies to any SHP grants that received 1 year of funding in the FY2010 Competition.
- (2) Any S+C grant awarded prior to FY2002 for which funding is expected to run out in CY2012, and which has never applied for renewal funding.
- (3) Any SHP or S+C grant originally awarded in the FY2005 Competition and notwithstanding the expiration date, that has funds expiring in CY2012 or later and has not been renewed in a previous competition. Funds for these grants will no longer be available after September 30, 2012. The Line of Credit Control System (LOCCS) requires that all draws for the grants awarded in FY2005 be made no later than September 27, 2012. Therefore, renewal funding is imperative for continued project operations. Funds from these expiring grants will be recaptured and returned to the U.S. Treasury and will no longer be available for expenditure, even if the end date established in the grant agreement is beyond September 30, 2012. Recipients may not accelerate their spending rate. Field offices will monitor draws for affected grants to ensure that funds will be drawn only to reimburse the affected recipients for actual costs incurred in accordance with the project budget on, or before, the LOCCS availability of funds deadline.

Grants awarded in the FY2005 CoC Competition are not eligible for renewal in the FY2011 Competition if they expire in CY2011 (time period beginning January 1 and ending December 31, 2011), and were not renewed in a previous competition, or were not approved for extension into CY2012 or later.

- D. Matching:** Project applicants must meet the match requirements for SHP and S+C programs. For SHP, match requirements must be met by funds used to cover costs associated with eligible activities. The only exceptions to the SHP match requirement are leasing and administrative costs. Project applicants for SHP projects can request no more than 80 percent of the total supportive services costs in a project in their application for funding. Project applicants must match the remaining 20 percent of the total costs with cash match from other eligible sources. All matching funds must be used for eligible service costs identified on the supportive services budget in the application and/or the technical submission. HUD can pay no more than 75 percent of the total budget for operating a supportive housing project. Agencies must provide at least a 25 percent cash match of the total annual operating costs. In addition, for all SHP funding for HMIS, applicants must

provide a 20 percent cash match. All matching funds must be used for eligible costs identified in the project application budget, the grant agreement, and in the technical submission. HUD has developed cash match calculators to assist project applicants in determining the required cash match for requested SHP funds. The calculators are available online under the *e-snaps* Resources section of the HUD HRE at <http://www.hudhre.info/esnaps>.

The following lists the minimum matching funds required for new and renewal projects, although the actual match may be greater:

SHP Eligible Activities	Match
Acquisition, Rehabilitation, New Construction	100% cash match
Leasing and Administration	No match required
Supportive Services (only or with Housing)	20% cash match
HMIS	20% cash match
Operating Costs in Supportive Housing (not allowed for support services only projects)	25% cash match
Note: The cash source may be from the project applicant, the sponsor, program income, the Federal, state or local government, or private resources, as long as the source is allowed to be used as match.	
S+C and SRO Eligible Activities	Match
Rental Assistance	100% services match

For more information on matching see Section III.B. of the General Section and the applicable program regulations (see the chart in Section I.B. of this NOFA).

## E. Other Project Eligibility Requirements

1. **Statutory and Regulatory Requirements.** To be eligible for funding under this NOFA, project applicants must meet all statutory and regulatory requirements applicable to the SHP, S+C, or SRO program for which they seek funding. Project applicants requiring program regulations can obtain them online in the “Overview of HUD Homeless Programs” section of the HUD HRE at <http://www.hudhre.info> or by contacting the NOFA Information Center at 1-800- 483-8929.
2. **Threshold Requirements:**
  - a. *Ineligible Applicants.* HUD will not consider an application from an ineligible project applicant, this includes project sponsors. Eligible project applicants for the SHP, S+C, and SRO Programs are outlined in chart at Section III.A.1 of this NOFA.
  - b. *DUNS Number Requirement.* All project applicants seeking funding under this NOFA must have a DUNS number and include the number in their Standard Form 424 (SF-424). The SF-424 must be submitted along with the Exhibit 2 application in *e-snaps*. See Section III.C.2.b. of the General Section for additional information.
  - c. *Active Registration in CCR.* All project applicants seeking funding under this NOFA



must have an active CCR registration. HUD will not issue a grant agreement for awarded funds to a project applicant until an active CCR registration has been verified. See, Interim Rule published July 15, 2010 (75 FR 41087) and Final Rule published December 8, 2010 (75 FR 76260). Also reference Section III.C.2.c. of the General Section.

- d. *Project Eligibility Threshold.* HUD will review all projects to determine if they meet the following eligibility threshold requirements. If HUD determines that these standards are not met by a specific project or activity, the project or activity will be rejected from the competition.
- (1) Project applicants and project sponsors must meet the eligibility requirements of the specific program as described in program regulations, provide evidence of eligibility and capacity, and submit the required certifications as specified in this NOFA.
  - (2) The population to be served must meet the eligibility requirements of the specific program as described in the program regulations, and the project funding application must clearly establish eligibility of program participants.
  - (3) The only persons who may be served by permanent housing projects are those who come from the streets, emergency shelters, Safe Havens, or transitional housing. Persons coming from transitional housing must have originally come from the streets or emergency shelter. As participants leave currently operating projects, participants who meet this eligibility standard must replace them.
  - (4) Projects that involve rehabilitation or new construction must certify that they will meet the accessibility requirements of Section 504 of the Rehabilitation Act of 1973, the design and construction requirements of the Fair Housing Act, the accessibility requirements of the Americans with Disabilities Act, and Section 3 of the Housing and Urban Development Act of 1968.
  - (5) The project must be cost-effective, including costs associated with construction, operations and supportive services with such costs not deviating substantially from the norm in that locale for the type of structure or kind of activity.
  - (6) For those applicants applying for the Innovative component of SHP, whether a project is considered innovative will be determined on the basis that the particular approach proposed is new and can be replicated. Projects requesting funding under the Innovative component will count towards the FPRN funding category. For more information on the FPRN funding category, see Section II.E.(4) of this NOFA.
  - (7) Renewal applications, awarded SHP and S+C projects meeting one of the renewal eligibility criteria outlined in Section III.C. of this NOFA, must be submitted as part of a CoC application; however, in FY2011 they will be awarded through a modified selection process and will be announced as quickly as possible.
  - (8) Under the Sponsor-based rental assistance S+C component, a project applicant must subcontract the funding awarded with an eligible project sponsor: a private

nonprofit organization or a community mental health agency established as a public nonprofit organization that owns or leases the housing where participants will reside. The address of each housing structure must be identified in the project's funding application for FY2011.

- (9) For the Section 8 SRO program, only individuals meeting HUD's definition of homeless are eligible to receive rental assistance. Therefore, any individual occupying a unit at commencement of the unit's rehabilitation will not receive rental assistance if they return to their unit (or any other) upon completion of its rehabilitation.
  - (10) Project applicants agree to participate in a local HMIS system when it is implemented in their community. However, project applicants that are victim service providers are prohibited from entering data into the local HMIS as required by the Violence Against Women Act of 2005 (H.R. 3402).
  - (11) Permanent Housing bonus projects have additional eligibility requirements (see Section II.D. of this NOFA).
  - (12) In order to be considered for the selection priority for rural areas (see Section V.B.2.b.(3). of this NOFA), projects must propose to serve 100 percent rural counties, or equivalent. Projects not meeting this requirements will not be considered under this selection priority in Section V.B.2.b.(3). of this NOFA.
- e. *Project Quality Threshold.* HUD will review new projects, including those requested as part of HHN Reallocation, to determine if they meet the following quality threshold requirements with clear and convincing evidence. Any S+C or SHP project requesting renewal funding will be considered as having met these requirements through its previously approved grant application unless information to the contrary is received; however these projects will still be required to meet the requirements outlined in Section III.E.2.d. of this NOFA. The housing and services proposed must be appropriate to the needs of the project participants and the community. HUD will assess the following:
- (1) That the type, scale, and general location of the housing fit the needs of the participants and that the housing is readily accessible to community amenities.
  - (2) That the type, scale, and location of the supportive services fit the needs of the participants and the mode of transportation to those services is described.
  - (3) That the specific plan for ensuring clients will be individually assisted to obtain the benefits of the mainstream health, social service, and employment programs for which they are eligible is provided.
  - (4) How participants are helped to obtain and remain in permanent housing is described.
  - (5) How participants are assisted to both increase their incomes and live independently using mainstream housing and service programs is described.
  - (6) Project applicants and project sponsors must evidence satisfactory capacity and performance for existing grant(s).

- (7) For expansion projects, project applicants must clearly articulate the part of the project that is the expansion.
  - (8) Project applicants must demonstrate that they will be able to meet all timeliness standards. Project applicants with existing projects must be able to demonstrate that they have met all project renewal threshold requirements as described in Section III.E.2.f. of this NOFA. HUD reserves the right to deny the funding request for a new project, if the request is made by an existing project applicant that is found to have significant issues related to capacity, performance, or unresolved audit/monitoring Findings related to one or more existing SHP or S+C grants.
- f. *Project Renewal Threshold.* A CoC must consider the need to continue funding for projects expiring in CY2012. It is important that SHP and S+C renewal projects meet minimum project eligibility, capacity, timeliness and performance standards identified in this NOFA or they will be rejected from consideration for funding. When considering renewal projects for award, HUD will assess the following:
- (1) The project applicant's performance against plans and goals established in the initial application, as amended.
  - (2) Timeliness in the expenditure of grant funds, as HUD expects all recipients to drawdown funds at least quarterly through the course of the grant term. While most funds should be expended prior to the expiration of the grant, all funds must be drawn down within 90 days of the expiration of the grant term.
  - (3) The project applicant's performance in assisting participants to achieve and maintain independent living, and record of success.
  - (4) If a project applicant has been unwilling to accept technical assistance, has a continued history of inadequate financial management accounting practices, indications of mismanagement on the part of the recipient, drastic reduction in population served by the recipient, program changes made by the recipient without prior HUD approval, and loss of project site. HUD reserves the right to reduce or reject a funding request from the project applicant for the following reasons:
    - (a) Outstanding obligation to HUD that is in arrears or for which a payment schedule has not been agreed upon.
    - (b) Audit Finding for which a response is overdue or unsatisfactory.
    - (c) History of inadequate financial management accounting practices.
    - (d) Evidence of untimely expenditures on prior award.
    - (e) History of other major capacity issues that have significantly impacted the operation of the project and its performance.
    - (f) Timeliness issue in reimbursing project sponsors for eligible costs. HUD will consider a project applicant as meeting this standard if it has drawn down grant funds at least once per month.

- (g) History of serving ineligible persons, expending funds on ineligible activities, or failing to expend funds within statutorily established timeframes.

- g. *Resolution of Outstanding Civil Rights Matters Threshold.* In order for a project application (Exhibit 2) to be eligible for rating and ranking by HUD, the project applicant and the proposed project sponsor must meet the civil rights threshold requirements in Section III.C.2.d of the FY2011 General Section.
- h. *Certification of Consistency with the Consolidated Plan.* For each applicant that is not a state or unit of local government, the applicant must submit a certification by the jurisdiction in which the proposed project will be located that the applicant's application for funding is consistent with the jurisdiction's HUD-approved consolidated plan. The certification must be made in accordance with the provisions of the consolidated plan regulations at 24 CFR Part 91, Subpart F. Form HUD-2991 must be used and must list of all new and renewal projects within the jurisdiction that are consistent with the Consolidated Plan.

For a project applicant that is a state or unit of local government, the jurisdiction must certify that it is following its Consolidated Plan. All completed forms, along with the project listing, must be included with the CoC's application (Exhibit 1) in *e-snaps*. New or renewal projects requesting funds in FY2011 that are not included on a form HUD-2991 will not receive a conditional award.

- i. *Debarment and Suspension.* Refer to Section III.C.2.e of the FY2011 General Section.
- j. *Delinquent Federal Debt.* Refer to Section III.C.2.g of the FY2011 General Section.

### 3. Other HUD Requirements

- a. *Compliance with Fair Housing and Civil Rights Laws.* Successful applicants and project sponsors must comply with the fair housing and civil rights requirements in Section III.C.5.a. of the General Section.
- b. *Affirmatively Furthering Fair Housing.* Under Section 808(e)(5) of the Fair Housing Act, HUD has a statutory duty to affirmatively further fair housing. HUD requires the same of its funding recipients. Successful project applicants will have a duty to affirmatively further fair housing when providing housing and housing related services for classes protected under the Fair Housing Act. These project applicants and project sponsors must comply with program regulations regarding affirmatively furthering fair housing, including affirmative outreach requirements at 24 CFR 582.330(c) (Shelter Plus Care Program), 24 CFR 583.325(c) (Supportive Housing Program), and 24 CFR 882.808(a)(2) (Section 8 Moderate Rehabilitation Programs). Instead of the actions for affirmatively furthering fair housing in the General Section of HUD's FY2011 NOFAs, successful project applicants must affirmatively further fair housing by requiring each project sponsor to: (1) adopt

- actions and procedures and maintain records of the implementation of the actions and procedures taken to affirmatively further fair housing ; (2) make information available on the existence and location of facilities and services that are accessible to persons with a disability; and (3) ensure that reasonable steps are taken to inform all eligible persons on the availability of the project so that they may apply for the housing or services provided.
- c. *Improving Access to Services for Persons with Limited English Proficiency (LEP).* Executive Order 13166 seeks to improve access to federally assisted programs and activities for individuals who, as a result of national origin, are limited in their English proficiency. Project applicants obtaining federal financial assistance from HUD shall take reasonable steps to ensure meaningful access to their programs and activities to LEP individuals. As an aid to recipients, HUD published *Final Guidance to Federal Financial Assistance Requirements Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons* in the Federal Register on January 22, 2007 (72 FR 2732). See Section III.C.5.c of the General Section for more information.
  - d. *Economic Opportunities for Low- and Very-Low Income Persons (Section 3).* To the extent that any housing assistance (including rental assistance) funded through this NOFA is used for housing rehabilitation (including reduction and abatement of lead-based paint hazards, but excluding routine maintenance, repair, and replacement) or housing construction or other public construction, then it is subject to Section 3 of the Housing and Urban Development Act of 1968, and the implementing regulations at 24 CFR Part 135. Section 3, as amended, requires that employment, training, and contracting opportunities generated by certain HUD financial assistance for housing and community development programs shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly those who are recipients of government assistance for housing, and to businesses that provide economic opportunities for these persons. For more information, see Section III.C.5.d of the General Section.
  - e. *Real Property Acquisition and Relocation.* Projects receiving HUD funding under the SHP, S+C, and SRO programs are subject to the requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (URA) (42 U.S.C. 4601). These requirements are explained in HUD Handbook 1378, Tenant Assistance, Relocation and Real Property Acquisition. Also see Section III.C.5.h of the FY2011 General Section for more information.
  - f. *Code of Conduct.* A project applicant for funding under this announcement is prohibited from receiving an award of funds from HUD if it fails to meet the requirement for a Code of Conduct. A project applicant who previously submitted an application (Exhibit 2) and included a copy of its code of conduct *will not* be required to submit another copy if the applicant has already uploaded a copy into *e-snaps* or if it is listed on HUD's website at: <http://www.hud.gov/offices/adm/grants/codeofconduct/cconduct.cfm>. A project applicant not meeting these requirements must attach a copy of its Code of Conduct with its FY2011 project application (Exhibit 2). A project applicant must also include a copy of its Code of Conduct if the information listed on the above website has

- changed (e.g., the person who submitted the previous application is no longer your authorized organization representative, the organization has changed its legal name or merged with another organization, or the address of the organization has changed, etc.). See Section III.C.5.i. of the FY2011 General Section for additional information on Code of Conduct requirements.
- g. *Drug-free Workplace.* Project applicants awarded funds from HUD are required to provide a drug-free workplace. HUD form 50070 must be completed by each project applicant in order to certify compliance with the drug-free workplace requirements. The completed form must accompany the project applications submitted in *e-snaps*. For additional information refer to Section III.C.5.p. of the FY2011 General Section.
  - h. *Environmental Reviews.* All financial assistance received under this NOFA is subject to the National Environmental Policy Act and applicable related federal environmental authorities. Conditional selection of projects under the CoC Homeless Assistance Competition is subject to the environmental review requirements at 24 CFR 582.230, 583.230 and 882.804(c), as applicable. The recipient, its project partners and their contractors may not acquire, rehabilitate, convert, lease (under S+C/TRA where participants are required to live in a particular structure or area as described in Section III.E.4.h.(i). of this NOFA), repair, dispose of, demolish or construct property for a project under this CoC NOFA, or commit or expend HUD or local funds for such eligible activities, until the responsible entity has completed the environmental review procedures required by Part 58 and the environmental certification and Request for Release of Funds (RROF) have been approved or HUD has performed an environmental review under Part 50 and the recipient has received HUD approval of the property. The expenditure or commitment of assistance received under this NOFA or non-federal funds for such activities prior to this HUD approval may result in the denial of assistance for the project under consideration. If the project receiving HUD assistance is exclusively for the provision of services and none of the above stated activities are included, and the services provided meet the requirements of an exemption or exclusion listed at 24 CFR 58.34 or 58.35(b), the responsible entity may determine and record that no further environmental review is required, citing the appropriate exemption or exclusion.
  - i. *The Lead-Based Paint Act.* The Lead-Based Paint Poisoning Prevention Act (42 USC 4821-4846), the Residential Lead-Based Paint Hazard Reduction Act of 1992 (42 USC 4851-4856), and the relevant subparts of the implementing regulations at 24 CFR Part 35, Subparts A, B, J, K, and R apply to activities under this grant program as does the Environmental Protection Agency's Renovation, Repair and Painting Rule, found within 40 CFR 745 (see [www.epa.gov/lead/pubs/renovation.htm](http://www.epa.gov/lead/pubs/renovation.htm)).
  - j. *Promoting Energy Efficiency and Green Development.* Project applicants applying for new construction or rehabilitation funding, who maintain housing or community facilities or provide services in those facilities, are encouraged to promote energy efficiency through the use of Energy Star techniques and products, and also encouraged to use green development techniques.

#### 4. Requirements Specific to CoC Programs

- a. *Sharing of administrative funds:* In the circumstance when a project applicant for the SHP funding is a state or unit of general local government that utilizes one or more nonprofit project sponsor(s) to administer the homeless assistance project(s), administrative funds provided as part of the SHP grant must be passed on to the nonprofit organization(s) in proportion to the administrative burden borne by them for the SHP project(s). HUD will consider states or units of general local government that pass on at least 50 percent of the administrative funds made available under the grant as having met this requirement. This requirement does not apply to either the SRO Program, since only PHAs administer the SRO rental assistance, or to the S+C Program, since paying the costs associated with the administration of these grants is ineligible by regulation.
- b. *Rehabilitation of leased property:* HUD allows SHP funds to be used for the rehabilitation of leased property. However, certain conditions must be met during SHP Technical Submission stage of the application and, if they are not met, the award will be withdrawn. The recipient must have a lease for 25 or more years with a landowner that is not the applicant, the project sponsor, a parent or affiliated organization and must submit it to HUD for approval. The landowner must execute and record against the land the lease and the HUD form Use and Repayment Covenant. Under certain circumstances, where the useful life of the improvements is greater than 25 years, the recipient may be required to repay the residual value of the improvements.
- c. *HMIS Training:* HUD will allow HMIS funds contained in the Training and Technical Assistance line item of the HMIS budget to be used for travel, hotel, and per diem costs associated with the provision of technical assistance and training sessions by local HMIS staff; attendance at training sessions provided by local HMIS staff and/or outside trainers, attendance at HUD-sponsored HMIS training sessions or symposiums; attendance at HMIS vendor-sponsored user meetings; and attendance at other HMIS related events as qualified and approved by HUD. Project applicants may be asked to identify the number and type of HMIS training sessions for which they are requesting SHP funds prior to grant agreement. The approved budget will be limited to the reasonableness of travel expenses as listed in 24 CFR Parts 84 and 85.
- d. *Expiring/Extended Grants:* If a SHP or S+C grant will be expiring in CY2012, or if an S+C Program grant has been extended beyond its original 5-year term and is projected to run out of funds in FY2012, a grantee may apply for a renewal under this NOFA to receive continued funding. Generally, if an eligible renewal project is not awarded funding in this year's Competition, then the project will not be allowed to extend its grant to apply for funding in the FY2012 Competition. S+C projects that are already in 1-year renewal cycle may not be extended.

For this year's Competition, it will be critical for CoCs to pay close attention to any grants that were originally awarded in the FY2005 Competition and have not yet been renewed. As stated previously, the funds associated with these grants will only be available for use through the end of Federal Fiscal Year 2012. After that date, these funds will automatically be returned to the U.S. Treasury and will no longer be

available for expenditure, even if the end date established in the grant agreement is later. Relevant situations include:

- (1) Grants originally awarded in the FY2005 competition are not eligible for renewal in the FY2011 competition, if they expire in CY2011 (time period beginning January 1 and ending December 31, 2011), and were not renewed in a previous competition, or were not approved for extension into CY2012 or later.
- (2) A grant that was originally funded under the FY2005 Competition and expires in, or has been extended to, CY2012 or beyond must request a renewal under this NOFA.

It is imperative that grantees adhere to the time limitation on the funds contained in the law. No extensions will be approved beyond the statutory availability of the funds for the grant.

- e. *No Supplanting of Funds:* The project applicant must certify that any funds received under this NOFA: (1) will not supplant expenditures from other federal, state , or local sources or funds independently generated by the grantee; and (2) will not supplant any leverage related to this project, if any (that is, the project applicant/grantee must have pursued and secured leverage to the fullest extent possible in order to ensure that expenditures from other federal, state , or local sources or funds independently generated by the project applicant/grantee are not supplanted). Regarding (2), for example, if an agency had already committed to contributing \$100,000 in services to the project applicant's targeted project, the applicant cannot decline all or a portion of that \$100,000 because it receives funds under this announcement.
- f. *New SHP Projects:* HUD will require recordation of a HUD form of use and repayment covenant (a form may be obtained from the HUD field office) for all new SHP projects that include funds for acquisition, rehabilitation or new construction. The covenant will enforce the use and repayment requirements found at Section 423(b)(1) and (c) of the McKinney-Vento Act and must be approved by HUD counsel before execution and recordation. Proof of recordation must be provided to HUD counsel before funds may be drawn down.
- g. *SHP - Renewal Projects:*
  - (i) For the renewal of a SHP project, project applicants may request funding for only 1 year;
  - (ii) The total request for each renewable project cannot exceed the Annual Renewal Amount received in the current grant for that project.
  - (iii) HUD will recapture SHP grant funds remaining unspent at the end of the previous grant period when it renews a grant.



h. *S+C - New Projects:*

- (i) A project may not include more than one component, e.g., combining Tenant-based Rental Assistance (TRA) with Sponsor-based Rental Assistance (SRA) is prohibited within the same grant. Under the TRA component, in order to help provide supportive services or for the purposes of controlling housing costs, a grantee may require participants to live in a particular structure for the first year of assistance or to live in a particular area for the entire rental assistance period. Where this option is exercised, an environmental review and clearance must be performed prior to any commitment to lease a particular structure or unit for participant occupancy as described in Section III.E.3.h. of this NOFA, Environmental Reviews.
  - (ii) *S+C/SRO Component:* If a project applicant is a state or a unit of general local government, that applicant must subcontract with a public housing agency to administer the S+C assistance. Also, no single project may contain more than 100 units.
  - (iii) *S+C/SRA Component:* Project sponsors must submit proof of their eligibility to serve as a project sponsor.
  - (iv) In order to expend funds within statutorily required deadlines, applicants for S+C projects must enter into a grant agreement with HUD and begin providing rental assistance, within 2 years of the initial award notice. However, HUD strongly encourages all rental assistance to begin within 12 months. Project applicants who are unable to begin rental assistance within this 12 month period should consult with the local HUD CPD field office. These project applicants, and all other project applicants, must continue to meet statutory deadlines regarding the obligation of grant funds as stated in the FY2011 Continuing Appropriations Act. See Section VI.B.3. of this NOFA, for more information on timeliness standards
  - (v) Up to 8 percent of an S+C grant may be used for eligible incurred administrative costs associated with administration of housing assistance. These funds must be drawn down at least quarterly. Draws must be for eligible expenses already incurred in the period immediately preceding the draw or to be incurred during the period immediately following the draw. HUD will recapture all unspent funds at the end of the grant term. If administrative costs exceed 8 percent, recipients must pay for them from another source.
- i. *S+C Renewal Projects:* HUD encourages the consolidation of S+C renewal grants when the grants are under the same grantee, have the same component and expire in the same year. However, renewal requests for expiring S+C

grants that were not combined on the GIW should submit separate project applications (Exhibit 2) as individual renewal grants. Where the grantee wishes to consolidate the renewal grants, this action will be subsequently accomplished by the HUD field office at the point of renewal grant agreement execution.

- (i) For the renewal of a S+C project, including S+C/SROs, the grant term will be 1 year, as specified by Congress. For S+C grants awarded 1 year of renewal funding in the FY2010 CoC Competition, the number of units requested for renewal for the FY2011 Competition must not exceed the number of units funded in FY2010. As is the case with SHP, HUD will recapture S+C grant funds remaining unspent at the end of the previous grant period when it renews a grant. The 1-year term of non-competitively awarded S+C renewal projects may not be extended.
  - (ii) Project applicants for first time S+C renewals that are requesting more units than approved in the original application must provide their local HUD field office with copies of all executed leases at the time of Grant Inventory Worksheet(s) submission to support the total number of units requested. HUD will consider the number of documented units under lease at the time of Grant Inventory Worksheet submission the maximum amount eligible for renewal in the FY2011 CoC Competition.
  - (iii) For first-time S+C/SRO renewals, the number of units must not exceed the number of units under grant agreement and Housing Assistance Payment (HAP) contract.
  - (iv) Current FMRs can be found at [www.huduser.org](http://www.huduser.org). Requested amounts for S+C renewal projects must be based on the applicable FY2011 FMRs and cannot exceed or be less than 100 percent of the FMR.
  - (v) Under the FY2011 Continuing Appropriations Act, eligible S+C Program grants whose terms are expiring in CY2012 and S+C Program grants that have been extended beyond their original 5-year terms but which are projected to run out of funds in CY2012, will be renewed for 1 year provided that they are determined to be needed by the CoC. These projects must also demonstrate that project applicants and project sponsors meet eligibility, capacity, and performance requirements described in this NOFA. Non-competitive S+C renewals should be submitted by the application deadline. A CoC's FPRN does not include S+C renewals since these projects are being funded outside of the competition.
- j. *Section 8 Moderate Rehabilitation SRO Program – New Projects:* The following limitations apply to the Section 8 SRO program:
- (i) SRO assistance may not be provided to more than 100 units in any structure.

- (ii) Under 24 CFR 882.802, project applicants that are private nonprofit organizations must subcontract with a public housing agency to administer the SRO assistance.
  - (iii) Under 24 CFR 882.802, rehabilitation must involve a minimum expenditure of \$3,000 per unit, including its prorated share of work to be accomplished on common areas or systems, to upgrade conditions to comply with HUD's physical condition standards in 24 CFR Part 5, Subpart G.
  - (iv) Under Section 441(e) of the McKinney-Vento Act and 24 CFR 882.805(d)(1), HUD publishes the SRO per unit rehabilitation cost limit each year to take into account changes in construction costs. This cost limitation applies to eligible rehabilitation costs that may be compensated for in the Housing Assistance Payments (HAP) contract rents. For purposes of FY2011 funding, the cost limitation is raised from \$22,500 to \$23,000 per unit to take into account increases in construction costs during the past 12-month period.
  - (v) The SRO Program is subject to the federal standards at 24 CFR Part 882, Subpart H
  - (vi) Individuals assisted through the SRO Program must meet the definition of homeless individual found at Section 103 of the McKinney-Vento Act.
  - (vii) Resources outside the program pay for the rehabilitation, and rehabilitation financing. The rental assistance covers operating expenses of the SRO housing, including debt service for rehabilitation financing. Units may contain food preparation or sanitary facilities or both.
  - (viii) Requested amounts must be based on the applicable 2011 FMRs and cannot exceed 100 percent of the FMR.
- k. *Section 8 Moderate Rehabilitation SRO Program–Renewals:* This NOFA is not applicable to the renewal of funding under the Section 8 SRO program. The renewal of expiring Section 8 SRO projects is not part of the competitive CoC application process. Rather, expiring Section 8 SROs will be identified at the beginning of the applicable year by the public housing agency and the local HUD field office. One-year renewal funds for expiring Section 8 SRO HAP contracts will be provided by HUD under a separate, non-competitive process. For further guidance on Section 8 SRO renewals, please contact the local HUD field office.

#### **IV. Application and Submission Information**

- A. **Application Package:** All applications must be completed and submitted in *e-snaps*. Grants.gov is not applicable for funding under this NOFA. Once available, the CoC application (Exhibit 1), the project application (Exhibit 2), and the Applicant Certifications (part of Exhibit 2 application), may be accessed in *e-snaps* at [www.hud.gov/esnaps](http://www.hud.gov/esnaps). *e-snaps*

provides a submission summary that lists the elements required to complete each application. CoC applicants and project applicants will not be able to submit their applications in *e-snaps* until all required elements are completed.

- B. Content and Form of Application Submission:** The only option for submitting a viable funding application under this NOFA is for the CoC applicant to submit the entire consolidated application. Each consolidated application will consist of one CoC application (Exhibit 1) and application submissions from one or more project applicants (Exhibit 2). All project applications must include the required certifications for compliance with fair housing and civil rights requirements, program regulations, and other federal requirements, and (where applicable) certification that the proposed activities are consistent with the HUD-approved Consolidated Plan of the applicable state or unit of general local government. HUD will not accept any project applications not approved and included with the CoC's submission in *e-snaps*. Furthermore, any new project application that is submitted into *e-snaps* but is rejected by the CoC during its ranking process will not be considered for review by HUD.
1. **Continuum of Care Consolidated Application Submission Requirements.** Each CoC must submit the entire consolidated funding application, including one CoC application (Exhibit 1) and one or more project applications (Exhibits 2). All applications are to be completed and submitted electronically through *e-snaps*. For more information see the training modules and resources available on the *e-snaps* training website at <http://esnaps.hudhre.info/training>.
    - a. *The CoC application (Exhibit 1)* will include the following sections:
      - (i) Exhibit 1 Charts and Narratives and Attachments.
      - (ii) The CoC plan with all charts completed as applicable.
      - (iii) A Project Listing, including all project application approved by the CoC to be submitted with the consolidated application.
      - (iv) HUD-2991, Certification of Consistency with Consolidated Plan.
    - b. *The Project application (Exhibit 2)* will include the following sections:
      - (i) Exhibit 2 Charts, Narratives and Attachments, completed as applicable.
      - (ii) SF-424 (Application for Federal Assistance).
      - (iii) The SF-424 Supplement, Survey on Ensuring Equal Opportunities for Applicants, is for private nonprofit applicants only and completion/submission of this survey is voluntary.
      - (iv) Documentation of Applicant and Sponsor Eligibility. All project applicants must attach documentation of eligibility. Sponsor eligibility must also be attached to the project application. See Section I.B.24 and Section 1B.25 of this NOFA for information on the documentation required to validate non-profit status.

- (v) Applicant Certification.
- (vi) HUD-2880, Applicant/Recipient Disclosure/Update Report must be attached for each project.
- (vii) SF-LLL, Disclosure of Lobbying of Activities, must be attached as applicable.
- (viii) Applicant Code of Conduct. The Code must be attached in e-snaps or on file with HUD at <http://www.hud.gov/offices/adm/grants/codeofconduct/cconduct.cfm>.
- (ix) HUD-50070, Certification for a Drug-Free Workplace.

## C. Submission Dates and Times

### 1. Application Deadline Date

- a. HUD strongly suggests that CoCs require applicants applying for one or more projects to submit completed electronic new Exhibit 2 project application(s) to the CoC approximately 30 days after *e-snaps* opens for the competition to allow for ample time for the CoC to review and process these applications prior to the submission deadline. CoCs will be required to include renewal SHP and S+C Exhibit 2 project applications with its FY2011 CoC application; however, CoCs will not be required to provide a priority ranking to renewal SHP and S+C projects. CoCs will still be required to rank order all new projects.
- b. Each CoC must submit its completed application to HUD on or before 7:59:59 p.m. eastern time on the CoC application deadline. **The deadline for submitting applications is October 28, 2011.**
- c. All applicants may access the *e-snaps* Virtual Help Desk resources any time prior to 5:00 p.m., eastern time, on the application deadline date. CoCs that are experiencing technical difficulty should contact the *e-snaps* Help Desk immediately for assistance and document their attempts to obtain assistance. HUD strongly encourages CoCs to allow ample time to resolve any technical difficulties that may be encountered during the submission of the application to HUD and not wait until the final minutes before the deadline to submit the application. Please note that the “Submit” button will not be available on the Submission Summary form until all required sections have been completed. CoCs should review this form to ensure that no sections state “Please Complete.” After the CoC application (Exhibit 1) has been submitted, there will be a message on this page that states that the form has been submitted.
- d. CoC applicants must print a copy of the Submission Summary before closing their Internet browser after the CoC application has been submitted to HUD. This is your receipt of submission and proof of compliance with the submission deadline. Applicants whose applications are determined to be late, who cannot provide HUD with a record of submission that verifies the application was submitted prior to the submission deadline date and time, will not receive funding consideration.

- e. HUD strongly suggests that each CoC and all project applicants utilize the–Export to PDF functionality of *e-snaps* to print a hard copy of all submission documents for their records. This can be done at any time, before or after submission.

**D. Intergovernmental Review.** Not Applicable.

**E. Funding Restrictions.** Not Applicable.

**F. Other Submission Requirements**

1. *Waiver of Electronic Submission Requirements.* The regulatory framework of HUD’s electronic submission requirement is the final rule established in 24 CFR 5.1005. CoC applicants seeking a waiver of the electronic submission requirement must request a waiver in accordance with 24 CFR 5.1005. HUD’s regulations allow for a waiver of the electronic submission requirement for good cause. For the FY2011 Continuum of Care Competition, HUD is defining good cause as follows: (a) there are no computers that could be used by applicants and/or the CoC Lead Agency that are newer than 5 years anywhere within the CoC, or (b) there are no computers that could be used by applicants and/or the CoC Lead Agency anywhere within the CoC, or (c) there is no internet access that could be used by project applicants and/or the CoC Lead Agency anywhere within the CoC. HUD will grant waivers only at the CoC level and not at the individual project applicant level, and only to CoCs that were validated by HUD during the required CoC Registration process. If the waiver is granted, the Office of Special Needs Assistance Programs’ response will include instructions on how many copies of the paper application must be submitted, as well as where to submit them. CoCs that are granted a waiver of the electronic submission requirement will not be afforded additional time to submit their applications. Therefore, CoCs seeking a waiver of the electronic submission requirement should submit their waiver request with sufficient time to allow HUD to process and respond to the request. CoCs should also allow themselves sufficient time to submit their application so that HUD receives the application by the established deadline date. For this reason, HUD strongly recommends that if a CoC finds it cannot submit its application electronically and must seek a waiver of the electronic grant submission requirement, it should submit the waiver request to the Office of Special Needs Assistance Programs no later than 30 days after the publication date of this NOFA. To expedite the receipt and review of such requests, CoCs may fax their written requests to Ann Marie Oliva, Director, at (202) 401-0805. If HUD does not have sufficient time to process the waiver request, a waiver will not be granted. Paper applications received without a prior approved waiver and/or after the established deadline will not be considered.

**V. Application Review Information**

- A. **Criteria for Scoring the CoC Application.** HUD will award up to **100 points** for the following five categories: (1) CoC Housing, Services, and Structure, (2) Homeless Needs and Data Collection, (3) CoC Strategic Planning, (4) CoC Performance, and (5) Housing

Emphasis.

1. **CoC Housing, Services, and Structure:** HUD will award **14** points based on the extent to which a CoC's application demonstrates:
  - (a) The existence of a coordinated, inclusive, and outcome-oriented community process, including organizational structure(s) and decision-making processes for developing and implementing a CoC strategy; and,
  - (b) That this process includes nonprofit organizations (such as veterans service organizations, organizations representing persons with disabilities, faith-based and other community-based organizations, and other groups serving homeless and other low-income persons), state and local governmental agencies, public housing agencies, housing developers and service, school systems, law enforcement, hospital and medical entities, victim service providers, private funding providers, private businesses and business associations, jurisdictional 10-year planning bodies, and homeless or formerly homeless persons; and,
  - (c) That the CoC has a process in place to achieve fair and impartial project review and selection, with representation and input from diverse parties such as those outlined under Criteria for Application Review (See Section V.B.1 of this NOFA); and,
  - (d) That a well-defined and comprehensive community-wide strategy has been developed which addresses the components of a CoC system (i.e., outreach, intake and assessment, emergency shelter, transitional housing, permanent supportive housing, and prevention), and that the strategy has been designed to serve all homeless subpopulations in the community (e.g., seriously mentally ill, persons with multiple diagnoses, veterans, persons with HIV/AIDS), including those persons living in emergency shelters, supportive housing for homeless persons, or in places not designed for, or ordinarily used as, a regular sleeping accommodation for human beings; and,
  - (e) A CoC has created, maintained, and built upon a community-wide inventory of housing and services for homeless families and individuals (both HUD and non-HUD funded); identified the full spectrum of needs of homeless families and individuals; and coordinated efforts to fill gaps between the current inventory and existing needs. The coordinated effort must appropriately address all aspects of the Continuum, especially permanent housing.
  
2. **Homeless Needs and Data Collection:** HUD will award **26** points based on the extent to which a CoC's application demonstrates an understanding of the number and trends of homeless individuals and families within the CoC and their needs. This includes:
  - (a) The progress in the planning, implementation and operation of an HMIS system covering, at a minimum, all street outreach, emergency shelters and transitional housing programs so that a reliable, unduplicated count of homeless persons on the

street and in shelters may be conducted. Points will be awarded to CoCs that conduct Sheltered and Unsheltered Point-in-Time (PIT) counts. CoCs are cautioned to carefully read HUD guidance on the timing, and acceptable methods, for conducting PIT counts to ensure maximum points. CoCs will also be scored based on the extent in which they have participated in the Annual Homeless Assessment Report (AHAR).

CoCs that did not conduct their PIT count during the last week of January 2011 must submit an exception request to HUD on or before the application submission deadline set forth in this NOFA. Exception requests must either be in writing and addressed to 451 7<sup>th</sup> Street, SW, Room 7262, Washington, D.C., 20410, Attn: Michael Roanhouse or be via email addressed to [Michael.Roanhouse@hud.gov](mailto:Michael.Roanhouse@hud.gov).

- (b) Hud will award up to 2 points (out of the 26 points in this Section) to CoCs determined to be an extremely high need community based on the following criteria: (1) foreclosure rate; (2) the per capita rate of homelessness; (3) unemployment rate; (4) the ratio of unsheltered to sheltered homeless persons; and (5) PPRN, will be awarded 2 points.

**3. CoC Strategic Planning:** HUD will award **22** points based on the extent to which a CoC's application demonstrates:

- (a) The existence of, and alignment with, a performance-based 10-year strategy for ending chronic homelessness that establishes specific action steps to achieve the five objectives listed in Section VI.B.1.a. of this NOFA, with measurable achievements. It should be integrated with other 10-year plans in the community to eliminate chronic homelessness (if applicable), the local HUD Consolidated Plan, and other state and local plans related to homelessness; and,
- (b) The Continuum's progress in working with the appropriate state and/or local government entity to develop and implement a discharge policy for persons leaving publicly-funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in persons entering the homeless system; and,
- (c) Demonstrates leveraging of funds for new projects requested under this NOFA with other resources, including private, other public and mainstream services and housing programs for proposed projects and ongoing efforts; and,
- (d) Demonstrates coordination efforts with local HPRP, NSP, HUD-VASH, and any HUD-managed ARRA programs.

**4. CoC Performance:** HUD will award **32** points based upon the CoC's progress in reducing homelessness, including chronic homelessness. Please note that HUD will award at least a minimum score of half of the full points in this section, for each completed chart in Part IV, CoC Performance, to Continuums with projects that have been impacted by a major disaster, as declared under Title IV of the Robert T. Stafford



Act. Those CoCs in areas affected should notify HUD in writing prior to close of the Competition. Send written notification to Ann Marie Oliva at HUD Headquarters, 451 Seventh Street, SW, Room 7256, Washington, DC, 20410.

- (a) That the CoC has taken specific action steps and made progress toward achieving its goals;
- (b) That the CoC has increased the number of permanent housing beds for the chronically homeless and made progress toward eliminating chronic homelessness;
- (c) That the CoC has decreased the number of homeless households with children;
- (d) Program participants' success in moving to and maintaining permanent housing as reported in the most recent Annual Performance Report (APR) for all projects within the CoC;
- (e) In an effort to encourage project applicants to undertake projects that align with HUD's strategic plan, HUD has identified "Using Housing as a Platform for Improving Other Outcomes" as a policy priority for FY2011. HUD encourages grantees to undertake collaboration with public, private, nonprofit and community- and faith-based organizations to improve education, health, economic and public safety outcomes. As provided for in the General Section of HUD's FY2011 NOFAs, HUD will award 3 points, within the 32 points for this rating factor, to CoCs whose applications demonstrate a systematic approach to assisting homeless persons identify and apply for benefits with other federal agency programs such as SSI, SSDI, TANF, Medicaid, SNAP, SCHIP, WIA and Veterans Health Care. HUD will assess a CoC's performance in increasing access to public benefits by reviewing aggregate data from Question 11 of the APR for all non-HMIS projects. HUD will consider CoCs that show an increase of 10 percent or more, from data reported in FY2010, to FY2011 for each category in Question 11 of the APR as meeting this target;
- (f) That the CoC has no unexecuted grants awarded prior to CY2010;
- (g) That projects within the CoC that involve construction, reconstruction, conversion or rehabilitation of housing (including reduction and abatement of lead-based paint hazards), or other public construction which includes buildings or improvements (regardless of ownership) assisted with grant funds have policies and practices in place to hire low- and very-low income employees and to award contracts to businesses that are either owned by or substantially employ low- and very low-income persons, pursuant to Section 3 of the Housing and Urban Development Act of 1968; and,
- (h) That projects within the CoC incorporate energy-efficiency measures in the design, construction, rehabilitation, and operation of housing or community facilities.

5. **Emphasis on Housing Activities:** HUD will award **6** points based on the relationship between funds requested for housing activities and funds requested for supportive service activities among eligible new projects, including funds requested through Hold Harmless Need reallocation. HUD will count as a housing activity all approvable requests for funds for rental assistance and approvable requests for acquisition, rehabilitation,

construction, leasing and operations when used in connection with housing. HMIS costs and administrative costs will be excluded from this calculation. HUD will award a greater number of points to CoCs that request a greater percentage of new funds for housing activities.

## B. CoC and Project Review and Selection Process.

1. **Review, Rating, and Ranking:** Two types of reviews will be conducted: (1) selection criteria rating for the overall CoC application, and (2) threshold review for project applicants and projects. For new projects, the review process will consider project applicant and sponsor eligibility and capacity, as well as project eligibility and project quality as part of the threshold review. The renewal project threshold review will consider project applicant and sponsor capacity and eligibility. New and renewal project threshold reviews are explained in Section III.A.1 and Section III.B. of this NOFA, which cover eligible project applicants and projects. HUD will select project applications based on the funding categories defined in Section II.E. of this NOFA.
  - a. *Rating Panel.* HUD may employ rating panels to review and rate all or part of CoC applications, according to the rating criteria in Section V.A.1. of this NOFA. See the General Section of HUD's FY2011 NOFAs for more information on rating panels.
  - b. *Threshold Review. Project applicant and project sponsor eligibility, capacity and quality.* HUD will review project applications to ensure that the project applicant and project sponsor meet the eligibility and capacity standards outlined in this Section. If HUD determines these standards are not met, the project will be rejected from the Competition. General information on eligibility, capacity, and performance standards is below. For more detailed information regarding eligibility, capacity and performance standards see Section III.E of this NOFA.
    - (1) Project applicants must be eligible to apply for the specific program. See Section III.A.1 of this NOFA.
    - (2) Project applicants and project sponsors must demonstrate the ability to carry out the project(s). With respect to each proposed project, this means that, in addition to knowledge of and experience with homelessness in general, the organization carrying out the project, its employees, or its partners, must have the necessary experience and knowledge to carry out the specific activities proposed, such as housing development, housing management, and service delivery.
    - (3) If a project applicant or the project sponsor is a current or past recipient of assistance under a HUD McKinney-Vento Act program, there must have been no delay in meeting applicable program timeliness standards (see Section VI.B.3 of this NOFA) unless HUD determines that the delay in project implementation was beyond the applicant's or the project sponsor's control, there are no serious unresolved HUD monitoring Findings, that there are no outstanding Audit Findings of a material nature regarding the administration of the program, or that

the neither project applicant or the project sponsors have been placed on the federal disbarment or suspensions list.

## 2. **Conditional Selection, Adjustments to Funding, and Tie-Breaking Rules.**

### a. *Conditional Selection Priorities.*

- (1) HUD will fund all eligible S+C renewal projects for 1 year.
- (2) HUD will fund all eligible SHP renewal projects for 1 year.
- (3) The FY2011 Continuing Appropriations Act (Public Law 112-10) requires that not less than 30 percent of this year's Homeless Assistance Grants appropriation, excluding amounts provided for renewals under the S+C Program, must be used to fund projects that meet the definition of permanent housing. To meet this requirement, HUD will first count all eligible renewal SHP permanent housing projects selected in Section V.B.2.a.(2) of this NOFA. If this amount is insufficient to meet the 30 percent requirement, then eligible new permanent housing projects will be selected in the following order until the 30 percent requirement is met:
  - (a) Eligible, new permanent housing projects (including SHP, S+C, and SRO) that propose to serve 100 percent rural area(s) (see Appendix A of this NOFA for a list of the areas defined as rural) that fall within a CoCs FPRN, in rank order by CoC score. When CoC scores are tied, HUD will apply tie breaking rules described in Section V.B.2.c of this NOFA.
  - (b) Eligible, new permanent housing projects (including SHP, S+C, and SRO) that fall within a CoC's FPRN, in rank order by CoC score. When CoC scores are tied, HUD will apply tie breaking rules described in Section V.B.2.c of this NOFA.
  - (c) Eligible Permanent Housing Bonus projects (see Section I.A.4.v of this NOFA for more information on the Permanent Housing Bonus) in rank order by CoC score. When CoC scores are tied, HUD will apply tie breaking rules described in Section V.B.2.c of this NOFA. For CoCs that have a FPRN based on HHN and the HH Merger process, and also claim rural areas as part of their geography but have very few projects actually located in, or serving, those areas, HUD strongly encourages the Permanent Housing Bonus project funding to be dedicated to serving those underserved rural communities.
- (4) Eligible Transitional Housing and Safe Haven projects that propose to serve 100 percent rural area(s) (see Appendix A of this NOFA), in rank order by CoC score. When CoC scores are tied, HUD will apply tie breaking rules described in Section V.B.2.c of this NOFA.
- (5) After meeting the funding priorities of Section V.B.2.a.(1)-(5) of this NOFA, HUD will proceed to competitively fund by projects in rank order by CoC score. When CoC scores are tied, HUD will apply tie breaking rules described in Section V.B.2.c

of this NOFA. SHP, S+C and SRO new projects will be conditionally selected until all funds are expended. To be eligible for funding, all projects must be within the CoC's FPRN amount.

- b. *Adjustments to Funding.* HUD may also be required to adjust the selection of competitive projects as follows:
- (1) *Fair Market Rent (FMR) amounts.* The FMR amounts used in calculating award amounts for S+C and/or SRO applications will be those in effect at the time the grants are approved, which may be higher or lower than those in effect at the time of application.
  - (2) *Geographic Diversity.* HUD has determined that geographic diversity is an appropriate consideration in selecting homeless assistance projects in the competition. HUD believes that geographic diversity can be achieved best by awarding grants to as many CoCs as possible. To this end, in instances where any of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Northern Mariana Islands, the Virgin Islands, and American Samoa does not have at least one funded CoC, HUD reserves the right to fund eligible project(s) with the highest total score in the CoC. For the FY2011 competition, HUD will give selection priority to projects located in 100 percent rural areas (see Section V.B.2 of this NOFA). HUD may award up to \$10 million to projects in qualified areas in order achieve greater geographic diversity.
  - (3) *Distribution of Selections.* In accordance with Section 429 of the McKinney-Vento Act, HUD will award Supportive Housing Program funds as follows: not less than 25 percent for projects that primarily serve homeless families with children; not less than 25 percent for projects that primarily serve homeless persons with disabilities; and not less than 10 percent for supportive services not provided in conjunction with supportive housing. After projects are rated and ranked, based on the factors described above, HUD will determine if the conditionally selected projects achieve these minimum percentages. If not, HUD will skip higher-ranked projects in order to achieve these minimum percentages.

In accordance with Section 463(a) of the McKinney-Vento Act, at least 10 percent of competitive S+C funds will be awarded for each of the four components of the program: Tenant-based Rental Assistance; Sponsor-based Rental Assistance; Project-based Rental Assistance; and Single Room Occupancy (provided there are sufficient numbers of approvable projects to achieve these percentages). After projects are rated and ranked, based on the factors described above, HUD will determine if the conditionally selected projects achieve these minimum percentages. If necessary, HUD will skip higher-ranked projects in order to achieve these minimum percentages.

In accordance with Section 455(b) of the McKinney-Vento Act, no more than 10 percent of the assistance made available for S+C in any fiscal year may be used for programs located within any one unit of general local government. In accordance

with Section 441(c) of the McKinney-Vento Act, no city or urban county or equivalent may have Section 8 SRO projects receiving a total of more than 10 percent of the assistance made available under this program. HUD is defining the 10 percent availability this fiscal year as \$10 million for S+C and \$10 million for Section 8 SRO. However, if the amount awarded under either of these two programs exceeds \$100 million, then the amount awarded to any one unit of general local government (for purposes of the S+C Program) or city or urban county (for the purposes of the Section 8 SRO Program) cannot exceed 10 percent of the actual total amount awarded for that program.

- (4) *Funding Diversity.* HUD reserves the right to reduce the amount of a grant, if necessary, to ensure that no more than 10 percent of assistance made available under this NOFA will be awarded for projects located within any one unit of general local government or within the geographic area covered by any one CoC.
  - (5) *Minimum score.* CoCs must receive a score of 65 or above in order to have new projects funded. Funding for a new project awarded under the HHN Reallocation process is not impacted by the CoC's score. Rather, funding for these projects are determined by the ability of the projects to satisfy the threshold requirements of Section III.E.2 of this NOFA.
  - (6) If HUD exercises a right it has reserved under this NOFA, that right will be exercised uniformly across all applications received in response to this NOFA.
- c. *Tie-breaking Rules.* HUD will break ties among CoCs with the same total score by comparing scores received by the CoCs for each of the following scoring factors, in the order shown: Overall CoC score, CoC Housing, Services and Structure, CoC Strategic Planning, CoC Performance, CoC Enrollment and Participation in Mainstream Programs, Housing Emphasis, and Housing and Employment Performance. The final tie-breaking factor is the priority number of the competing projects on the applicable CoC priority list(s).
3. **Corrections to Deficient Applications:** HUD reserves the right to respond to unanticipated system defects, ambiguities, and technical difficulties in application submissions through a flexible implementation of its authority to cure application deficiencies through written inquiries seeking clarification and additional information (also known as callbacks). HUD will exercise the authority for curing deficiencies as stated in the FY2011 General Section, if needed, on a consistent and uniform basis for all CoC applicants and project applicants. HUD will expect responses to callbacks to be returned in an expedited manner, generally within 14 calendar days of receiving a HUD request.
  4. **Extension of Application Submission Deadline.** Upon proper publication in the Federal Register, HUD reserves the right to extend the competition deadline for good cause. Also, notification of any extension to the application submission deadline will be released via HUD's Homeless Assistance listserv.

## VI. Award Administration Information

## A. Award Notices

1. **Action on Conditionally Selected Project Applications:** HUD will notify conditionally selected project applicants in writing. HUD may subsequently request them to submit additional project information, which may include documentation to show the project is financially feasible; has an active CCR; documentation of firm commitments for cash match; documentation showing site control; information necessary for HUD to perform an environmental review; a copy of the organization's Code of Conduct and Drug-Free Workplace Certification; and such other documentation as specified by HUD in writing to the project applicant, that confirms or clarifies information provided in the project application. HUD will notify SHP, S+C, and SRO project applicants of the deadline for submission of such information. If a project applicant is unable to meet any conditions of award within the specified timeframe, HUD reserves the right not to award funds to the project applicant and add them to funds available for the next competition for the applicable program.
2. **Applicant Debriefing:** See the FY2011 General Section for CoC and project applicant debriefing procedures.
3. **Appeals Process:** CoC applicants and project applicants may appeal the results of HUD's review and selection process if they believe HUD error has occurred. Appeals must be submitted in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes has occurred.

## B. Administrative and National Policy Requirements

1. **Administrative and Other Program Requirements:**
  - a. Federal agencies are required to measure the performance of their programs. HUD captures this information not only from monitoring visits and Annual Performance Reports (APRs), but also on the data gathered in annual Competitions. HUD's homeless assistance programs are being measured in FY2011 by the objective to—end chronic homelessness and to move homeless families and individuals to permanent housing. HUD has chosen five indicators which directly relate to the CoC homeless assistance programs. These five indicators, as described below, will be collected in both the CoC application (Exhibit 1) and the project application (Exhibit 2):
    - (1) The percentage of formerly homeless individuals who remain housed in HUD permanent housing projects for at least 6 months will be at least 77 percent.
    - (2) The percentage of homeless persons who have moved from HUD transitional housing into permanent housing will be at least 65 percent.
    - (3) The employment rate of persons exiting HUD homeless assistance projects will be at least 20 percent.
    - (4) The creation of new permanent housing beds for chronically homeless

individuals.

(5) A decreasing number of homeless households with children.

- b. To achieve this objective and each of these measurable indicators, HUD needs your community's help. The emphasis in this year's Competition on permanently housing homeless persons and helping clients access mainstream service programs and jobs, are all aligned with HUD's performance objective and its performance indicators.

Procurement of Recovered Materials. See the FY2011 General Section for further information.

Other Administrative Requirements. Reference the FY2011 General Section for other administrative requirements.

2. **Sanctions:** Should HUD determine, in its sole discretion, that sufficient evidence exists to confirm that the entity responsible for convening and managing the CoC process in a community has failed to follow locally established or accepted procedures governing the conduct of that process or has failed to provide for a fair process, including a project priority selection process that gives equal consideration to projects proposed by nonprofit organizations, HUD reserves the authority to impose sanctions up to and including a prohibition on that entity and the individuals comprising that entity from participating in that capacity in the future. In making this determination, HUD will consider as evidence court proceedings and decisions, or the determinations of other independent and impartial review bodies.
3. **Timeliness Standards:** The FY2011 Continuing Appropriations Act requires HUD to obligate all FY2011 CoC homeless assistance funds by September 30, 2013, except for \$5,000,000 which is available until expended and is required for awards with a 10-year term. All obligated funds remain available for expenditure until September 30, 2018, except funds for rehabilitation projects with 10-year grant terms, which remain available until expended. HUD reserves the right; however, to require an earlier expenditure deadline under a grant agreement. The project applicant/grantee is expected to initiate the approved projects promptly in accordance with the requirements of this section of this NOFA. Grant terms, and associated grant operations, cannot extend beyond the availability of funds. Project applicants must plan accordingly and only submit applications that can start operations in a timely manner with enough time to complete within the awarded grant term. In addition, HUD will take action if the project applicant/grantee fails to satisfy the following timeliness standards:
- a. *SHP:* HUD will deselect an award if the project applicant/grantee does not demonstrate site control within 1 year of the date of its grant award letter, as required by the McKinney-Vento Act (see 42 USC 11386(a)(3)) and implemented in program regulations at 24 CFR 583.320(a). HUD may de-obligate SHP funds if the following additional timeliness standards are not met:
- (1) Construction activities do not begin within 18 months of the date of HUD's grant

award notification letter and be completed within 36 months after that notification.

- (2) Activities that cannot begin until construction activities are completed, such as supportive services or operating activities that will be conducted within the building being rehabilitated or newly constructed, do not begin within 3 months after construction is completed.
  - (3) All activities that may precede independent of construction activities, including HMIS and supportive services, do not begin within 12 months of the date of HUD's grant award letter. HUD may deselect or terminate a grant agreement if the grantee is not in compliance with this requirement. HUD may reduce a grant agreement term to 1 year where implementation delays have reduced the amount of funds that reasonably can be used in the original term.
- b. *S+C PRA with Rehabilitation Component.* HUD may deselect an award or deobligate S+C funds if a project applicant/grantee does not meet the following timeliness standards:
- (1) For Project-based Rental Assistance with rehabilitation, the project applicant/grantee must complete the rehabilitation within 12 months of the date of HUD's grant award letter.
- c. *Section 8 Moderate Rehabilitation SRO Program and SRO Component of the S+C Program.* For the Section 8 SRO program and the SRO component of the S+C program projects awarded under this NOFA, the Annual Contributions Contract (ACC) must be executed no later than September 30, 2013. The rehabilitation work must be completed and the HAP contract executed within 12 months of execution of the ACC. HUD may reduce the number of units or the amount of the annual contribution commitment if, in HUD's determination, the Public Housing Agency fails to demonstrate a good faith effort to adhere to this schedule.

### C. Reporting

1. In accordance with program regulations at 24 CFR 582.300(d), 24 CFR 583.300(g), and 24 CFR 882.808(p), applicants must maintain records and within the timeframe required, make any reports, including those pertaining to race, ethnicity, gender, and disability status that HUD may require. Applicants may report this data as part of their APR submission to HUD. Also, project applicants/grantees who expend \$500,000 or more in a year in federal awards are reminded they must have a single or program-specific audit for that year in accordance with the provisions of 24 CFR Part 45 and OMB Circular No. A-133.
2. **Section 3 Reporting Regulations:** Pursuant to 24 CFR 135.3(a)(2), the Section 3 (of this NOFA) requirements apply to housing and community development assistance that is used for housing rehabilitation, housing construction and other public construction. Grantees doing any of these activities must submit a HUD-60002 to the Office of Fair Housing and



Equal Opportunity (FHEO) at the time they submit their APR to the Office of Special Needs Assistance Programs. This form may be completed electronically at <https://www.hud.gov/section3>.

3. **Compliance with the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109-282) (Transparency Act), as amended.** Award notices may also include requirements for subaward reporting in compliance with the requirements of the Federal Financial Assistance Accountability and Transparency Act of 2006 (Pub. L. 109-282) (Transparency Act) and Section 872 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Pub. L. 110-417), referred to as–Section 872. See Section III.C.5.t. of the FY2011 General Section for further information.

## VII. Agency Contacts

- A. **For Further Information.** Individuals may contact the NOFA Information Center at 1-800- 483-8929. Individuals who are hearing or speech-impaired should use the Information Relay Service at 1-800-877-8339 (these are toll-free numbers). Grantees and individuals can use the locator at: <http://www.hudhre.info/index.cfm?do=viewCocContacts> to find contact information for a CoC Lead Agency. To contact the local HUD Office serving the CoC's jurisdiction, contact information is available through HUD's website at: [www.hud.gov/offices/cpd/about/staff/fodirectors](http://www.hud.gov/offices/cpd/about/staff/fodirectors).
- B. **For Technical Assistance.** HUD will make appropriate resources available for technical assistance related to *e-snaps*. Specifically, HUD will make available *e-snaps* Virtual Help Desk at [www.hudhre.info/helpdesk](http://www.hudhre.info/helpdesk). To address technical or other questions, the local HUD CPD field office will also be available to help citizens identify organizations in the community that are involved in developing the CoC system. Contact information for each local HUD CPD field office is available online at [www.hud.gov/offices/cpd/about/staff/fodirectors](http://www.hud.gov/offices/cpd/about/staff/fodirectors). HUD staff and contractors cannot provide CoCs and applicants with guidance that will result in a competitive advantage for any CoC or project applicant.

Following conditional selection of applications, HUD staff will be available to assist selected project applicants in clarifying or confirming information that is a prerequisite to the offer of a grant agreement or Annual Contributions Contract by HUD. However, between the application deadline and the announcement of conditional selections, HUD will accept no information that would improve the substantive quality of a CoC's application (Exhibit 1) pertinent to HUD's funding decision.

- C. **NOFA Broadcast/Webcast.** HUD will hold one or more information broadcasts/webcasts for potential applicants to learn more about the program and preparation of the application. Viewing of these broadcasts/webcasts is highly recommended, as they provide critical information on the application process. For more information about the date and time of the broadcast/webcast, individuals should consult the HUD web site at: <http://www.hud.gov/offices/adm/grants/fundsavail.cfm>.

## VIII. Other Information

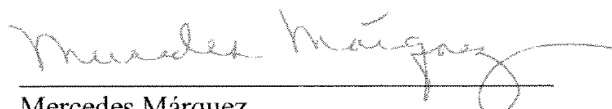
### A. Paperwork Reduction Act

The information collection requirements contained in this document have been submitted for approval by the Office of Management and Budget (OMB) under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520) and the OMB approval number is 2506-0112. In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a currently valid OMB control number. Public reporting burden for the collection of information is estimated to average 190 hours per annum per respondent for the application and grant administration. This includes the time for collecting, reviewing, and reporting the data for the application, semi-annual reports and final report. The information will be used for grantee selection and monitoring the administration of funds. Response to this request for information is required in order to receive the benefits to be derived.

### B. Findings and Certifications

1. **Environmental:** This NOFA provides funding under, and does not alter the environmental requirements of 24 CFR Parts 582 and 583 and Subpart H of 24 CFR Part 882. Accordingly, under 24 CFR 50.19(c)(5), this NOFA is categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321). For environmental review of activities funded under this NOFA, see the requirements described at Section III.E.3.g of this NOFA.

Dated: 8/26/11



Mercedes Márquez  
Assistant Secretary for Community Planning  
and Development

## Appendix A: List of Counties Defined as Rural for the FY2011 Selection Criteria

In an effort to reach underserved rural areas, HUD has modified the selection criteria for the FY2011 CoC competition to give projects proposing to serve 100 percent rural areas a priority. To help CoCs plan accordingly, HUD is publishing a list of areas defined as rural for the FY2011 CoC Competition. To develop the list of rural areas, HUD used Section 491(k)(2)(A) and Section 491(k)(2)(B) of the McKinney-Vento Act, as amended by the HEARTH Act. For the FY2011 CoC Competition, HUD is defining—area or community to mean county or its equivalent as this fits within the PPRN jurisdictions listed in *e-snaps*. Therefore, for the FY2011 CoC Competition a rural county is:

- Any county, or its equivalent, no part of which is within an area designated as a standard metropolitan statistical area by the Office of Management and Budget; or
- Any county, or its equivalent, that is within an area designated as a metropolitan statistical area or considered part of a metropolitan statistical area; and where at least 75 percent of the population is rural.

To be considered a rural project in the new selection criteria a project must serve 100 percent rural counties, as defined below, and must attach a spreadsheet identifying the proposed location of units and/or structures within each rural county.

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
029013	AK	Aleutians East Borough	029201	AK	Prince of Wales-Outer Ket
029016	AK	Aleutians West Census Are	029220	AK	Sitka city and Borough
029050	AK	Bethel Census Area	029232	AK	Skagway-Hoonah-Angoon Census
029060	AK	Bristol Bay Borough	029240	AK	Southeast Fairbanks Census
029068	AK	Denali Borough	029261	AK	Valdez-Cordova Census Are
029070	AK	Dillingham Census Area	029270	AK	Wade Hampton Census Area
029100	AK	Haines Borough	029280	AK	Wrangell-Petersburg Census
			029282	AK	Yakutat city and Borough
029110	AK	Juneau city and Borough	029290	AK	Yukon-Koyukuk Census Area,
029122	AK	Kenai Peninsula Borough			
			019003	AL	Baldwin County
029130	AK	Ketchikan Gateway Borough			
			019005	AL	Barbour County
029150	AK	Kodiak Island Borough			
029164	AK	Lake and Peninsula Borough	019007	AL	Bibb County
029180	AK	Nome Census Area			
029185	AK	North Slope Borough	019009	AL	Blount County
029188	AK	Northwest Arctic Borough	019011	AL	Bullock County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
019013	AL	Butler County			
			019085	AL	Lowndes County
019017	AL	Chambers County			
019019	AL	Cherokee County	019087	AL	Macon County
			019091	AL	Marengo County
019021	AL	Chilton County	019093	AL	Marion County
019023	AL	Choctaw County			
019025	AL	Clarke County	019095	AL	Marshall County
019027	AL	Clay County	019099	AL	Monroe County
019029	AL	Cleburne County	019105	AL	Perry County
			019107	AL	Pickens County
019031	AL	Coffee County			
019035	AL	Conecuh County	019109	AL	Pike County
			019111	AL	Randolph County
019037	AL	Coosa County			
019039	AL	Covington County	019115	AL	St. Clair County
019041	AL	Crenshaw County	019119	AL	Sumter County
019043	AL	Cullman County	019121	AL	Talladega County
019045	AL	Dale County	019123	AL	Tallapoosa County
019047	AL	Dallas County	019127	AL	Walker County
			019129	AL	Washington County
019049	AL	DeKalb County	019131	AL	Wilcox County
019053	AL	Escambia County	019133	AL	Winston County
019057	AL	Fayette County	059001	AR	Arkansas County
019059	AL	Franklin County	059003	AR	Ashley County
019061	AL	Geneva County	059005	AR	Baxter County
019063	AL	Greene County	059009	AR	Boone County
			059011	AR	Bradley County
019065	AL	Hale County			
			059013	AR	Calhoun County
019067	AL	Henry County	059015	AR	Carroll County
			059017	AR	Chicot County
019071	AL	Jackson County			
019075	AL	Lamar County	059019	AR	Clark County
			059021	AR	Clay County
019079	AL	Lawrence County	059023	AR	Cleburne County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
059025	AR	Cleveland County	059101	AR	Newton County
059027	AR	Columbia County	059103	AR	Ouachita County
059029	AR	Conway County			
059037	AR	Cross County	059105	AR	Perry County
059039	AR	Dallas County			
059041	AR	Desha County	059107	AR	Phillips County
059043	AR	Drew County	059109	AR	Pike County
			059113	AR	Polk County
059047	AR	Franklin County			
059049	AR	Fulton County	059115	AR	Pope County
			059117	AR	Prairie County
059053	AR	Grant County	059121	AR	Randolph County
059055	AR	Greene County	059127	AR	Scott County
			059129	AR	Searcy County
059057	AR	Hempstead County	059133	AR	Sevier County
059059	AR	Hot Spring County	059135	AR	Sharp County
059061	AR	Howard County	059123	AR	St. Francis County
			059137	AR	Stone County
059063	AR	Independence County			
059065	AR	Izard County	059139	AR	Union County
059067	AR	Jackson County	059141	AR	Van Buren County
059071	AR	Johnson County			
059073	AR	Lafayette County	059145	AR	White County
059075	AR	Lawrence County	059147	AR	Woodruff County
059077	AR	Lee County			
			059149	AR	Yell County
059079	AR	Lincoln County	049001	AZ	Apache County
059081	AR	Little River County			
059083	AR	Logan County	049003	AZ	Cochise County
059087	AR	Madison County	049007	AZ	Gila County
059089	AR	Marion County			
			049009	AZ	Graham County
059093	AR	Mississippi County			
059095	AR	Monroe County	049011	AZ	Greenlee County
059097	AR	Montgomery County	049012	AZ	La Paz County
059099	AR	Nevada County	049017	AZ	Navajo County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
			089023	CO	Costilla County
049023	AZ	Santa Cruz County	089025	CO	Crowley County
069003	CA	Alpine County	089027	CO	Custer County
069005	CA	Amador County	089029	CO	Delta County
069009	CA	Calaveras County	089033	CO	Dolores County
069011	CA	Colusa County			
			089037	CO	Eagle County
069015	CA	Del Norte County			
069021	CA	Glenn County	089039	CO	Elbert County
069023	CA	Humboldt County	089043	CO	Fremont County
			089045	CO	Garfield County
069027	CA	Inyo County			
			089047	CO	Gilpin County
069033	CA	Lake County	089049	CO	Grand County
			089051	CO	Gunnison County
069035	CA	Lassen County	089053	CO	Hinsdale County
069043	CA	Mariposa County	089055	CO	Huerfano County
			089057	CO	Jackson County
069045	CA	Mendocino County	089061	CO	Kiowa County
069049	CA	Modoc County	089063	CO	Kit Carson County
069051	CA	Mono County			
			089067	CO	La Plata County
069057	CA	Nevada County			
069063	CA	Plumas County	089065	CO	Lake County
069091	CA	Sierra County	089071	CO	Las Animas County
069093	CA	Siskiyou County	089073	CO	Lincoln County
069103	CA	Tehama County	089075	CO	Logan County
069105	CA	Trinity County	089079	CO	Mineral County
			089081	CO	Moffat County
069109	CA	Tuolumne County	089083	CO	Montezuma County
089003	CO	Alamosa County			
089007	CO	Archuleta County	089085	CO	Montrose County
089009	CO	Baca County			
089011	CO	Bent County	089087	CO	Morgan County
089015	CO	Chaffee County	089089	CO	Otero County
089017	CO	Cheyenne County	089091	CO	Ouray County
089019	CO	Clear Creek County	089093	CO	Park County
089021	CO	Conejos County	089095	CO	Phillips County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
089097	CO	Pitkin County	129063	FL	Jackson County
089099	CO	Prowers County			
089103	CO	Rio Blanco County	129065	FL	Jefferson County
089105	CO	Rio Grande County	129067	FL	Lafayette County
089107	CO	Routt County	129075	FL	Levy County
089109	CO	Saguache County	129077	FL	Liberty County
089111	CO	San Juan County	129079	FL	Madison County
089113	CO	San Miguel County			
089115	CO	Sedgwick County	129087	FL	Monroe County
089117	CO	Summit County	129093	FL	Okeechobee County
089121	CO	Washington County			
089125	CO	Yuma County	129107	FL	Putnam County
099005	CT	Litchfield County	129119	FL	Sumter County
			129121	FL	Suwannee County
099015	CT	Windham County	129123	FL	Taylor County
			129125	FL	Union County
109005	DE	Sussex County			
129007	FL	Bradford County	129129	FL	Wakulla County
129013	FL	Calhoun County	129131	FL	Walton County
			129133	FL	Washington County
129017	FL	Citrus County	139001	GA	Appling County
129023	FL	Columbia County	139003	GA	Atkinson County
			139005	GA	Bacon County
129027	FL	DeSoto County			
129029	FL	Dixie County	139007	GA	Baker County
129037	FL	Franklin County			
			139009	GA	Baldwin County
129041	FL	Gilchrist County	139011	GA	Banks County
129043	FL	Glades County			
129045	FL	Gulf County	139017	GA	Ben Hill County
129047	FL	Hamilton County	139019	GA	Berrien County
			139023	GA	Bleckley County
129049	FL	Hardee County			
			139025	GA	Brantley County
129051	FL	Hendry County			
			139031	GA	Bulloch County
129055	FL	Highlands County			
129059	FL	Holmes County	139033	GA	Burke County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
139035	GA	Butts County	139129	GA	Gordon County
139037	GA	Calhoun County	139131	GA	Grady County
			139133	GA	Greene County
139039	GA	Camden County			
139043	GA	Candler County	139137	GA	Habersham County
139049	GA	Charlton County			
			139141	GA	Hancock County
139055	GA	Chattooga County			
139061	GA	Clay County	139143	GA	Haralson County
139065	GA	Clinch County			
			139145	GA	Harris County
139069	GA	Coffee County	139147	GA	Hart County
139071	GA	Colquitt County	139149	GA	Heard County
139075	GA	Cook County			
			139155	GA	Irwin County
139079	GA	Crawford County	139157	GA	Jackson County
139081	GA	Crisp County	139159	GA	Jasper County
			139161	GA	Jeff Davis County
139083	GA	Dade County	139163	GA	Jefferson County
			139165	GA	Jenkins County
139085	GA	Dawson County			
			139167	GA	Johnson County
139087	GA	Decatur County			
139091	GA	Dodge County	139169	GA	Jones County
139093	GA	Dooly County			
139099	GA	Early County	139173	GA	Lanier County
139101	GA	Echols County	139175	GA	Laurens County
			139181	GA	Lincoln County
139103	GA	Effingham County			
139105	GA	Elbert County	139183	GA	Long County
139107	GA	Emanuel County	139187	GA	Lumpkin County
139109	GA	Evans County	139193	GA	Macon County
139111	GA	Fannin County			
139119	GA	Franklin County	139195	GA	Madison County
139123	GA	Gilmer County			
139125	GA	Glascocock County	139197	GA	Marion County



GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
139199	GA	Meriwether County	139275	GA	Thomas County
139201	GA	Miller County			
139205	GA	Mitchell County	139277	GA	Tift County
139207	GA	Monroe County	139279	GA	Toombs County
			139281	GA	Towns County
139209	GA	Montgomery County	139283	GA	Treutlen County
139211	GA	Morgan County			
			139285	GA	Troup County
139221	GA	Oglethorpe County	139287	GA	Turner County
139225	GA	Peach County	139289	GA	Twiggs County
			139291	GA	Union County
139227	GA	Pickens County			
			139293	GA	Upson County
139229	GA	Pierce County			
			139299	GA	Ware County
139231	GA	Pike County	139301	GA	Warren County
			139303	GA	Washington County
139233	GA	Polk County			
139235	GA	Pulaski County	139305	GA	Wayne County
139237	GA	Putnam County	139307	GA	Webster County
			139309	GA	Wheeler County
139239	GA	Quitman County	139311	GA	White County
139241	GA	Rabun County	139315	GA	Wilcox County
139243	GA	Randolph County	139317	GA	Wilkes County
			139319	GA	Wilkinson County
139249	GA	Schley County			
139251	GA	Screven County	159001	HI	Hawaii County
139253	GA	Seminole County	NA	HI	Kalawao County
139257	GA	Stephens County	159007	HI	Kauai County
139259	GA	Stewart County			
			159009	HI	Maui County
139261	GA	Sumter County	199001	IA	Adair County
139263	GA	Talbot County	199003	IA	Adams County
139265	GA	Taliaferro County	199005	IA	Allamakee County
139267	GA	Tattnall County	199007	IA	Appanoose County
139269	GA	Taylor County	199009	IA	Audubon County
139271	GA	Telfair County			

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
199015	IA	Boone County	199085	IA	Harrison County
199019	IA	Buchanan County	199087	IA	Henry County
199021	IA	Buena Vista County	199089	IA	Howard County
			199091	IA	Humboldt County
199023	IA	Butler County	199093	IA	Ida County
199025	IA	Calhoun County	199095	IA	Iowa County
199027	IA	Carroll County	199097	IA	Jackson County
199029	IA	Cass County			
199031	IA	Cedar County	199099	IA	Jasper County
199033	IA	Cerro Gordo County	199101	IA	Jefferson County
			199107	IA	Keokuk County
199035	IA	Cherokee County	199109	IA	Kossuth County
199037	IA	Chickasaw County			
199039	IA	Clarke County	199111	IA	Lee County
199041	IA	Clay County	199115	IA	Louisa County
199043	IA	Clayton County	199117	IA	Lucas County
199045	IA	Clinton County	199119	IA	Lyon County
199047	IA	Crawford County	199123	IA	Mahaska County
199051	IA	Davis County			
199053	IA	Decatur County	199125	IA	Marion County
199055	IA	Delaware County			
199057	IA	Des Moines County	199127	IA	Marshall County
			199131	IA	Mitchell County
199059	IA	Dickinson County	199133	IA	Monona County
			199135	IA	Monroe County
199063	IA	Emmet County	199137	IA	Montgomery County
199065	IA	Fayette County			
199067	IA	Floyd County	199139	IA	Muscatine County
199069	IA	Franklin County	199141	IA	O'Brien County
199071	IA	Fremont County	199143	IA	Osceola County
199073	IA	Greene County	199145	IA	Page County
199075	IA	Grundy County	199147	IA	Palo Alto County
			199149	IA	Plymouth County
			199151	IA	Pocahontas County
199077	IA	Guthrie County	199157	IA	Poweshiek County
199079	IA	Hamilton County	199159	IA	Ringgold County
199081	IA	Hancock County	199161	IA	Sac County
199083	IA	Hardin County	199165	IA	Shelby County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
199167	IA	Sioux County			
199171	IA	Tama County	169053	ID	Jerome County
199173	IA	Taylor County			
199175	IA	Union County	169057	ID	Latah County
199177	IA	Van Buren County	169059	ID	Lemhi County
			169061	ID	Lewis County
199179	IA	Wapello County	169063	ID	Lincoln County
199185	IA	Wayne County			
			169065	ID	Madison County
199187	IA	Webster County			
199189	IA	Winnebago County	169067	ID	Minidoka County
199191	IA	Winneshiek County	169071	ID	Oneida County
199195	IA	Worth County	169073	ID	Owyhee County
199197	IA	Wright County			
169003	ID	Adams County	169075	ID	Payette County
169007	ID	Bear Lake County	169079	ID	Shoshone County
169009	ID	Benewah County			
			169081	ID	Teton County
169011	ID	Bingham County			
169013	ID	Blaine County	169083	ID	Twin Falls County
			169085	ID	Valley County
169015	ID	Boise County	169087	ID	Washington County
169017	ID	Bonner County			
169021	ID	Boundary County	179001	IL	Adams County
169023	ID	Butte County	179009	IL	Brown County
169025	ID	Camas County			
169029	ID	Caribou County	179011	IL	Bureau County
169031	ID	Cassia County	179013	IL	Calhoun County
169033	ID	Clark County	179015	IL	Carroll County
169035	ID	Clearwater County	179017	IL	Cass County
169037	ID	Custer County			
			179021	IL	Christian County
169039	ID	Elmore County	179023	IL	Clark County
			179025	IL	Clay County
169043	ID	Fremont County			
169047	ID	Gooding County	179029	IL	Coles County
169049	ID	Idaho County	179033	IL	Crawford County
169051	ID	Jefferson County	179035	IL	Cumberland County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
179039	IL	De Witt County			
179041	IL	Douglas County	179125	IL	Mason County
179045	IL	Edgar County	179127	IL	Massac County
179047	IL	Edwards County			
			179109	IL	McDonough County
179049	IL	Effingham County			
179051	IL	Fayette County	179129	IL	Menard County
179055	IL	Franklin County			
			179131	IL	Mercer County
179057	IL	Fulton County	179135	IL	Montgomery County
179059	IL	Gallatin County			
179061	IL	Greene County	179137	IL	Morgan County
			179139	IL	Moultrie County
179065	IL	Hamilton County			
179067	IL	Hancock County	179141	IL	Ogle County
179069	IL	Hardin County	179145	IL	Perry County
			179149	IL	Pike County
179071	IL	Henderson County	179151	IL	Pope County
179075	IL	Iroquois County	179153	IL	Pulaski County
179077	IL	Jackson County	179155	IL	Putnam County
179079	IL	Jasper County	179157	IL	Randolph County
			179159	IL	Richland County
179081	IL	Jefferson County			
179085	IL	Jo Daviess County	179165	IL	Saline County
179087	IL	Johnson County	179169	IL	Schuyler County
179095	IL	Knox County	179171	IL	Scott County
			179173	IL	Shelby County
179099	IL	LaSalle County			
179101	IL	Lawrence County	179175	IL	Stark County
179103	IL	Lee County	179177	IL	Stephenson County
			179181	IL	Union County
179105	IL	Livingston County	179185	IL	Wabash County
179107	IL	Logan County	179187	IL	Warren County
			179189	IL	Washington County
179121	IL	Marion County	179191	IL	Wayne County
			179193	IL	White County
179123	IL	Marshall County			

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
179195	IL	Whiteside County	189071	IN	Jackson County
179199	IL	Williamson County	189075	IN	Jay County
			189077	IN	Jefferson County
189001	IN	Adams County	189079	IN	Jennings County
189007	IN	Benton County	189083	IN	Knox County
189009	IN	Blackford County	189085	IN	Kosciusko County
189013	IN	Brown County	189087	IN	LaGrange County
189015	IN	Carroll County	189093	IN	Lawrence County
189017	IN	Cass County	189099	IN	Marshall County
189023	IN	Clinton County	189101	IN	Martin County
189025	IN	Crawford County	189103	IN	Miami County
189027	IN	Daviess County	189107	IN	Montgomery County
189031	IN	Decatur County	189111	IN	Newton County
189033	IN	DeKalb County	189113	IN	Noble County
189037	IN	Dubois County	189115	IN	Ohio County
189041	IN	Fayette County	189117	IN	Orange County
189045	IN	Fountain County	189119	IN	Owen County
189047	IN	Franklin County	189121	IN	Parke County
189049	IN	Fulton County	189123	IN	Perry County
189053	IN	Grant County	189125	IN	Pike County
189061	IN	Harrison County	189131	IN	Pulaski County
			189135	IN	Randolph County
189065	IN	Henry County	189137	IN	Ripley County
			189139	IN	Rush County
189069	IN	Huntington County	189143	IN	Scott County
			189147	IN	Spencer County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
189149	IN	Starke County			
			209043	KS	Doniphan County
189151	IN	Steuben County	209047	KS	Edwards County
189155	IN	Switzerland County	209049	KS	Elk County
189161	IN	Union County			
			209051	KS	Ellis County
189169	IN	Wabash County	209053	KS	Ellsworth County
189171	IN	Warren County			
			209055	KS	Finney County
189175	IN	Washington County			
			209057	KS	Ford County
189177	IN	Wayne County	209063	KS	Gove County
189181	IN	White County	209065	KS	Graham County
			209067	KS	Grant County
189183	IN	Whitley County	209069	KS	Gray County
209001	KS	Allen County	209071	KS	Greeley County
209003	KS	Anderson County	209073	KS	Greenwood County
			209075	KS	Hamilton County
209005	KS	Atchison County	209077	KS	Harper County
209007	KS	Barber County	209081	KS	Haskell County
			209083	KS	Hodgeman County
209009	KS	Barton County			
209011	KS	Bourbon County	209085	KS	Jackson County
209013	KS	Brown County			
			209087	KS	Jefferson County
209015	KS	Butler County	209089	KS	Jewell County
209017	KS	Chase County	209093	KS	Kearny County
209019	KS	Chautauqua County	209095	KS	Kingman County
209021	KS	Cherokee County	209097	KS	Kiowa County
209023	KS	Cheyenne County			
209025	KS	Clark County	209099	KS	Labette County
209027	KS	Clay County	209101	KS	Lane County
209029	KS	Cloud County	209105	KS	Lincoln County
209031	KS	Coffey County			
209033	KS	Comanche County	209107	KS	Linn County
			209109	KS	Logan County
209035	KS	Cowley County			
			209111	KS	Lyon County
209037	KS	Crawford County			
209039	KS	Decatur County	209115	KS	Marion County
209041	KS	Dickinson County	209117	KS	Marshall County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
209113	KS	McPherson County	209195	KS	Trego County
209119	KS	Meade County			
209123	KS	Mitchell County	209197	KS	Wabaunsee County
			209199	KS	Wallace County
209125	KS	Montgomery County	209201	KS	Washington County
209127	KS	Morris County	209203	KS	Wichita County
209129	KS	Morton County	209205	KS	Wilson County
209131	KS	Nemaha County	209207	KS	Woodson County
209133	KS	Neosho County	219001	KY	Adair County
209135	KS	Ness County	219003	KY	Allen County
209137	KS	Norton County			
			219005	KY	Anderson County
209139	KS	Osage County			
209141	KS	Osborne County	219007	KY	Ballard County
209143	KS	Ottawa County	219009	KY	Barren County
209145	KS	Pawnee County			
209147	KS	Phillips County	219011	KY	Bath County
209149	KS	Pottawatomie County	219013	KY	Bell County
209151	KS	Pratt County			
209153	KS	Rawlins County	219021	KY	Boyle County
209155	KS	Reno County	219023	KY	Bracken County
209157	KS	Republic County	219025	KY	Breathitt County
209159	KS	Rice County	219027	KY	Breckinridge County
209163	KS	Rooks County	219031	KY	Butler County
209165	KS	Rush County	219033	KY	Caldwell County
209167	KS	Russell County			
			219035	KY	Calloway County
209169	KS	Saline County	219039	KY	Carlisle County
209171	KS	Scott County	219041	KY	Carroll County
			219043	KY	Carter County
209175	KS	Seward County	219045	KY	Casey County
209179	KS	Sheridan County	219051	KY	Clay County
209181	KS	Sherman County	219053	KY	Clinton County
209183	KS	Smith County	219055	KY	Crittenden County
209185	KS	Stafford County	219057	KY	Cumberland County
209187	KS	Stanton County			
209189	KS	Stevens County	219061	KY	Edmonson County
209193	KS	Thomas County	219063	KY	Elliott County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
219065	KY	Estill County			
219069	KY	Fleming County	219137	KY	Lincoln County
219071	KY	Floyd County			
			219139	KY	Livingston County
219073	KY	Franklin County	219141	KY	Logan County
			219143	KY	Lyon County
219075	KY	Fulton County			
			219151	KY	Madison County
219077	KY	Gallatin County	219153	KY	Magoffin County
219079	KY	Garrard County			
			219155	KY	Marion County
219081	KY	Grant County			
			219157	KY	Marshall County
219083	KY	Graves County	219159	KY	Martin County
219085	KY	Grayson County	219161	KY	Mason County
219087	KY	Green County	219145	KY	McCracken County
			219147	KY	McCreary County
219091	KY	Hancock County			
219093	KY	Hardin County	219149	KY	McLean County
219095	KY	Harlan County			
219097	KY	Harrison County	219163	KY	Meade County
219099	KY	Hart County			
			219165	KY	Menifee County
219103	KY	Henry County	219167	KY	Mercer County
219105	KY	Hickman County			
			219169	KY	Metcalfe County
219107	KY	Hopkins County	219171	KY	Monroe County
219109	KY	Jackson County			
219115	KY	Johnson County	219173	KY	Montgomery County
219119	KY	Knott County	219175	KY	Morgan County
219121	KY	Knox County			
			219177	KY	Muhlenberg County
219123	KY	Larue County	219181	KY	Nicholas County
			219183	KY	Ohio County
219125	KY	Laurel County	219187	KY	Owen County
219127	KY	Lawrence County	219189	KY	Owsley County
219129	KY	Lee County			
219131	KY	Leslie County	219191	KY	Pendleton County
219133	KY	Letcher County	219193	KY	Perry County
			219195	KY	Pike County
219135	KY	Lewis County	219197	KY	Powell County



GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
			229035	LA	East Carroll Parish
219199	KY	Pulaski County			
219201	KY	Robertson County	229037	LA	East Feliciana Parish
			229039	LA	Evangeline Parish
219203	KY	Rockcastle County	229041	LA	Franklin Parish
219205	KY	Rowan County			
219207	KY	Russell County	229043	LA	Grant Parish
219213	KY	Simpson County			
			229045	LA	Iberia Parish
219215	KY	Spencer County			
			229049	LA	Jackson Parish
219217	KY	Taylor County			
219219	KY	Todd County	229053	LA	Jefferson Davis Parish
			229059	LA	La Salle Parish
219221	KY	Trigg County			
			229061	LA	Lincoln Parish
219223	KY	Trimble County			
219225	KY	Union County	229065	LA	Madison Parish
219229	KY	Washington County			
219231	KY	Wayne County	229067	LA	Morehouse Parish
219233	KY	Webster County	229069	LA	Natchitoches Parish
			229081	LA	Red River Parish
219235	KY	Whitley County	229083	LA	Richland Parish
219237	KY	Wolfe County	229085	LA	Sabine Parish
229001	LA	Acadia Parish	229091	LA	St. Helena Parish
229003	LA	Allen Parish	229093	LA	St. James Parish
229007	LA	Assumption Parish	229097	LA	St. Landry Parish
229009	LA	Avoyelles Parish			
			229101	LA	St. Mary Parish
229011	LA	Beauregard Parish			
229013	LA	Bienville Parish	229105	LA	Tangipahoa Parish
229021	LA	Caldwell Parish	229107	LA	Tensas Parish
229023	LA	Cameron Parish	229111	LA	Union Parish
229025	LA	Catahoula Parish			
229027	LA	Claiborne Parish	229113	LA	Vermilion Parish
229029	LA	Concordia Parish	229115	LA	Vernon Parish

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
			269011	MI	Arenac County
229117	LA	Washington Parish	269013	MI	Baraga County
229119	LA	Webster Parish	269015	MI	Barry County
229123	LA	West Carroll Parish			
			269019	MI	Benzie County
229125	LA	West Feliciana Parish			
229127	LA	Winn Parish	269023	MI	Branch County
259007	MA	Dukes County			
259019	MA	Nantucket County	269027	MI	Cass County
249011	MD	Caroline County	269029	MI	Charlevoix County
			269031	MI	Cheboygan County
249019	MD	Dorchester County			
249023	MD	Garrett County	269033	MI	Chippewa County
249029	MD	Kent County	269035	MI	Clare County
			269039	MI	Crawford County
249037	MD	St. Mary's County			
			269041	MI	Delta County
249041	MD	Talbot County			
			269043	MI	Dickinson County
249047	MD	Worcester County	269047	MI	Emmet County
239003	ME	Aroostook County	269051	MI	Gladwin County
239007	ME	Franklin County	269053	MI	Gogebic County
239009	ME	Hancock County			
			269055	MI	Grand Traverse County
239011	ME	Kennebec County			
			269057	MI	Gratiot County
239013	ME	Knox County	269059	MI	Hillsdale County
239015	ME	Lincoln County			
239017	ME	Oxford County	269061	MI	Houghton County
239021	ME	Piscataquis County	269063	MI	Huron County
239025	ME	Somerset County	269069	MI	Iosco County
239027	ME	Waldo County	269071	MI	Iron County
239029	ME	Washington County			
269001	MI	Alcona County	269073	MI	Isabella County
269003	MI	Alger County			
			269079	MI	Kalkaska County
269005	MI	Allegan County			
			269083	MI	Keweenaw County
269007	MI	Alpena County	269085	MI	Lake County
269009	MI	Antrim County			

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
			279001	MN	Aitkin County
269087	MI	Lapeer County	279005	MN	Becker County
269089	MI	Leelanau County	279007	MN	Beltrami County
			279011	MN	Big Stone County
269091	MI	Lenawee County			
269095	MI	Luce County	279015	MN	Brown County
269097	MI	Mackinac County			
269101	MI	Manistee County	279021	MN	Cass County
			279023	MN	Chippewa County
269103	MI	Marquette County	279029	MN	Clearwater County
269105	MI	Mason County	279031	MN	Cook County
			279033	MN	Cottonwood County
269107	MI	Mecosta County			
			279035	MN	Crow Wing County
269109	MI	Menominee County			
			279041	MN	Douglas County
269111	MI	Midland County	279043	MN	Faribault County
			279045	MN	Fillmore County
269113	MI	Missaukee County			
269117	MI	Montcalm County	279047	MN	Freeborn County
269119	MI	Montmorency County			
			279049	MN	Goodhue County
269123	MI	Newaygo County	279051	MN	Grant County
269127	MI	Oceana County	279057	MN	Hubbard County
269129	MI	Ogemaw County	279061	MN	Itasca County
269131	MI	Ontonagon County	279063	MN	Jackson County
269133	MI	Osceola County	279065	MN	Kanabec County
269135	MI	Oscoda County			
269137	MI	Otsego County	279067	MN	Kandiyohi County
269141	MI	Presque Isle County	279069	MN	Kittson County
269143	MI	Roscommon County	279071	MN	Koochiching County
			279073	MN	Lac qui Parle County
269151	MI	Sanilac County	279075	MN	Lake County
269153	MI	Schoolcraft County	279077	MN	Lake of the Woods County
269155	MI	Shiawassee County	279079	MN	Le Sueur County
			279081	MN	Lincoln County
269149	MI	St. Joseph County			
269157	MI	Tuscola County	279083	MN	Lyon County
269165	MI	Wexford County	279087	MN	Mahnomen County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
279089	MN	Marshall County			
279091	MN	Martin County	299001	MO	Adair County
			299005	MO	Atchison County
279085	MN	McLeod County			
279093	MN	Meeker County	299007	MO	Audrain County
279095	MN	Mille Lacs County	299009	MO	Barry County
279097	MN	Morrison County	299011	MO	Barton County
279099	MN	Mower County	299013	MO	Bates County
279101	MN	Murray County	299015	MO	Benton County
279105	MN	Nobles County	299017	MO	Bollinger County
279107	MN	Norman County			
			299023	MO	Butler County
279111	MN	Otter Tail County			
279113	MN	Pennington County	299025	MO	Caldwell County
279115	MN	Pine County	299029	MO	Camden County
279117	MN	Pipestone County	299033	MO	Carroll County
279121	MN	Pope County	299035	MO	Carter County
279125	MN	Red Lake County	299039	MO	Cedar County
279127	MN	Redwood County	299041	MO	Chariton County
279129	MN	Renville County			
			299045	MO	Clark County
279131	MN	Rice County			
279133	MN	Rock County	299049	MO	Clinton County
279135	MN	Roseau County	299053	MO	Cooper County
279143	MN	Sibley County	299055	MO	Crawford County
			299057	MO	Dade County
279147	MN	Steele County			
279149	MN	Stevens County	299059	MO	Dallas County
279151	MN	Swift County	299061	MO	Daviess County
279153	MN	Todd County	299065	MO	Dent County
279155	MN	Traverse County	299067	MO	Douglas County
279159	MN	Wadena County			
279161	MN	Waseca County	299069	MO	Dunklin County
279165	MN	Watonwan County	299073	MO	Gasconade County
			299075	MO	Gentry County
279167	MN	Wilkin County	299079	MO	Grundy County
			299081	MO	Harrison County
279169	MN	Winona County	299083	MO	Henry County
279173	MN	Yellow Medicine County	299085	MO	Hickory County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
299087	MO	Holt County			
			299161	MO	Phelps County
299091	MO	Howell County	299163	MO	Pike County
299093	MO	Iron County			
299095		Jackson County	299169	MO	Pulaski County
			299171	MO	Putnam County
299101	MO	Johnson County			
299103	MO	Knox County	299173	MO	Ralls County
299105	MO	Laclede County	299175	MO	Randolph County
299109	MO	Lawrence County	299179	MO	Reynolds County
			299181	MO	Ripley County
299111	MO	Lewis County	299195	MO	Saline County
			299197	MO	Schuyler County
299113	MO	Lincoln County			
299115	MO	Linn County	299199	MO	Scotland County
299117	MO	Livingston County			
			299201	MO	Scott County
299121	MO	Macon County			
299123	MO	Madison County	299203	MO	Shannon County
299125	MO	Maries County	299205	MO	Shelby County
299127	MO	Marion County			
			299185	MO	St. Clair County
299119	MO	McDonald County	299187	MO	St. Francois County
299129	MO	Mercer County	299186	MO	Ste. Genevieve County
299131	MO	Miller County	299207	MO	Stoddard County
299133	MO	Mississippi County			
299137	MO	Monroe County	299209	MO	Stone County
299139	MO	Montgomery County	299211	MO	Sullivan County
299141	MO	Morgan County			
299143	MO	New Madrid County	299213	MO	Taney County
			299215	MO	Texas County
299147	MO	Nodaway County	299217	MO	Vernon County
299149	MO	Oregon County			
			299219	MO	Warren County
299151	MO	Osage County			
299153	MO	Ozark County	299221	MO	Washington County
299155	MO	Pemiscot County	299223	MO	Wayne County
299157	MO	Perry County			
			299225	MO	Webster County
299159	MO	Pettis County	299227	MO	Worth County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
299229	MO	Wright County			
			289069	MS	Kemper County
289001	MS	Adams County			
			289071	MS	Lafayette County
289003	MS	Alcorn County			
			289075	MS	Lauderdale County
289005	MS	Amite County	289077	MS	Lawrence County
289007	MS	Attala County	289079	MS	Leake County
289009	MS	Benton County			
			289081	MS	Lee County
289011	MS	Bolivar County			
289013	MS	Calhoun County	289083	MS	Leflore County
289015	MS	Carroll County	289085	MS	Lincoln County
289017	MS	Chickasaw County			
289019	MS	Choctaw County	289087	MS	Lowndes County
289021	MS	Claiborne County	289089	MS	Madison County
289023	MS	Clarke County	289091	MS	Marion County
289025	MS	Clay County	289093	MS	Marshall County
			289095	MS	Monroe County
289027	MS	Coahoma County	289097	MS	Montgomery County
289031	MS	Covington County	289099	MS	Neshoba County
289037	MS	Franklin County	289101	MS	Newton County
			289103	MS	Noxubee County
289039	MS	George County			
289041	MS	Greene County	289105	MS	Oktibbeha County
			289107	MS	Panola County
289043	MS	Grenada County			
289051	MS	Holmes County	289109	MS	Pearl River County
289053	MS	Humphreys County			
289055	MS	Issaquena County	289111	MS	Perry County
289057	MS	Itawamba County	289113	MS	Pike County
289061	MS	Jasper County	289115	MS	Pontotoc County
289063	MS	Jefferson County	289117	MS	Prentiss County
289065	MS	Jefferson Davis County	289119	MS	Quitman County
			289123	MS	Scott County
289067	MS	Jones County	289125	MS	Sharkey County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
			309033	MT	Garfield County
289127	MS	Simpson County	309035	MT	Glacier County
289129	MS	Smith County	309037	MT	Golden Valley County
			309039	MT	Granite County
289131	MS	Stone County			
			309041	MT	Hill County
289133	MS	Sunflower County			
289135	MS	Tallahatchie County	309043	MT	Jefferson County
289139	MS	Tippah County	309045	MT	Judith Basin County
289141	MS	Tishomingo County	309047	MT	Lake County
289145	MS	Union County			
289147	MS	Walthall County	309049	MT	Lewis and Clark County
			309051	MT	Liberty County
289149	MS	Warren County	309053	MT	Lincoln County
			309057	MT	Madison County
289151	MS	Washington County	309055	MT	McCone County
289153	MS	Wayne County	309059	MT	Meagher County
289155	MS	Webster County	309061	MT	Mineral County
289157	MS	Wilkinson County	309065	MT	Musselshell County
289159	MS	Winston County	309067	MT	Park County
289161	MS	Yalobusha County	309069	MT	Petroleum County
			309071	MT	Phillips County
289163	MS	Yazoo County	309073	MT	Pondera County
309001	MT	Beaverhead County	309075	MT	Powder River County
309003	MT	Big Horn County	309077	MT	Powell County
309005	MT	Blaine County	309079	MT	Prairie County
309007	MT	Broadwater County	309081	MT	Ravalli County
			309083	MT	Richland County
309009	MT	Carbon County	309085	MT	Roosevelt County
309011	MT	Carter County	309087	MT	Rosebud County
309015	MT	Chouteau County	309089	MT	Sanders County
309017	MT	Custer County	309091	MT	Sheridan County
309019	MT	Daniels County			
309021	MT	Dawson County	309093	MT	Silver Bow County
309023	MT	Deer Lodge County	309095	MT	Stillwater County
309025	MT	Fallon County	309097	MT	Sweet Grass County
309027	MT	Fergus County	309099	MT	Teton County
			309101	MT	Toole County
309029	MT	Flathead County	309103	MT	Treasure County
			309105	MT	Valley County
309031	MT	Gallatin County			

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
309107	MT	Wheatland County			
309109	MT	Wibaux County	379079	NC	Greene County
379003	NC	Alexander County	379083	NC	Halifax County
379005	NC	Alleghany County			
379009	NC	Ashe County	379085	NC	Harnett County
379011	NC	Avery County	379091	NC	Hertford County
			379095	NC	Hyde County
379013	NC	Beaufort County			
379015	NC	Bertie County	379097	NC	Iredell County
379017	NC	Bladen County	379099	NC	Jackson County
379029	NC	Camden County	379103	NC	Jones County
379031	NC	Carteret County	379105	NC	Lee County
379033	NC	Caswell County			
			379107	NC	Lenoir County
379037	NC	Chatham County			
379039	NC	Cherokee County	379109	NC	Lincoln County
379041	NC	Chowan County	379113	NC	Macon County
379043	NC	Clay County	379115	NC	Madison County
379045	NC	Cleveland County	379117	NC	Martin County
379047	NC	Columbus County	379111	NC	McDowell County
			379121	NC	Mitchell County
379049	NC	Craven County	379123	NC	Montgomery County
379053	NC	Currituck County	379125	NC	Moore County
379055	NC	Dare County	379131	NC	Northampton County
379057	NC	Davidson County	379137	NC	Pamlico County
379059	NC	Davie County	379139	NC	Pasquotank County
379061	NC	Duplin County			
			379141	NC	Pender County
379069	NC	Franklin County			
379073	NC	Gates County	379143	NC	Perquimans County
379075	NC	Graham County	379149	NC	Polk County
379077	NC	Granville County			
			379153	NC	Richmond County



GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
			389021	ND	Dickey County
379155	NC	Robeson County	389023	ND	Divide County
			389025	ND	Dunn County
379159	NC	Rowan County	389027	ND	Eddy County
			389029	ND	Emmons County
379161	NC	Rutherford County	389031	ND	Foster County
379163	NC	Sampson County	389033	ND	Golden Valley County
			389037	ND	Grant County
379165	NC	Scotland County	389039	ND	Griggs County
			389041	ND	Hettinger County
379167	NC	Stanly County	389043	ND	Kidder County
			389045	ND	LaMoure County
379169	NC	Stokes County	389047	ND	Logan County
379171	NC	Surry County	389049	ND	McHenry County
379173	NC	Swain County	389051	ND	McIntosh County
			389053	ND	McKenzie County
379175	NC	Transylvania County	389055	ND	McLean County
379177	NC	Tyrrell County	389057	ND	Mercer County
			389061	ND	Mountrail County
379181	NC	Vance County	389063	ND	Nelson County
379185	NC	Warren County	389065	ND	Oliver County
379187	NC	Washington County	389067	ND	Pembina County
			389069	ND	Pierce County
379189	NC	Watauga County	389071	ND	Ramsey County
			389073	ND	Ransom County
379193	NC	Wilkes County			
			389075	ND	Renville County
379195	NC	Wilson County			
			389077	ND	Richland County
379197	NC	Yadkin County	389079	ND	Rolette County
379199	NC	Yancey County	389081	ND	Sargent County
389001	ND	Adams County	389083	ND	Sheridan County
389003	ND	Barnes County	389085	ND	Sioux County
389005	ND	Benson County	389087	ND	Slope County
389007	ND	Billings County	389089	ND	Stark County
389009	ND	Bottineau County	389091	ND	Steele County
389011	ND	Bowman County			
389013	ND	Burke County	389093	ND	Stutsman County
389019	ND	Cavalier County			

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
389095	ND	Towner County	319059	NE	Fillmore County
389097	ND	Traill County	319061	NE	Franklin County
389099	ND	Walsh County	319063	NE	Frontier County
			319065	NE	Furnas County
389101	ND	Ward County			
389103	ND	Wells County	319067	NE	Gage County
			319069	NE	Garden County
389105	ND	Williams County	319071	NE	Garfield County
319001	NE	Adams County	319073	NE	Gosper County
319003	NE	Antelope County	319075	NE	Grant County
319005	NE	Arthur County	319077	NE	Greeley County
319007	NE	Banner County	319079	NE	Hall County
319009	NE	Blaine County	319081	NE	Hamilton County
319011	NE	Boone County	319083	NE	Harlan County
319013	NE	Box Butte County	319085	NE	Hayes County
319015	NE	Boyd County	319087	NE	Hitchcock County
319017	NE	Brown County	319089	NE	Holt County
			319091	NE	Hooker County
319019	NE	Buffalo County			
319021	NE	Burt County	319093	NE	Howard County
319023	NE	Butler County	319095	NE	Jefferson County
319027	NE	Cedar County	319097	NE	Johnson County
319029	NE	Chase County			
319031	NE	Cherry County	319099	NE	Kearney County
319033	NE	Cheyenne County	319101	NE	Keith County
			319103	NE	Keya Paha County
319035	NE	Clay County	319105	NE	Kimball County
319037	NE	Colfax County	319107	NE	Knox County
319039	NE	Cuming County			
319041	NE	Custer County	319111	NE	Lincoln County
319045	NE	Dawes County			
			319113	NE	Logan County
319047	NE	Dawson County	319115	NE	Loup County
319049	NE	Deuel County			
			319119	NE	Madison County
319051	NE	Dixon County			
			319117	NE	McPherson County
319053	NE	Dodge County			
319057	NE	Dundy County	319121	NE	Merrick County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
319123	NE	Morrill County			
319125	NE	Nance County	339009	NH	Grafton County
319127	NE	Nemaha County			
319129	NE	Nuckolls County	339013	NH	Merrimack County
319131	NE	Otoe County			
319133	NE	Pawnee County	339019	NH	Sullivan County
319135	NE	Perkins County	359003	NM	Catron County
319137	NE	Phelps County			
			359005	NM	Chaves County
319139	NE	Pierce County			
			359006	NM	Cibola County
319141	NE	Platte County	359007	NM	Colfax County
319143	NE	Polk County			
319145	NE	Red Willow County	359009	NM	Curry County
319147	NE	Richardson County	359011	NM	De Baca County
319149	NE	Rock County			
319151	NE	Saline County	359015	NM	Eddy County
319155	NE	Saunders County	359017	NM	Grant County
			359019	NM	Guadalupe County
319157	NE	Scotts Bluff County	359021	NM	Harding County
319161	NE	Sheridan County	359023	NM	Hidalgo County
319163	NE	Sherman County			
319165	NE	Sioux County	359025	NM	Lea County
319167	NE	Stanton County	359027	NM	Lincoln County
319169	NE	Thayer County			
319171	NE	Thomas County	359028	NM	Los Alamos County
319173	NE	Thurston County			
319175	NE	Valley County	359029	NM	Luna County
319179	NE	Wayne County			
319181	NE	Webster County	359031	NM	McKinley County
319183	NE	Wheeler County	359033	NM	Mora County
319185	NE	York County			
			359035	NM	Otero County
339001	NH	Belknap County	359037	NM	Quay County
339003	NH	Carroll County			
			359039	NM	Rio Arriba County
339005	NH	Cheshire County			
339007	NH	Coos County	359041	NM	Roosevelt County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
359043	NM	Sandoval County	369021	NY	Columbia County
359047	NM	San Miguel County			
359051	NM	Sierra County	369023	NY	Cortland County
359053	NM	Socorro County	369025	NY	Delaware County
			369031	NY	Essex County
359055	NM	Taos County			
			369033	NY	Franklin County
359057	NM	Torrance County			
359059	NM	Union County	369035	NY	Fulton County
329001	NV	Churchill County	369037	NY	Genesee County
			369039	NY	Greene County
329005	NV	Douglas County	369041	NY	Hamilton County
329007	NV	Elko County	369045	NY	Jefferson County
329009	NV	Esmeralda County	369049	NY	Lewis County
329011	NV	Eureka County	369057	NY	Montgomery County
329013	NV	Humboldt County			
329015	NV	Lander County	369077	NY	Otsego County
329017	NV	Lincoln County			
			369095	NY	Schoharie County
329019	NV	Lyon County			
329021	NV	Mineral County	369097	NY	Schuyler County
			369099	NY	Seneca County
329023	NV	Nye County			
329027	NV	Pershing County	369089	NY	St. Lawrence County
329029	NV	Storey County	369101	NY	Steuben County
329033	NV	White Pine County	369105	NY	Sullivan County
369003	NY	Allegany County	369121	NY	Wyoming County
			369123	NY	Yates County
369009	NY	Cattaraugus County	399001	OH	Adams County
369011	NY	Cayuga County	399005	OH	Ashland County
369013	NY	Chautauqua County	399007	OH	Ashtabula County
369017	NY	Chenango County			
			399009	OH	Athens County
369019	NY	Clinton County			

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
399011	OH	Auglaize County	399105	OH	Meigs County
399015	OH	Brown County	399107	OH	Mercer County
399021	OH	Champaign County	399111	OH	Monroe County
399027	OH	Clinton County	399115	OH	Morgan County
399029	OH	Columbiana County	399117	OH	Morrow County
399031	OH	Coshocton County	399119	OH	Muskingum County
399033	OH	Crawford County	399121	OH	Noble County
399037	OH	Darke County	399125	OH	Paulding County
399039	OH	Defiance County	399127	OH	Perry County
399047	OH	Fayette County	399131	OH	Pike County
399053	OH	Gallia County	399135	OH	Preble County
399059	OH	Guernsey County	399137	OH	Putnam County
399063	OH	Hancock County	399141	OH	Ross County
399065	OH	Hardin County	399143	OH	Sandusky County
399067	OH	Harrison County	399145	OH	Scioto County
399069	OH	Henry County	399147	OH	Seneca County
399071	OH	Highland County	399149	OH	Shelby County
399073	OH	Hocking County	399157	OH	Tuscarawas County
399075	OH	Holmes County	399161	OH	Van Wert County
399077	OH	Huron County	399163	OH	Vinton County
399079	OH	Jackson County	399169	OH	Wayne County
399083	OH	Knox County	399171	OH	Williams County
399091	OH	Logan County	399175	OH	Wyandot County
399101	OH	Marion County	409001	OK	Adair County
			409003	OK	Alfalfa County
			409005	OK	Atoka County
			409007	OK	Beaver County
			409009	OK	Beckham County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
409011	OK	Blaine County	409095	OK	Marshall County
			409097	OK	Mayes County
409013	OK	Bryan County	409087	OK	McClain County
409015	OK	Caddo County	409089	OK	McCurtain County
			409091	OK	McIntosh County
409019	OK	Carter County	409099	OK	Murray County
409021	OK	Cherokee County	409101	OK	Muskogee County
409023	OK	Choctaw County	409103	OK	Noble County
409025	OK	Cimarron County	409105	OK	Nowata County
409029	OK	Coal County	409107	OK	Okfuskee County
409033	OK	Cotton County			
409035	OK	Craig County	409115	OK	Ottawa County
409039	OK	Custer County			
409041	OK	Delaware County	409117	OK	Pawnee County
409043	OK	Dewey County			
409045	OK	Ellis County	409119	OK	Payne County
409047	OK	Garfield County	409121	OK	Pittsburg County
409049	OK	Garvin County			
409053	OK	Grant County	409123	OK	Pontotoc County
409055	OK	Greer County			
409057	OK	Harmon County	409125	OK	Pottawatomie County
409059	OK	Harper County	409127	OK	Pushmataha County
409061	OK	Haskell County	409129	OK	Roger Mills County
409063	OK	Hughes County	409133	OK	Seminole County
409065	OK	Jackson County	409137	OK	Stephens County
409067	OK	Jefferson County			
409069	OK	Johnston County	409139	OK	Texas County
			409141	OK	Tillman County
409071	OK	Kay County			
409073	OK	Kingfisher County	409147	OK	Washington County
409075	OK	Kiowa County			
409077	OK	Latimer County	409149	OK	Washita County
			409151	OK	Woods County
409081	OK	Lincoln County	409153	OK	Woodward County
			419001	OR	Baker County
409085	OK	Love County			
			419007	OR	Clatsop County
409093	OK	Major County			

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
			429023	PA	Cameron County
419011	OR	Coos County	429031	PA	Clarion County
419013	OR	Crook County	429033	PA	Clearfield County
419015	OR	Curry County	429035	PA	Clinton County
419019	OR	Douglas County	429037	PA	Columbia County
419021	OR	Gilliam County			
419023	OR	Grant County	429039	PA	Crawford County
419025	OR	Harney County			
			429047	PA	Elk County
419027	OR	Hood River County	429053	PA	Forest County
419031	OR	Jefferson County			
			429055	PA	Franklin County
419033	OR	Josephine County	429057	PA	Fulton County
			429059	PA	Greene County
419035	OR	Klamath County			
419037	OR	Lake County	429061	PA	Huntingdon County
419041	OR	Lincoln County			
			429063	PA	Indiana County
419043	OR	Linn County	429065	PA	Jefferson County
			429067	PA	Juniata County
419045	OR	Malheur County			
			429073	PA	Lawrence County
419049	OR	Morrow County			
419055	OR	Sherman County	429083	PA	McKean County
419057	OR	Tillamook County			
			429087	PA	Mifflin County
419059	OR	Umatilla County			
			429089	PA	Monroe County
419061	OR	Union County			
419063	OR	Wallowa County	429093	PA	Montour County
419065	OR	Wasco County	429097	PA	Northumberland County
419069	OR	Wheeler County			
			429099	PA	Perry County
429001	PA	Adams County			
429009	PA	Bedford County	429103	PA	Pike County
			429105	PA	Potter County
429015	PA	Bradford County			

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
429107	PA	Schuylkill County	459017	SC	Calhoun County
429109	PA	Snyder County	459021	SC	Cherokee County
429111	PA	Somerset County	459023	SC	Chester County
429113	PA	Sullivan County	459025	SC	Chesterfield County
429115	PA	Susquehanna County	459027	SC	Clarendon County
429117	PA	Tioga County			
			459029	SC	Colleton County
429119	PA	Union County			
			459033	SC	Dillon County
429121	PA	Venango County			
			459037	SC	Edgefield County
429123	PA	Warren County			
429127	PA	Wayne County	459039	SC	Fairfield County
429131	PA	Wyoming County	459043	SC	Georgetown County
729001	PR	ADJUNTAS	459047	SC	Greenwood County
			459049	SC	Hampton County
729043	PR	COAMO			
729049	PR	CULEBRA	459053	SC	Jasper County
729073	PR	JAYUYA	459057	SC	Lancaster County
729083	PR	LAS MARIAS	459061	SC	Lee County
729093	PR	MARICAO	459067	SC	Marion County
			459069	SC	Marlboro County
729123	PR	SALINAS			
			459065	SC	McCormick County
729133	PR	SANTA ISABEL			
			459071	SC	Newberry County
729141	PR	UTUADO			
729147	PR	VIEQUES	459073	SC	Oconee County
459001	SC	Abbeville County			
459005	SC	Allendale County	459075	SC	Orangeburg County
459009	SC	Bamberg County			
459011	SC	Barnwell County	459081	SC	Saluda County
459013	SC	Beaufort County	459087	SC	Union County
			459089	SC	Williamsburg County



GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
469003	SD	Aurora County			
			469065	SD	Hughes County
469005	SD	Beadle County	469067	SD	Hutchinson County
469007	SD	Bennett County	469069	SD	Hyde County
469009	SD	Bon Homme County	469071	SD	Jackson County
			469073	SD	Jerauld County
469011	SD	Brookings County	469075	SD	Jones County
			469077	SD	Kingsbury County
469013	SD	Brown County	469079	SD	Lake County
469015	SD	Brule County			
469017	SD	Buffalo County	469081	SD	Lawrence County
469019	SD	Butte County	469085	SD	Lyman County
469021	SD	Campbell County			
469023	SD	Charles Mix County	469091	SD	Marshall County
469025	SD	Clark County	469087	SD	McCook County
			469089	SD	McPherson County
469027	SD	Clay County	469095	SD	Mellette County
			469097	SD	Miner County
469029	SD	Codington County	469101	SD	Moody County
469031	SD	Corson County	469105	SD	Perkins County
469033	SD	Custer County	469107	SD	Potter County
			469109	SD	Roberts County
469035	SD	Davison County	469111	SD	Sanborn County
469037	SD	Day County	469113	SD	Shannon County
469039	SD	Deuel County	469115	SD	Spink County
469041	SD	Dewey County			
469043	SD	Douglas County	469117	SD	Stanley County
			469119	SD	Sully County
469045	SD	Edmunds County	469121	SD	Todd County
469047	SD	Fall River County	469123	SD	Tripp County
469049	SD	Faulk County			
469051	SD	Grant County	469125	SD	Turner County
469053	SD	Gregory County	469129	SD	Walworth County
469055	SD	Haakon County			
			469135	SD	Yankton County
469057	SD	Hamlin County	469137	SD	Ziebach County
469059	SD	Hand County			
			479003	TN	Bedford County
469061	SD	Hanson County	479005	TN	Benton County
469063	SD	Harding County	479007	TN	Bledsoe County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
479013	TN	Campbell County	479081	TN	Hickman County
			479083	TN	Houston County
479015	TN	Cannon County	479085	TN	Humphreys County
479017	TN	Carroll County			
			479087	TN	Jackson County
479021	TN	Cheatham County			
479025	TN	Claiborne County	479089	TN	Jefferson County
479027	TN	Clay County	479091	TN	Johnson County
			479095	TN	Lake County
479029	TN	Cocke County	479097	TN	Lauderdale County
479031	TN	Coffee County	479099	TN	Lawrence County
479033	TN	Crockett County	479101	TN	Lewis County
			479103	TN	Lincoln County
479035	TN	Cumberland County			
479039	TN	Decatur County	479111	TN	Macon County
479041	TN	DeKalb County			
			479115	TN	Marion County
479045	TN	Dyer County	479117	TN	Marshall County
479047	TN	Fayette County	479119	TN	Maury County
479049	TN	Fentress County			
			479107	TN	McMinn County
479051	TN	Franklin County			
			479109	TN	McNairy County
479053	TN	Gibson County	479121	TN	Meigs County
479055	TN	Giles County	479123	TN	Monroe County
479057	TN	Grainger County	479127	TN	Moore County
			479129	TN	Morgan County
479059	TN	Greene County			
479061	TN	Grundy County	479131	TN	Obion County
479067	TN	Hancock County			
479069	TN	Hardeman County	479133	TN	Overton County
479071	TN	Hardin County	479135	TN	Perry County
			479137	TN	Pickett County
479075	TN	Haywood County			
479077	TN	Henderson County	479139	TN	Polk County
479079	TN	Henry County	479141	TN	Putnam County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
479143	TN	Rhea County	489035	TX	Bosque County
			489043	TX	Brewster County
479145	TN	Roane County	489045	TX	Briscoe County
479151	TN	Scott County	489047	TX	Brooks County
479153	TN	Sequatchie County	489049	TX	Brown County
479155	TN	Sevier County	489051	TX	Burleson County
			489053	TX	Burnet County
479159	TN	Smith County	489063	TX	Camp County
479161	TN	Stewart County	489065	TX	Carson County
			489067	TX	Cass County
479169	TN	Trousdale County	489069	TX	Castro County
479173	TN	Union County	489073	TX	Cherokee County
479175	TN	Van Buren County	489075	TX	Childress County
			489079	TX	Cochran County
479177	TN	Warren County	489081	TX	Coke County
479181	TN	Wayne County	489083	TX	Coleman County
			489087	TX	Collingsworth County
479183	TN	Weakley County	489089	TX	Colorado County
479185	TN	White County	489093	TX	Comanche County
			489095	TX	Concho County
489001	TX	Anderson County			
			489097	TX	Cooke County
489003	TX	Andrews County	489101	TX	Cottle County
			489103	TX	Crane County
489005	TX	Angelina County	489105	TX	Crockett County
489009	TX	Archer County	489107	TX	Crosby County
			489109	TX	Culberson County
489011	TX	Armstrong County	489111	TX	Dallam County
489017	TX	Bailey County			
			489115	TX	Dawson County
489019	TX	Bandera County			
489023	TX	Baylor County	489117	TX	Deaf Smith County
489025	TX	Bee County	489119	TX	Delta County
489031	TX	Blanco County	489123	TX	DeWitt County
489033	TX	Borden County	489125	TX	Dickens County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
489127	TX	Dimmit County			
489129	TX	Donley County	489213	TX	Henderson County
489131	TX	Duval County	489217	TX	Hill County
489133	TX	Eastland County			
489137	TX	Edwards County	489219	TX	Hockley County
489143	TX	Erath County	489221	TX	Hood County
489145	TX	Falls County			
			489223	TX	Hopkins County
489147	TX	Fannin County	489225	TX	Houston County
489149	TX	Fayette County			
489151	TX	Fisher County	489227	TX	Howard County
489153	TX	Floyd County	489229	TX	Hudspeth County
489155	TX	Foard County			
489159	TX	Franklin County	489233	TX	Hutchinson County
489161	TX	Freestone County			
489163	TX	Frio County	489235	TX	Irion County
489165	TX	Gaines County	489237	TX	Jack County
489169	TX	Garza County	489239	TX	Jackson County
			489241	TX	Jasper County
489171	TX	Gillespie County	489243	TX	Jeff Davis County
489173	TX	Glasscock County	489247	TX	Jim Hogg County
489175	TX	Goliad County	489249	TX	Jim Wells County
489177	TX	Gonzales County	489255	TX	Karnes County
489179	TX	Gray County	489261	TX	Kenedy County
489181	TX	Grayson County	489263	TX	Kent County
489185	TX	Grimes County	489265	TX	Kerr County
			489267	TX	Kimble County
489189	TX	Hale County	489269	TX	King County
489191	TX	Hall County	489271	TX	Kinney County
489193	TX	Hamilton County			
489195	TX	Hansford County	489273	TX	Kleberg County
489197	TX	Hardeman County	489275	TX	Knox County
489203	TX	Harrison County	489283	TX	La Salle County
489205	TX	Hartley County	489277	TX	Lamar County
489207	TX	Haskell County	489279	TX	Lamb County
489211	TX	Hemphill County	489285	TX	Lavaca County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
489287	TX	Lee County	489373	TX	Polk County
489289	TX	Leon County	489377	TX	Presidio County
489293	TX	Limestone County	489379	TX	Rains County
489295	TX	Lipscomb County	489383	TX	Reagan County
489297	TX	Live Oak County	489385	TX	Real County
489299	TX	Llano County	489387	TX	Red River County
489301	TX	Loving County			
489305	TX	Lynn County	489389	TX	Reeves County
489313	TX	Madison County	489391	TX	Refugio County
489315	TX	Marion County			
489317	TX	Martin County	489393	TX	Roberts County
489319	TX	Mason County	489399	TX	Runnels County
			489403	TX	Sabine County
489321	TX	Matagorda County	489405	TX	San Augustine County
489323	TX	Maverick County	489407	TX	San Jacinto County
489307	TX	McCulloch County	489411	TX	San Saba County
489311	TX	McMullen County	489413	TX	Schleicher County
489327	TX	Menard County			
489331	TX	Milam County	489415	TX	Scurry County
489333	TX	Mills County	489417	TX	Shackelford County
489335	TX	Mitchell County	489419	TX	Shelby County
489337	TX	Montague County	489421	TX	Sherman County
489341	TX	Moore County	489425	TX	Somervell County
489343	TX	Morris County			
489345	TX	Motley County	489427	TX	Starr County
			489429	TX	Stephens County
489347	TX	Nacogdoches County	489431	TX	Sterling County
			489433	TX	Stonewall County
489349	TX	Navarro County	489435	TX	Sutton County
489351	TX	Newton County	489437	TX	Swisher County
			489443	TX	Terrell County
489353	TX	Nolan County	489445	TX	Terry County
489357	TX	Ochiltree County	489447	TX	Throckmorton County
489359	TX	Oldham County			
			489449	TX	Titus County
489363	TX	Palo Pinto County	489455	TX	Trinity County
489365	TX	Panola County	489457	TX	Tyler County
489369	TX	Parmer County			
489371	TX	Pecos County	489459	TX	Upshur County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
489461	TX	Upton County	499027	UT	Millard County
489463	TX	Uvalde County	499029	UT	Morgan County
489465	TX	Val Verde County	499031	UT	Piute County
489467	TX	Van Zandt County	499033	UT	Rich County
489471	TX	Walker County	499037	UT	San Juan County
489475	TX	Ward County	499039	UT	Sanpete County
489477	TX	Washington County	499041	UT	Sevier County
489481	TX	Wharton County	499047	UT	Uintah County
489483	TX	Wheeler County	499051	UT	Wasatch County
489487	TX	Wilbarger County	499055	UT	Wayne County
489489	TX	Willacy County	519001	VA	Accomack County
489493	TX	Wilson County	519005	VA	Alleghany County
489495	TX	Winkler County	519007	VA	Amelia County
489497	TX	Wise County	519011	VA	Appomattox County
489499	TX	Wood County	519015	VA	Augusta County
489501	TX	Yoakum County	519017	VA	Bath County
489503	TX	Young County	519019	VA	Bedford County
489505	TX	Zapata County	519021	VA	Bland County
489507	TX	Zavala County	519025	VA	Brunswick County
499001	UT	Beaver County	519027	VA	Buchanan County
499003	UT	Box Elder County	519029	VA	Buckingham County
499007	UT	Carbon County	519530	VA	Buena Vista city
499009	UT	Daggett County	519033	VA	Caroline County
499013	UT	Duchesne County	519035	VA	Carroll County
499015	UT	Emery County	519036	VA	Charles city County
499017	UT	Garfield County	519037	VA	Charlotte County
499019	UT	Grand County	519043	VA	Clarke County
499021	UT	Iron County	NA	VA	Clifton Forge city
499025	UT	Kane County	519580	VA	Covington city
			519045	VA	Craig County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
519047	VA	Culpeper County	519119	VA	Middlesex County
519049	VA	Cumberland County	519125	VA	Nelson County
519051	VA	Dickenson County	519127	VA	New Kent County
519595	VA	Emporia city	519131	VA	Northampton County
519057	VA	Essex County	519133	VA	Northumberland County
519063	VA	Floyd County	519720	VA	Norton city
519620	VA	Franklin city	519135	VA	Nottoway County
519067	VA	Franklin County	519137	VA	Orange County
519640	VA	Galax city	519139	VA	Page County
519071	VA	Giles County	519141	VA	Patrick County
519075	VA	Goochland County	519143	VA	Pittsylvania County
519077	VA	Grayson County	519145	VA	Powhatan County
519079	VA	Greene County	519147	VA	Prince Edward County
519081	VA	Greensville County	519157	VA	Rappahannock County
519083	VA	Halifax County	519159	VA	Richmond County
519089	VA	Henry County	519163	VA	Rockbridge County
519091	VA	Highland County	519167	VA	Russell County
519097	VA	King and Queen County	519169	VA	Scott County
519099	VA	King George County	519171	VA	Shenandoah County
519101	VA	King William County	519173	VA	Smyth County
519103	VA	Lancaster County	519175	VA	Southampton County
519105	VA	Lee County	519790	VA	Staunton city
519678	VA	Lexington city	519181	VA	Surry County
519109	VA	Louisa County	519183	VA	Sussex County
519111	VA	Lunenburg County	519185	VA	Tazewell County
519113	VA	Madison County	519820	VA	Waynesboro city
519690	VA	Martinsville city	519193	VA	Westmoreland County
519115	VA	Mathews County	519195	VA	Wise County
519117	VA	Mecklenburg County	519197	VA	Wythe County
			509001	VT	Addison County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
			539051	WA	Pend Oreille County
509003	VT	Bennington County	539055	WA	San Juan County
509005	VT	Caledonia County			
			539059	WA	Skamania County
509009	VT	Essex County	539065	WA	Stevens County
			539069	WA	Wahkiakum County
509013	VT	Grand Isle County			
509015	VT	Lamoille County	539071	WA	Walla Walla County
509017	VT	Orange County	539075	WA	Whitman County
509019	VT	Orleans County	559001	WI	Adams County
			559003	WI	Ashland County
509021	VT	Rutland County	559005	WI	Barron County
			559007	WI	Bayfield County
509023	VT	Washington County	559011	WI	Buffalo County
509025	VT	Windham County	559013	WI	Burnett County
			559019	WI	Clark County
509027	VT	Windsor County	559023	WI	Crawford County
539001	WA	Adams County			
			559027	WI	Dodge County
539009	WA	Clallam County	559029	WI	Door County
539013	WA	Columbia County			
539019	WA	Ferry County	559033	WI	Dunn County
539023	WA	Garfield County			
			559037	WI	Florence County
539025	WA	Grant County	559041	WI	Forest County
539027	WA	Grays Harbor County	559043	WI	Grant County
539029	WA	Island County	559045	WI	Green County
539031	WA	Jefferson County	559047	WI	Green Lake County
539037	WA	Kittitas County	559049	WI	Iowa County
539039	WA	Klickitat County	559051	WI	Iron County
			559053	WI	Jackson County
539041	WA	Lewis County			
539043	WA	Lincoln County	559055	WI	Jefferson County
			559057	WI	Juneau County
539045	WA	Mason County			
539047	WA	Okanogan County	559061	WI	Kewaunee County
539049	WA	Pacific County	559065	WI	Lafayette County



GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
559067	WI	Langlade County			
			549015	WV	Clay County
559069	WI	Lincoln County			
			549017	WV	Doddridge County
559071	WI	Manitowoc County			
			549019	WV	Fayette County
559075	WI	Marinette County	549021	WV	Gilmer County
559077	WI	Marquette County	549023	WV	Grant County
559078	WI	Menominee County	549025	WV	Greenbrier County
559081	WI	Monroe County			
			549027	WV	Hampshire County
559083	WI	Oconto County	549031	WV	Hardy County
559085	WI	Oneida County			
559091	WI	Pepin County	549033	WV	Harrison County
559095	WI	Polk County	549035	WV	Jackson County
			549041	WV	Lewis County
559097	WI	Portage County			
559099	WI	Price County	549043	WV	Lincoln County
559103	WI	Richland County	549045	WV	Logan County
559107	WI	Rusk County	549049	WV	Marion County
559111	WI	Sauk County	549053	WV	Mason County
559113	WI	Sawyer County			
559115	WI	Shawano County	549047	WV	McDowell County
559119	WI	Taylor County			
559121	WI	Trempealeau County	549055	WV	Mercer County
559123	WI	Vernon County	549059	WV	Mingo County
559125	WI	Vilas County	549063	WV	Monroe County
559127	WI	Walworth County	549065	WV	Morgan County
559129	WI	Washburn County	549067	WV	Nicholas County
559135	WI	Waupaca County	549071	WV	Pendleton County
559137	WI	Waushara County	549075	WV	Pocahontas County
559141	WI	Wood County	549077	WV	Preston County
549001	WV	Barbour County			
			549081	WV	Raleigh County
549005	WV	Boone County	549083	WV	Randolph County
549007	WV	Braxton County	549085	WV	Ritchie County
549013	WV	Calhoun County	549087	WV	Roane County
			549089	WV	Summers County

GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>	GEO ID	STATE	COUNTY OR EQUIVALENT <sup>1</sup>
			569015	WY	Goshen County
549091	WV	Taylor County	569017	WY	Hot Springs County
549093	WV	Tucker County	569019	WY	Johnson County
549095	WV	Tyler County	569023	WY	Lincoln County
549097	WV	Upshur County	569027	WY	Niobrara County
549101	WV	Webster County	569029	WY	Park County
549103	WV	Wetzel County	569031	WY	Platte County
549105	WV	Wirt County	569033	WY	Sheridan County
549109	WV	Wyoming County	569035	WY	Sublette County
569001	WY	Albany County	569037	WY	Sweetwater County
569003	WY	Big Horn County			
			569039	WY	Teton County
569005	WY	Campbell County			
569007	WY	Carbon County	569041	WY	Uinta County
569009	WY	Converse County	569043	WY	Washakie County
569011	WY	Crook County	569045	WY	Weston County
569013	WY	Fremont County			

<sup>1</sup> 2000 Census Data was used to identify rural counties meeting Section 491(k)(A)&(B) of the McKinney-Vento Act, as amended by HEARTH.