



# U. S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

PIH HOUSING CAPITAL FUND  
EMERGENCY SAFETY AND SECURITY GRANT (ESSG) PROGRAM  
APPLICATIONS FOR FY24  
[PIH NOTICE 2023-10](#)

**FEBRUARY 2024**

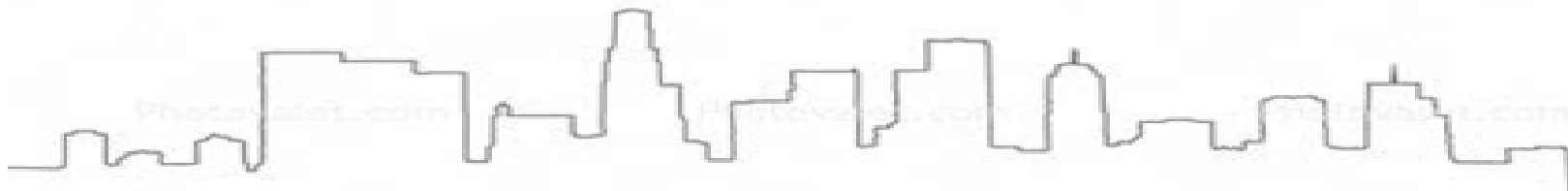




# BEFORE YOU ASK...

- These slides will be made available to all attendees.
- The training is being recorded - The recording and the slides will be posted to the OCI ESSG web page:  
[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/programs/ph/capfund/emfunding](https://www.hud.gov/program_offices/public_indian_housing/programs/ph/capfund/emfunding)

*Please drop all questions in the chat, so we may compile a list of FAQs.*





# INTRODUCTIONS

## David Fleischman

*Director, Office of Capital Improvements*



## Tom Shelton

*Housing Capital Improvement Specialist, Office of Capital Improvements*



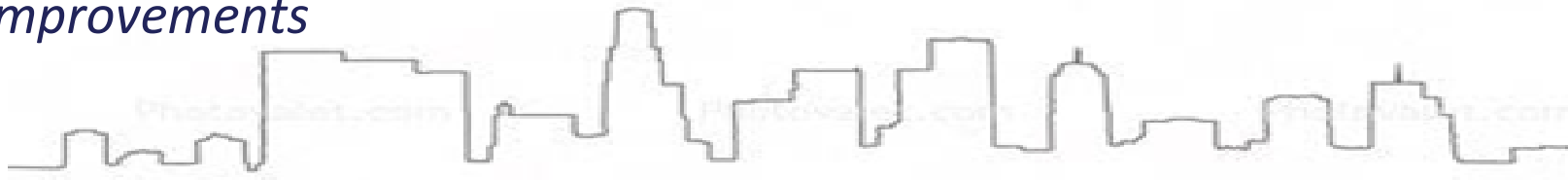
## Sarah Culligan

*Housing Capital Improvement Specialist, Office of Capital Improvements*



## Erica Vilardi

*Housing Capital Improvement Specialist, Office of Capital Improvements*





# AGENDA



## 1. [PIH Notice 2023-10](#) Emergency Safety and Security Grant (ESSG) Program

## 2. Annual Funding Notification and Application Process Detail

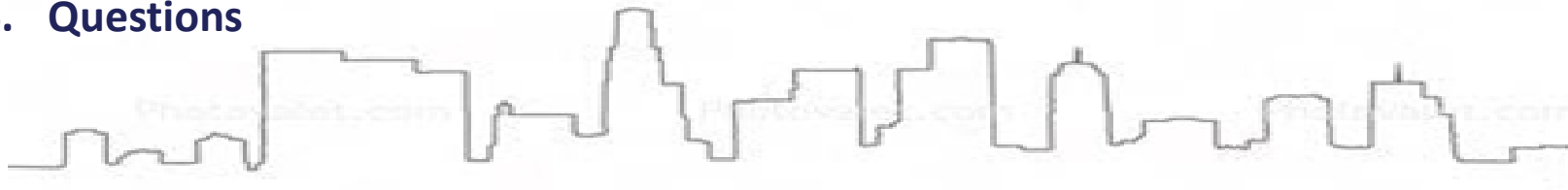
- Purpose of the Program
- Grant Details
- Application Types
- NSPIRE and ESSG
- Applicant Eligibility
- List of Submissions that make up the application

## 3. Eligible and Ineligible Uses of Funds

## 4. The Application

## 5. Application Review Criteria

## 6. Questions

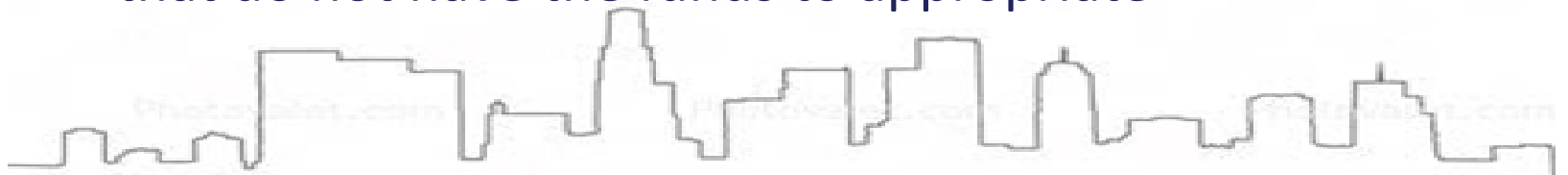




# PURPOSE OF THE PROGRAM

## Provide emergency funding to PHAs for:

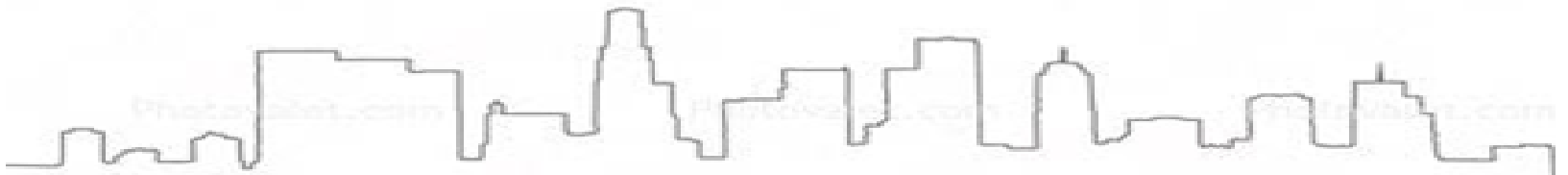
- Capital needs related to safety and security measures necessary to address crime and drug-related activity;
- Safety emergencies requiring the purchase, repair, replacement, or installation of carbon monoxide devices and hard-wired or sealed smoke detectors;
- This program is intended for new safety and security items, and is not intended to reimburse PHAs for previous expenditures; and
- The funds are intended to be used to supplement PHAs that do not have the funds to appropriate





# GRANT DETAILS

- \$10 Million expected to be available
- PHAs limited to \$250,000 total funding per PHA per year
- One year to obligate 90% of the grant and 2 years to expend 100% of the grant





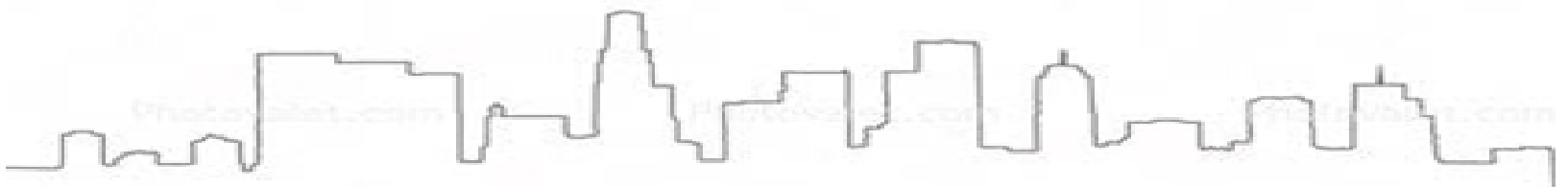
# GRANT DETAILS

**Opening Date:** January 24, 2024

**Deadline Date:** March 27, 2024, 5:00pm EST

- Executive Directors received an email from [PIHOCl@hud.gov](mailto:PIHOCl@hud.gov) on January 24 alerting them of the grant's opening date.
- Application information is posted on the OCI website:

[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/programs/ph/capfund](https://www.hud.gov/program_offices/public_indian_housing/programs/ph/capfund)





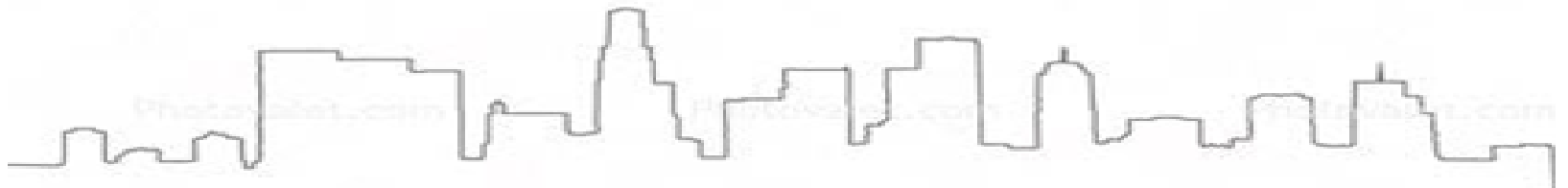


# SMALL PHA PREFERENCE

New this year, HUD is instituting a small PHA preference under a Regional lottery to ensure that approximately 75% of all funded PHAs are small PHAs (249 ACC units or less). PIC data will be used for small and large PHA determination.

- Rounds 1 - 3: Small PHAs (249 PH units or less)
- Round 4: Large PHAs (250 or more PH units)
- Rounds 5 - 7: Small PHAs
- Round 8: Large PHAs

If funds remain after Round 8, HUD will review and fund eligible applications in overall Regional lottery order.





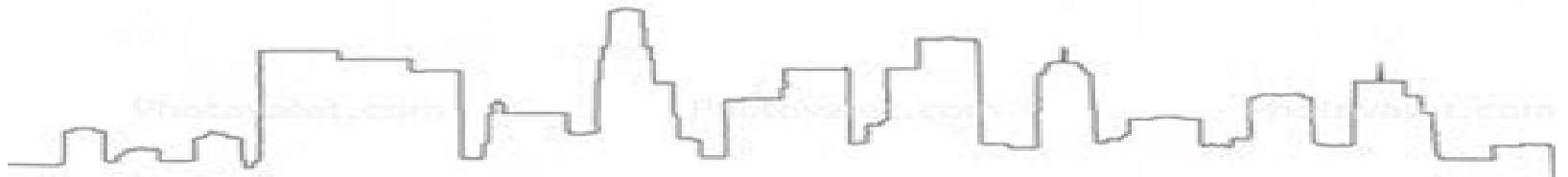


# APPLICATION TYPES

## HUD will accept applications for separate types of activities:

1. Fire Safety Devices, such as hard-wired or sealed smoke detectors, fire doors
2. NSPIRE Compliance – permanent repair of Life-threatening deficiencies
3. Carbon Monoxide Devices, such as alarms or detectors
4. Crime Prevention

**Separate applications for separate activities will be treated as a single application in the lottery.**





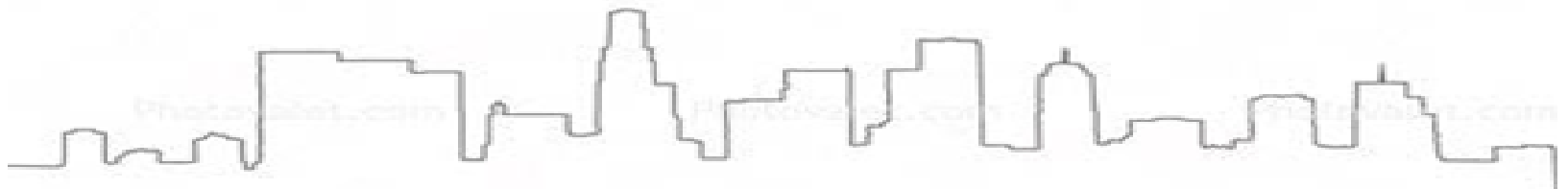
# FIRE SAFETY

## NSPIRE Smoke Detector Requirements – Please follow the NSPIRE Standard at:

[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/reac/nspire/standards](https://www.hud.gov/program_offices/public_indian_housing/reac/nspire/standards)

- **Sealed battery-operated or hard-wired smoke detector(s), in proper working condition, in the following locations:**
  - On each level of the unit;
  - Inside each bedroom;
  - Within 21 feet of any door to a bedroom measured along a path of travel; and
  - If a smoke detector is installed outside a bedroom, and is separated from common area by a door, a smoke detector must also be installed on the opposite side of the door.
- **PHAs must explain in their narrative the current threat to residents, as well as their compliance with the new law and NSPIRE Standard.**

*See Section 601 of Title IV; 3(a)(9) for more details*

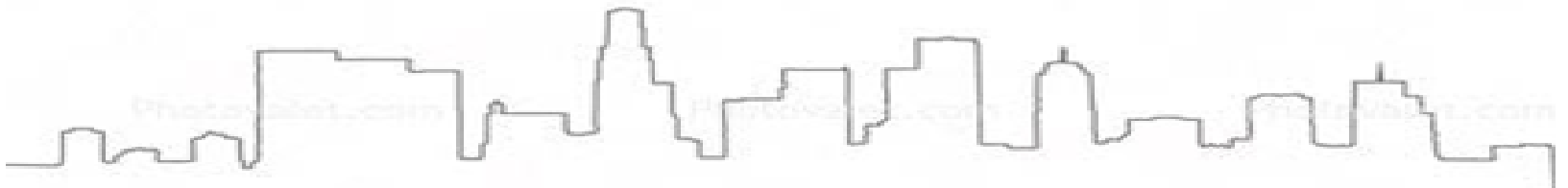


## Demonstrating a Crime and Drug-related Activity Emergency

*Use the most recent crime data of the PHA's locality for the current fiscal year. Details for accepted information can be found in [PIH Notice 2023-10](#). The local crime data should list types and numbers of offenses*

### Accepted sources for crime data include:

- Local law enforcement reports,
- Uniform Crime Reports,
- PHA police data,
- PHA incident reports,
- Newspaper articles about crime on PHA property, and
- Internet crime data, such as a neighborhood listserv.



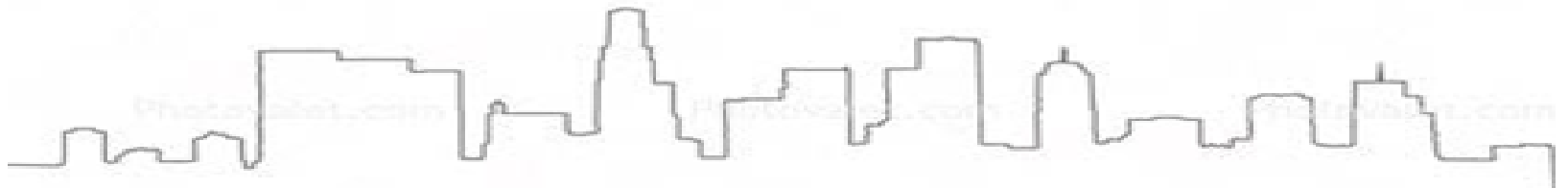


# CRIME PREVENTION - NARRATIVE



**PHAs will use information from the previously listed sources to explain the current threat:**

- Identify how the crime(s) or drug related activity at or near the property has increased the threat to the health and safety of the public housing residents
- Indicate the source of the crime data in the narrative which must include a summary of the crime data by general crime category (e.g., murders, assaults, property damage, and property break-ins).
- Demonstrate that the emergency occurred within Fiscal Year 2024. (October 1, 2023, to September 30, 2024)

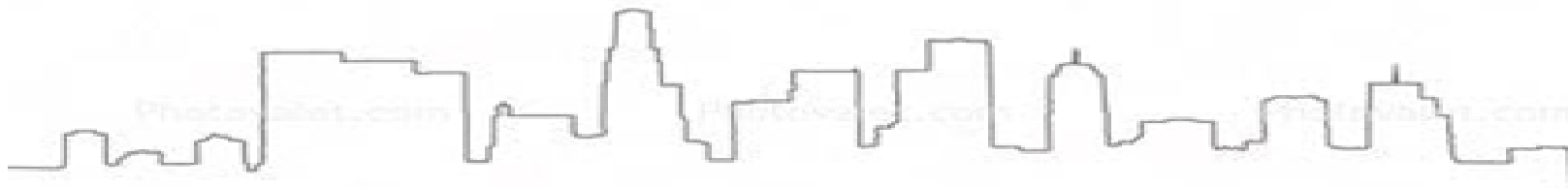




# CRIME PREVENTION – NARRATIVE CONTINUED

## PHAs will explain previous actions and describe how the grant will prevent crime/drug activity

- Explain how the items they intend to purchase will prevent/deter increased crime/drug activity. *Example: The PHA needs security cameras to identify and deter robbery*
- Explain the adequate safety and security measures taken to minimize and avoid costly emergency situations prior to requesting emergency safety and security funds.



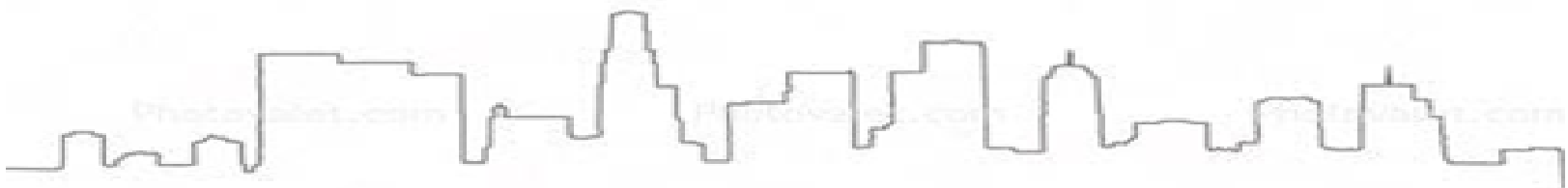


# CRIME PREVENTION - INELIGIBLE USES OF FUNDS



## Funds may not be used for the following:

- Statutory Procurement Prohibition - Any equipment that is purchased, leased, or contracted for security that is produced by the Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities) is ineligible (2 CFR 200.216 and Public Law 115-232, section 889).
- HUD Procurement Prohibition - Additionally, HUD has decided to prohibit ESSG grant funds for video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company or any subsidiary or affiliate of such entities as mentioned in 2 CFR 200.216(a)(3)(i).
- Patrol cars
- Salaries for PHA security staff
- Automated facial recognition technology



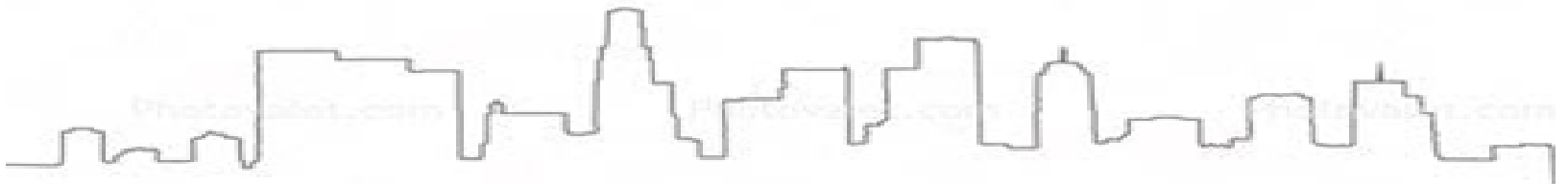




# CRIME PREVENTION - INELIGIBLE USES OF FUNDS

## Funds may not be used for the following:

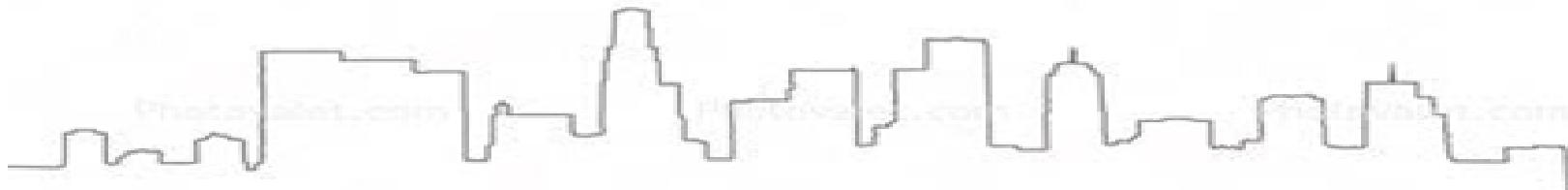
- Security Patrol Contracts or payment to local law enforcement for additional security
- Purchase of equipment for local police
- Budget Line Item (BLI 1410) Administrative expenses
- Transferring emergency safety and security funding to Operations (BLI 1406) budget line item
- Ongoing monitoring fees for security equipment/systems (i.e., gunshot detection system monitoring, security alarm system monitoring) and ongoing service or maintenance contracts for emergency safety and security related equipment or systems





## To ensure PHA compliance with NSPIRE, funds may be requested for capital improvements that meet the criteria below:

- NSPIRE regulations require that the inside and outside spaces and units are free of health and safety hazards that pose a danger to residents.
- The grant may be used for NSPIRE repairs but are limited to permanent repairs for life-threatening conditions.
- As a reminder, PHAs are required to correct life-threatening and severe deficiencies within 24hrs. However, PHAs may apply for ESSG funding to implement more permanent repairs.
- ESSG funds may not be used to reimburse costs already expended to cure any NSPIRE deficiencies.





# CARBON MONOXIDE DETECTORS - ELIGIBLE USES OF FUNDS

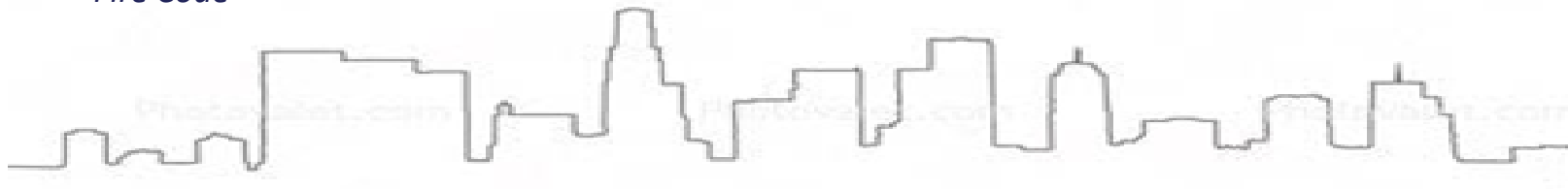
Funds may be used to purchase, install, repair, or replace capital needs items including, but not limited to, items that address the threat to health and safety posed by the presence of carbon monoxide devices. Follow the NSPIRE Standard at:

[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/reac/nspire/standards](https://www.hud.gov/program_offices/public_indian_housing/reac/nspire/standards)

Devices can include:

- Carbon Monoxide Alarm
  - A single or multiple station alarm intended to detect carbon monoxide gas and alert occupants by a distinct audible signal (for common areas and accessible units should also have a visual alarm). It should incorporate a sensor, control components, and an alarm notification appliance in a single unit.
- Carbon Monoxide Detector
  - A device with an integral sensor to detect carbon monoxide gas and transmit an alarm signal to a connected alarm control unit. Detectors can be hard wired or come with sealed batteries.

*Device placement should follow the NSPIRE Standard and be compliant with the 2018 International Fire Code*



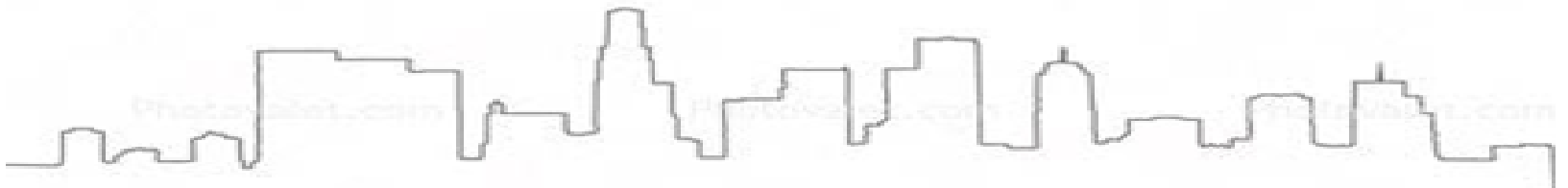


# CARBON MONOXIDE DEVICES - NARRATIVE

**ESSG Program funds may be used to install Carbon Monoxide devices to meet HUD NSPIRE standards.**

**The narrative should include:**

- Description and location of carbon monoxide sources, including fuel-burning devices and attached garages, with proximity to sleeping areas.
- Description of communicating openings, if relevant to device placement
- If there are existing carbon monoxide devices, the reason for repair or replacement





# APPLICANT ELIGIBILITY



PHAs with public housing units under an ACC may apply.



Section 8 units are ineligible for funding.



A PHA is ineligible to receive ESSG funding if it has previously received ESSG funding for the same project and for the same broad purpose for which the funds are currently being requested.



Projects with a Rental Assistance Demonstration (RAD) Commitment to enter into a Housing Assistance Payments Contract (CHAP) are ineligible



Reimbursement of previous expenditures is not allowed under the ESSG program





# APPLICATION DOCUMENTS

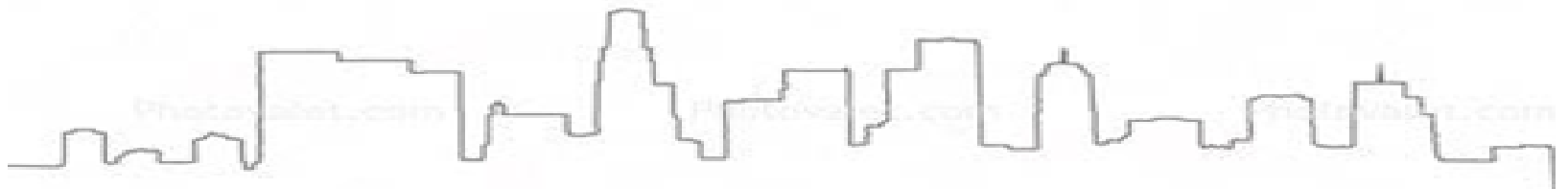
The below listed documents are required for all ESSG applications:

- Form HUD-50075.1, Annual Statement (only Parts I and II)
- Narrative
- Other Sources of Funds (PHAs must provide documentation of other funds if cost estimate exceeds the \$250,000 max)
- Description of Activities
- Crime and Drug Emergency Narrative and Data (if applicable)
- Fire and/or Carbon Monoxide Narrative (if applicable)

*Please combine all the required files into a single PDF file and submit it to [PIHOCL@hud.gov](mailto:PIHOCL@hud.gov)*

Refer to Paragraph 5, [Notice PIH 2023 -10](#)

<https://www.hud.gov/sites/dfiles/PIH/documents/PIH%20Notice%202023-10.pdf>





# APPLICATION ITEM – 50075.1

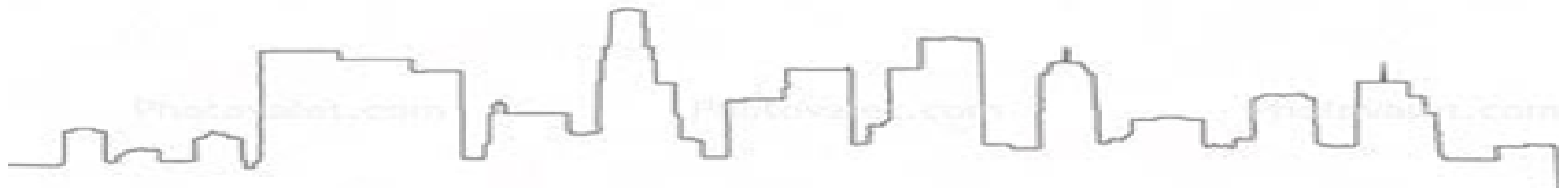
## Form HUD-50075.1

### Submit an Annual Statement Specific to the ESSG Program (only Parts I and II):

- Include data specific to the **proposed** Emergency Safety and Security grant.
- The **proposed** work does not need to be included in the PHA's 5-year plan (PHAs will update their EPIC 5-Year Plans after award).
- Moving to Work (MTW) agencies may submit a grant budget instead of the HUD-50075.1.



*Do not submit information on the current PHA Annual Statement for the PHA formula grant, **or for previously funded activities.***





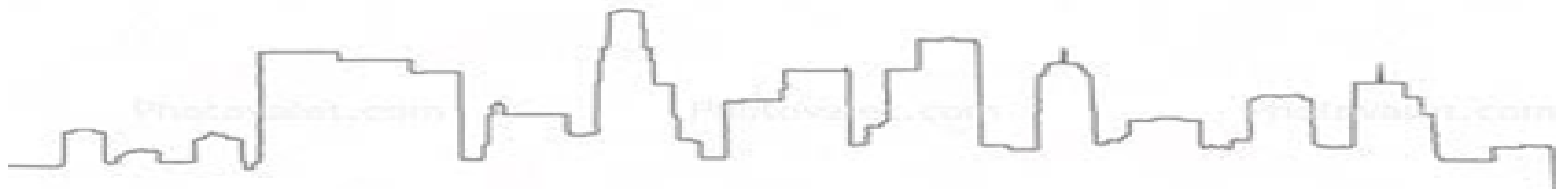


# APPLICATION ITEM – NARRATIVES

**All Narratives should include how the PHA intends to cure the deficiency  
Please see the detailed slides under the application type for  
information specific to the grant type.**

## **Narratives should also include:**

- History of the issue
- If items are in need of repair or replacement, please include the justification.
- A statement that the PHA has not previously received emergency safety and security funding for the project or projects for which the PHA is currently requesting emergency safety and security funding.
- If the cost estimate exceeds the \$250,000 maximum grant size, the PHA must include documents identifying the other funds to make up the funding gap, including Capital Funds, that are available to cover the additional costs. Funds must be from a source that is currently available to the PHA as of the date of application submission.







# APPLICATION DUE DATE AND FORMAT



Prepare the documents as required by paragraph 5 of the Notice



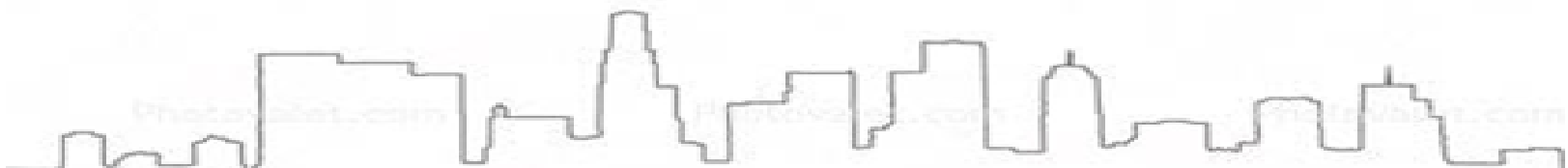
Compile the required application documents into a single PDF file.



Submit a completed application package (as a single PDF) by email to the OCI mailbox  
[PIHOCL@hud.gov](mailto:PIHOCL@hud.gov)



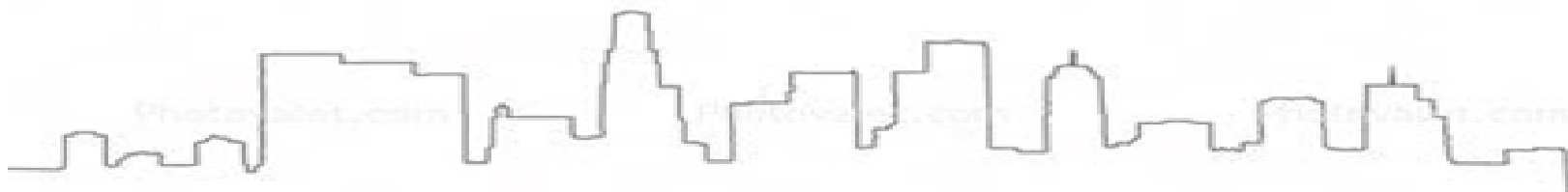
All applications are due by COB 5:00pm EDT on Wednesday  
March 27, 2024





# COMMON APPLICATION ERRORS

- Not submitting crime data for the current fiscal year
- Submitting for reimbursement of previous activities
- Applying for work items that have previously been funded at the same development/AMP
- Incomplete narratives
  - No explanation of urgency
  - No explanation of geographical crime data
  - Lack of detail on purpose of funding
  - Crime data does not show an **increased** threat in the fiscal year
- Project is more than \$250,000 and the PHA did not submit documents identifying sources of other funding
- Submitting the HUD 50075.1 for Capital Fund Formula Grant and not the HUD 50075.1 specific to the ESSG Program

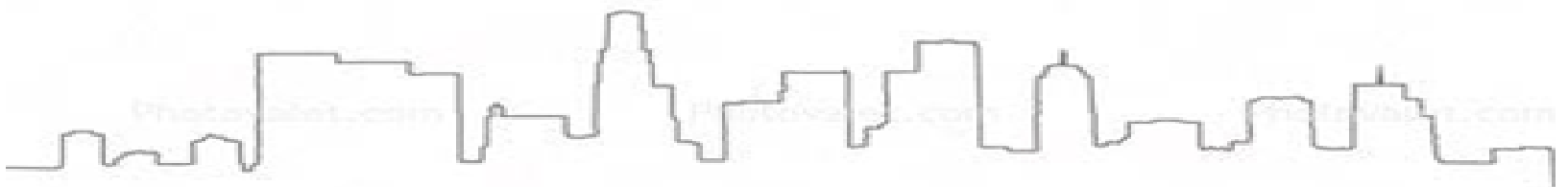




# REVIEW CRITERIA



- All on time applications will be reviewed to make sure that they meet all of the requirements of paragraph 5 of the Notice
- Must meet the one-time funding per project eligibility requirement – ineligible if previously received emergency safety and security funding for the same project(s) and for the same broad purpose
- Projects with RAD CHAPs are ineligible
- All on time applications will be included in a lottery to determine which applications will be funded
- Applications will be reviewed in Regional lottery order for eligibility
- Eligible applications will continue to be funded in Regional lottery order until exhausted





# QUESTIONS?

HUD staff will be available to provide clarification on the content of the Notice. Please note that HUD staff cannot assist applicants in preparing their applications. Questions regarding specific program requirements for the Notice should be directed to the point of contact listed below.

Office of Capital Improvement: [SAFETYANDSECURITYQUESTIONS@HUD.gov](mailto:SAFETYANDSECURITYQUESTIONS@HUD.gov)

Persons with hearing or speech impairments may access this number via TTY by calling the toll-free Federal Relay Service at 800-877-8339.

As previously stated, we will be posting a FAQ with answers to the Emergency/ESSG webpage after the webinar:

[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/programs/p/h/capfund/emfunding](https://www.hud.gov/program_offices/public_indian_housing/programs/p/h/capfund/emfunding)

A second ESSG Webinar will be held in March 2024.

