FITARA Common Baseline Self-Assessment					
Elements and Responsibilities			Rating	Status	Risks
Area	Element	Responsibility	Current Element Rating May 2023	Agency Evidence of Complete Implementation (provide for ratings of 3)	Obstacles/Risks/Challenges by Category
Budget Formulation (A-D)	A	Visibility of IT resource plans/decisions to CIO	2		
	B	CIO role in pre-budget submission for programs that include IT and overall portfolio	3	The following evidence is provided: o FY 2024 IT Budget and Capital Planning Guidance/Executive Memo	
	C	CIO role in planning program management	3	The following evidence is provided: o FY 2024 IT Budget and Capital Planning Guidance/Executive Memo	
	D	CIO reviews and approves major IT investment portion of budget request	2	The following evidence is provided: o For Review FY24 IT Fund Budget Submission o FYI Final FY24 IT Fund Allocation o Draft FY24 CJ for Concurrence	
Budget Execution (E-H, J, L)	E	Ongoing CIO engagement with program managers	3	IRC Meeting presentations to IRC: May 2022, Aug. 2022, Nov. 2022, and Feb. 2023; CCC Meeting presentations to CCC: May 2022, Aug. 2022, Dec. 2022, and Feb. 2023; CRC Monthly Program Office IT Meetings Schedule - 2023	
	F	Visibility of IT planned expenditure reporting to CIO	3	The following evidence is provided: o FY 2024 IT Budget and Capital Planning Guidance/Executive Memo	
	G	CIO defines IT processes and policies	3	1. HUD OCIO Handbook 3400.01 Rev. 2, OCIO IT Management Framework Policy, https://www.hud.gov/sites/dfiles/OCHCO/documents/34001CIOH.pdf 2. HUD OCIO Handbook 2400.25, Rev. 5.0, IT Security Policy, https://www.hud.gov/program_offices/administration/hudclips/handbooks/cio/2400.25 In addition, other related policies can be found at this link: https://www.hud.gov/program_offices/administration/hudclips/handbooks/cio	
	H	CIO role on program governance boards	3	The following evidence is provided: o Program Office Steering Committees (e.g., FHA Catalyst Steering Committee) o CCC presentation including expenditure reporting o IRC presentation including expenditure reporting	
	J	CIO role in recommending modification, termination, or pause of IT projects or initiatives	3	TRC Mass Decision Report May 2022; and 2023 Decommission List	
	L	CIO approval of reprogramming	3	CFO Administrative Control of Funds Policies require the allotment holder to approve all changes to budgetary resources including reprogramming requests. The CIO is the allotment holder for all IT Funds.	

FITARA Common Baseline Self-Assessment					
Elements and Responsibilities			**Rating**	**Status**	**Risks**
Area	**Element**	**Responsibility**	**Current Element Rating May 2023**	**Agency Evidence of Complete Implementation (provide for ratings of 3)**	**Obstacles/Risks/Challenges by Category**
Acquisition (I, K)	K	CIO review and approval of acquisition strategy and acquisition plan	3	o HUD FITARA Enhancement Memorandum o HUD FITARA Guidance o Acquisition Instruction (AI) Implementing FITARA o AI Implementing FITARA Changes 1-3 o CIO Assignment Plan	
	I	Shared acquisition and procurement responsibilities	3	o HUD FITARA Enhancement Memorandum o HUD FITARA Guidance o Acquisition Instruction (AI) Implementing FITARA o AI Implementing FITARA Changes 1-3 o CIO Assignment Plan	
Organization and Workforce (M-Q)	M	CIO approves bureau CIOs	2		
	N	CIO role in ongoing bureau CIOs' evaluations	2		
	O	Bureau IT Leadership Directory	3	https://www.hud.gov/digitalstrategy/bureaudirectory.html, https://www.hud.gov/about/leadership	
	P	IT Workforce	3	http://hudatwork.hud.gov/HUD/cio/doc/CIO_WrkforcePlanFY22_25	
	Q	CIO reports to agency head (or Deputy/COO)	3	Leadership | HUD.gov / U.S. Department of Housing and Urban Development (HUD)	